New York State Department of Health

Home Care Registry User Manual



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HCR User Manual Introduction

This Section: HCR User Manual Introduction

In this introductory section of the HCR User Manual, you will learn more about the purpose and goals of the HCR. This section also provides a list of common terms and abbreviations and a table of HCR timeframes.

The Home Care Registry (HCR) User Manual is divided into seven main sections:

- 1. Home Care Registry Data Entry
- 2. Training Entity Procedures
- 3. Home Care Agency Procedures
- 4. General Public Procedures
- 5. Appendix
- 6. Additional Resources
- 7. Contact Information

- Steps are found in callouts like this.
- ▶ Quick Tips are found in boxes like this.
- ☑ Important reminders look like this.

Section 1: Home Care Registry Data Entry

This table provides details on entering information on students and aides.

Section 2: Training Entity Procedures

This section contains step-by-step instructions for completing tasks commonly performed by training entities in the HCR. Steps are found in callouts. Important reminders are found in boxes at the beginning of sections. Also look for Quick Tip boxes that contain valuable hints and additional information.

Section 3: Home Care Agency Procedures

This section contains step-by-step instructions for completing tasks commonly performed by home care agencies.

Section 4: General Public Procedures

This section contains information on how the general public can use the HCR.

Section 5: Appendix

The appendix includes the New York State statute that mandates the HCR.

Section 6: Additional Resources

This section contains links to other HCR-related information.

Section 7: Contact Information

Here you will find the toll-free number and email address to the HCR Customer Service.

Introduction to the New York State Home Care Registry

The Law

Chapter 594 of the Laws of 2008 establishes the HCR, a web-based registry of all personal care and home health aides who have successfully completed a personal care or home health aide training program approved by either the New York State Department of Health (DOH) or the New York State Education Department (SED).

Content

The HCR provides identifying information, certifications, past home care agency employment in New York, administrative findings (if any) of the New York State Department of Health and the Department of Health's determination of employability of each aide as a home care worker. The HCR makes this information available to both home care agencies and the general public.

Information Sources

Training programs and home care agencies are the sources for most of the information in the HCR on specific aides. The HCR is located on the Health Provider Network/Health Commerce System (HCS/HPN). Training programs and home care agencies submit the required information on trainees and aides to the HCR through the HCS/HPN. Therefore, in order to use the HCR, all home care agencies and SED training programs must have Health Commerce System/Health Provider Network (HCS/HPN) accounts and HCS/HPN Coordinators.

Updater Roles

Only staff assigned to the appropriate role on the HCS/HPN may submit the required information on trainees and aides to the HCR. Each training program and home care agency must designate at least two such persons to access and enter data in the HCR. These persons must be designated on the HCS/HPN by the HCS/HPN Coordinator. The HCS/HPN Coordinator must submit the name, position and contact information for each person to the New York State Department of Health through the training program's or home care agency's HCS/HPN account in the form of "roles."

Training Program Roles:

► One individual may be assigned multiple roles.

Home Care Registry Training Program Updater

An individual designated by an organization with a DOH or SED approved personal care or home health aide training program to access the HCR and view all of the training program information that it contains. This person can add classes and students to the HCR and can also modify any information on aides that the training program entered into the Registry.

Home Care Registry Training Program Viewer

An individual designated by an organization with a DOH or SED approved personal care or home health aide training program to access the HCR and view all of the training program information that it contains.

Home Care Registry Certification Form Printer

An individual designated by an organization with a DOH or SED approved personal care or home health aide training program to access the HCR and view all of the training program's information that it contains. In addition, this person can assign a Senior Official to a list of students and produce the hard copy Certification Form in a written sworn statement to be signed by the Senior Official.

Home Care Registry Training Program Certificate Printer

An individual designated by an organization with a DOH or SED approved personal care or home health aide training program to access the HCR and view all of the training program information that it contains. In addition, this person can print the DOH created certificates for students who have successfully completed their classes.

Home Care Agency (Employer) Roles:

Home Care Registry Agency Updater

An individual designated by a home care services agency to access the HCR and view all agency information that it contains. In addition, this person will have the ability to add personal, certificate, and employment information for any aide employed by the agency who is not already listed in the HCR. They will also be able to enter employment information for aides already listed in the HCR. This person will also have the ability to modify any information on aides that the agency entered into the HCR.

Home Care Registry Agency Viewer

An individual designated by a home care services agency to access the HCR and view all of the agency information that it contains.

Additional Information:

Obtaining an HCS/HPN Account

DOH approved training programs that are not associated with an agency and either do not have or are unsure if they have an HCS/HPN account should contact 1 (866) 529-1890. SED approved training programs that do not have an HCS/HPN account should contact the HCR Customer Service at 1 (877) 877-1827.

Sources of Information on Aides

Personal care aides, home health aides and trainees may submit information to a training program or employer for inclusion in the HCR.

Retaining Documentation

DOH approved training programs must establish, maintain, and retain such records to show compliance with HCR requirements for six (6) years after the successful completion of training, unless otherwise directed by the DOH (7 years for SED programs). Home care agencies must establish, maintain, and retain such records to show compliance with HCR requirements for six (6) years after the termination of a worker's employment, unless otherwise directed by the DOH.

Policies and Procedures

Each training program and each home care agency must have written policies and procedures that set forth how it will ensure compliance with HCR requirements.

Effective Date

The Home Care Registry became effective on September 25, 2009.

Additional Assistance

Call toll-free: 1 (877) 877-1827

Or send questions and receive information from: HCReg@health.state.ny.us

Also, be sure to consult the HCR Alerts and Dear Administrator Letters (DALs) that are posted on the HCS/HPN.

Common Terms and Abbreviations

Certificate

The certificate printed from the HCR indicates that the aide whose name is printed on the certificate has successfully completed a training program. It contains the following information:

- Unique certificate number in the top left corner;
- Aide's name and registry number;
- Title of the training program and whether it is a DOH or SED approved training program (If it is an SED approved training program, it will also include the number of training program hours);
- Position for which the recipient is qualified: personal care aide or home health aide:
- Date the aide successfully completed the training program;
- Name and address of the training program responsible for issuing the certificate;
- Signature and license number of the Nurse Instructor or Supervising Nurse;
 and
- Signature of the Director/Coordinator or Official Agency Designee

Certification Form

This is the statutorily required written sworn statement printed from the HCR that lists, at any given time, the names of aides who have successfully completed training and the type of training program (PCATP or HHATP) that they completed. It also includes home address, date of birth, and the date of successful completion. This Certification Form must be signed by a Senior Official and notarized within 5 business days of the day on which the aide successfully completed the training program.

Certified

An aide is considered certified when the Senior Official has signed and notarized the Certification Form, attesting to the aide's identity and his/her successful completion of the training program.

Director/Coordinator

The PCATP Director/Coordinator, who must be a registered nurse, has oversight responsibility for the Personal Care Aide Training Program and ensures that the personal care aide has successfully completed all training requirements. PCATPs may have only <u>one</u> Director/Coordinator.

Home Care Registry (HCR)

Chapter 594 of the Laws of 2008 establishes the HCR, a web-based registry of all personal care and home health aides who have successfully completed a personal care aide or home health aide training program approved by either the New York State Department of Health (DOH) or the New York State Education Department (SED).

Nurse Instructor

PCATPs and HHATPs may have more than one Nurse

► The following abbreviations appear throughout this User Manual:

NYSDOH/DOH – New York State Department of Health

NYSOLTC/OLTC – New York State Office of Long Term Care

NYSED/SED – New York State Education Department

HCR – Home Care Registry

HCS/HPN – Health Commerce System/ Health Provider Network

HCSA – home care services agency

PCA/HHA - personal care aide/home health aide

PCATP – personal care aide training program

HHATP – home health aide training program

Instructor. For PCATPs, the Nurse Instructor is the registered nurse who teaches personal care skills. She/he must be currently licensed and approved by the Home Care Registry program. For HHATPs, the Nurse Instructor is any registered nurse who teaches a portion of the HHATP curriculum, other than the Supervising Nurse. The minimum qualifications of an HHATP Nurse Instructor are two years' experience as a registered professional nurse, one of which is in the provision of home health care services in an Article 36 or 40 approved agency or its equivalent for out-of-state home care agencies. HHATP Nurse Instructors must be approved by the Regional Office.

Official Agency Designee

Only HHATPs have an Official Agency Designee, whose signature appears on all HHATP certificates. HHATPs may have more than one Official Agency Designee.

Senior Official

Both HHATPs and PCATPs must designate at least one Senior Official. This person must be authorized to execute a legally binding instrument on behalf of the operator of the home care agency or owner of the training entity. The Senior Official is required to sign a written sworn statement, made under penalty of perjury and notarized, certifying that each person listed on the Certification Form has successfully completed the training. The Certification Form identifies each aide by name, address, date of birth and date on which such training was successfully completed. It also indicates whether the training was PCA or HHA. Training programs are required to keep the signed Certification Forms on file and provide them when requested by the DOH or SED.

Supervising Nurse

The Supervising Nurse is the registered nurse responsible for the supervised practical portion of home health aide training. HHATPs may have more than one Supervising Nurse. The minimum qualifications of a Supervising Nurse are 2 years experience as a registered professional nurse, of which one year is in the provision of home health care services in an Article 36 or 40 approved agency or its equivalent for out-of-state home care agencies. Supervising Nurses must be approved by the Regional Office.

Section 1: Home Care Registry Data Entry

	What Data		
Group	Must Be Entered?	By When?	By Whom?
1. Trained in a class starting on or after 9/25/09 and not listed in HCR.	Class information; trainee's name and date of birth.	Within five (5) days of start of class. Day one (1) is first day of class.	Only the training program can enter training information for this group of trainees.
2. Trained in a class starting on or after 9/25/09 and <u>not</u> <u>listed in</u> HCR.	Rest of personal information on aide other than name and date of birth.	Within five (5) business days of successful completion of the training program. Day one (1) is the day the aide successfully completes the training program.	Only the training program can enter training information for this group of aides.
3. Trained in a class starting on or after 9/25/09 and listed in HCR.	Only employment information. Aides in this group are already listed in the HCR. If the aide is not listed in the HCR, the training program must be told to enter the aide's personal and training information. The employer cannot do it.	Within five (5) business days of employment. Day one (1) is any day between the day that the employer is reasonably sure it is going to hire the aide, and the first day that the aide works for pay for the employer.	Employer.
4. Trained in a class that started before 9/25/09, employed on 9/25/09, and not listed in the HCR.	Personal, training and employment information.	By 9/25/10.	Employer of record on 9/25/09.
5. Trained in a class that started before 9/25/09, not employed on 9/25/09, and not listed in the HCR.	Personal, training and employment information.	Prior to providing home care services.	First employer on or after 9/26/09.
6. Trained in a class that started before 9/25/09, not employed on 9/25/09, and listed in the HCR.	Current employment information.	Within five (5) business days of employment. See Group 3 for definition of day one (1).	Current employer.

[►] For a schedule of entering staff that were in the employ of an agency on September 25, 2009, please see page 44.



Section 2: Training Entity Procedures

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Instructions for HHA and PCA Training Programs

What you need to use the HCR:

- ✓ Computer
- ✓ HCS/HPN access and account
- ✓ Roles assigned by HCS/HPN Coordinator
- ✓ Written policies and procedures regarding the HCR

☑ Important Reminders for Setting up a Class

Only for classes that begin on or after September 25, 2009, enter class start date, time and methodology, and choose the corresponding instructor from the drop-down menu in the HCR.

Then enter name and date of birth for each person in the class within 5 business days of class start date. Day one is class start date.

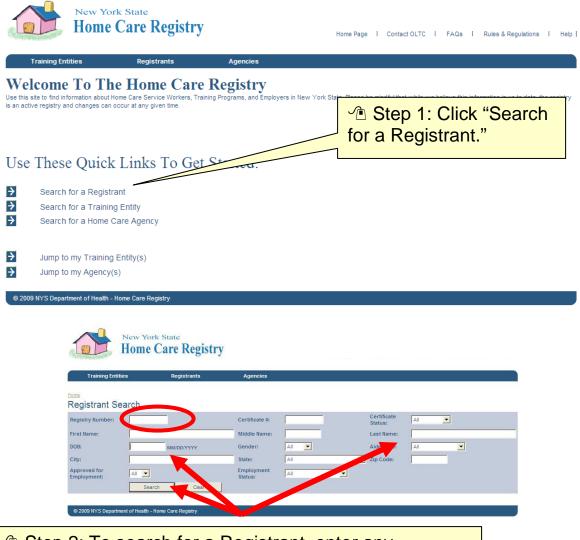
Print and execute the Certification Form within 5 business days of the day that the student successfully completes the training program. Day one is the day the student successfully completes training. The Certification Form is a list of students who have successfully completed training.

Print, sign and present certificates to each aide listed on the Certification Form within 5 business days of the date the Certification Form is executed (i.e., printed, signed and notarized.) Day one is the date the Certification Form is executed. Print and sign a second set of certificates to keep on file.

Retain the Certification Form and all original signed certificates, as well as documentation that each trainee's identity has been verified.

Search for a Registrant

Search for a Registrant → Search → View Selected Results

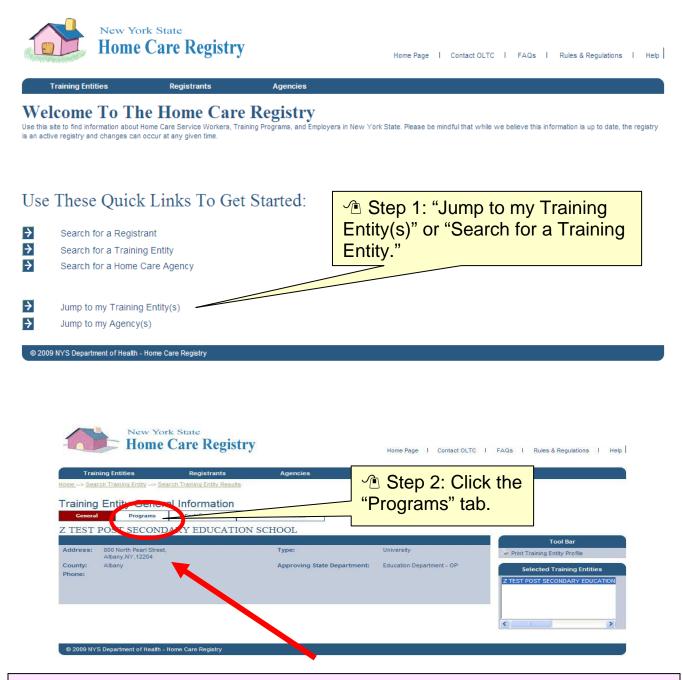


Step 2: To search for a Registrant, enter any information you may have, such as Registry Number, DOB, Last Name, etc., and click "Search."

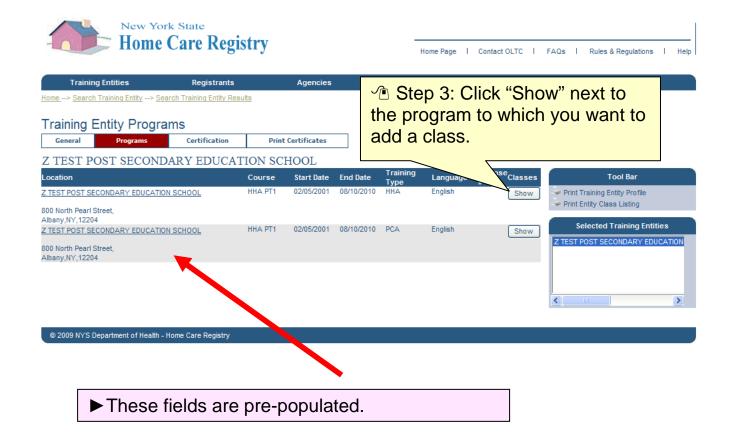
Add a Class

Jump to my Training Entity → General → Programs → Show → Program

Classes → Show → Add Class

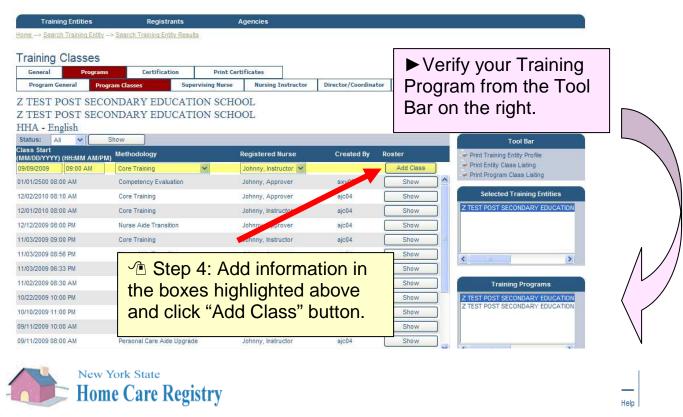


▶ Incorrect information here? Contact your Regional Office or SED contact.



Notes			



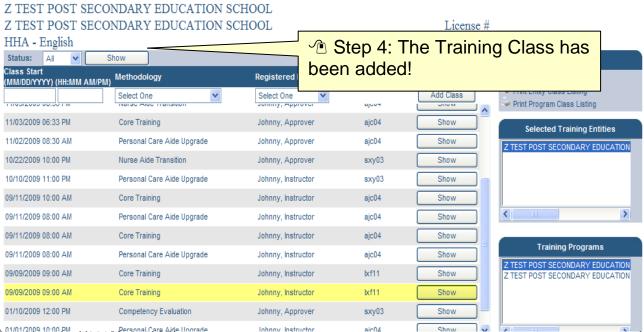


Training Entities Registrants Agencies

Home --> Search Training Entity --> Search Training Entity Results

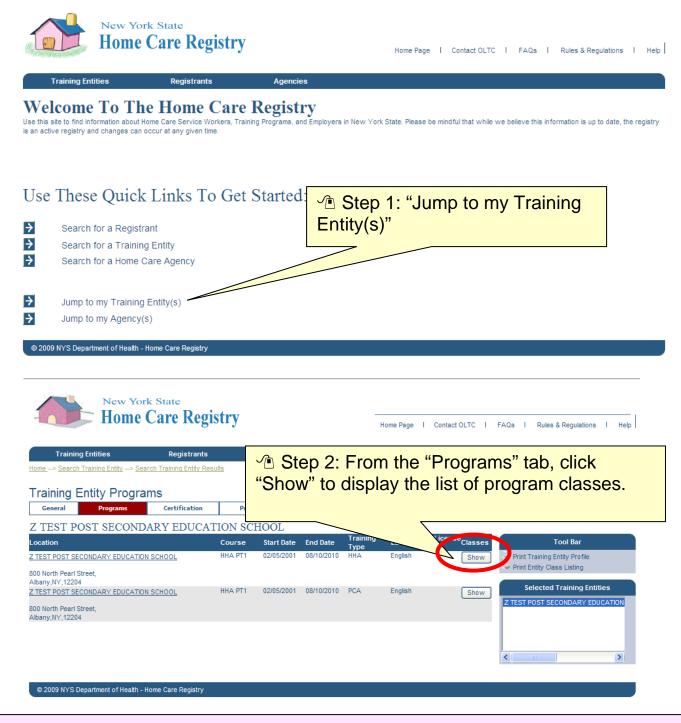
Training Classes

· Training Class added successfully.



Add a Student to a Class

Jump to My Training Entity → General → Programs → Show → Program Classes → Show → Class Roster → Add Student → No Match → Student → Roster



▶ When adding a student to a class, please ensure that all information is entered correctly. If you make a mistake, you can correct it at the end of this process by clicking "Edit." Correct the information and click "Save."



Home Page | Contact OLTC | FAQs | Rules & Regulations | Help Training Entities Agencies Registrants Step 3: Find the class in the list Training Classes and click "Show" to see the class General Certification Program General tifier Z TEST POST SECONDARY EDUCATION SCHOOL Z TEST POST SECONDARY EDUCATION SCHOOL License # HHA - English Status: All Tool Bar Class Start Registered Nurse Created By Methodology Print Training Entity Profile MM/DD/YYYY) (HH:MM AM/PM) Print Entity Class Listing Add Class Print Program Class Listing 01/01/2500 08:00 AM Competency Evaluation Johnny, Approver Show Selected Training Entities 12/02/2010 08:10 AM Core Training Johnny, Approver Show 12/01/2010 08:00 AM ajc04 Z TEST POST SECONDARY EDUCATIO Core Training Johnny, Instructor Show 12/12/2009 08:00 PM Nurse Aide Transition Johnny, Approver ajc04 Show 11/03/2009 09:00 PM Core Training Johnny, Instructor ajc04 Show 11/03/2009 08:56 PM Nurse Aide Transition Johnny, Approver aic04 Show > 11/03/2009 06:33 PM Core Training Johnny, Approver ajc04 Show 11/02/2009 08:30 AM Personal Care Aide Upgrade Johnny, Approver ajc04 Training Programs 10/22/2009 10:00 PM Nurse Aide Transition Johnny, Approver Z TEST POST SECONDARY EDUCATION sxy03 Show Z TEST POST SECONDARY EDUCATION 10/10/2009 11:00 PM Personal Care Aide Upgrade Johnny, Instructor sxy03 Show 09/11/2009 10:00 AM Core Training Johnny, Instructor ajc04 Show 09/11/2009 08:00 AM Personal Care Aide Upgrade Johnny, Instructor aic04 Show New York State **Home Care Registry** Step 4: Enter either the Registry Number OR First Name, Last Name, and Agencies **Training Entities** Registrants DOB and click "Add Student." e_--> Search Training Entity --> Search Training Entity Results Training Class Roster Certification Print Certificates Program General Program Classes rsina Instructor Director/Coordinator Official Agency Designee Z TEST POST SECONDARY EDUCATION SCHOOL Z TEST POST SECONDARY EDUCATION SO License # HHA - Core Training - English 09/11/2009 08:00 AM HHA PT1 Registry Number Status Tool Bar (MM/DD/YYYY (First) (Last) 02/25/1965 Print Training Entity Profile Adam Edit Withd Print Entity Class Listing 123457 01/01/1977 Print Program Class Listing 123456 Gonzalez . Maria 01/01/1977 Edit Withdraw Enrolled Print Class Roster Step 5: If the aide is not Registry Number Name already in the HCR, it will

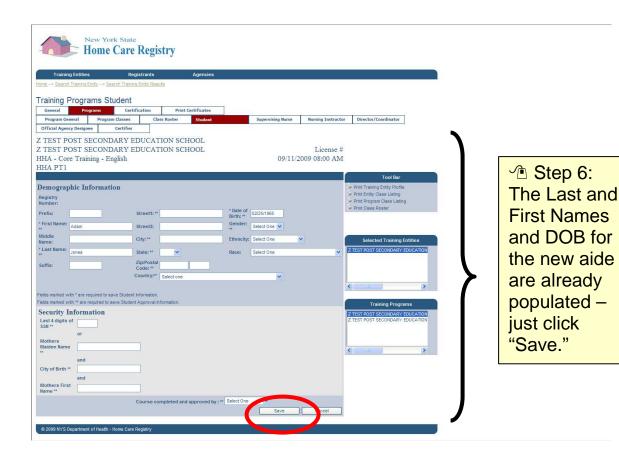
Return to Roster

No matching aides found.

No Match

show this screen; click "No

Match."





Home Page | Contact OLTC | FAQs | Rules & Regulations | Help

Training Entities Registrants Agencies

Home --> Search Training Entity --> Search Training Entity Results

Training Class Roster



Student Saved successfully.

Z TEST POST SECONDARY EDUCATION SCHOOL Z TEST POST SECONDARY EDUCATION SCHOOL

HHA - Core Training - English

License # 09/11/2009 08:00 AM

ŀ	HA PT1				Step 7:	Student is n	ow enrolled in the	
R	egistry Number	Name (First)	(Last)	DOB (MM/DD/YYYY)	class!			
							Print Training Entity Profile Print Entity Class Listing	_
1	23456	Gonzalez , Maria		01/01/1977	Enrolled	Edit Withdraw	Print Program Class Listing	
1	23457	Gonzalez , Maria		01/01/1977	Enrolled	Edit Withdraw	Print Class Roster	
Э		Jones , Adam		02/25/1965	Enrolled	Edit Withdraw	Selected Training Entities	7

Home

☑ Important Reminders for Approving a Student

Only the designated Senior Official can approve a student's successful completion of a class, and this must be done within 5 business days of completing the class.

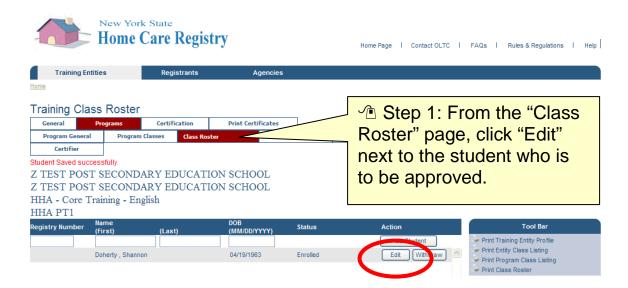
All approved students' names will be printed on the Certification Form.

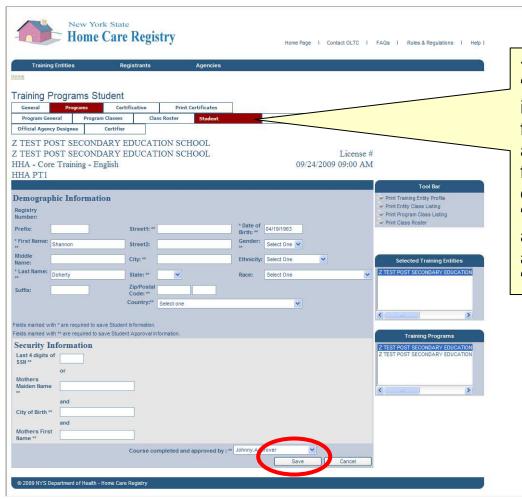
The Certification Form must be signed and notarized by the Senior Official within 5 business days of the day that the student successfully completes the training program.

The Certification Form must be kept on file along with documentation that the aide's identity was verified.

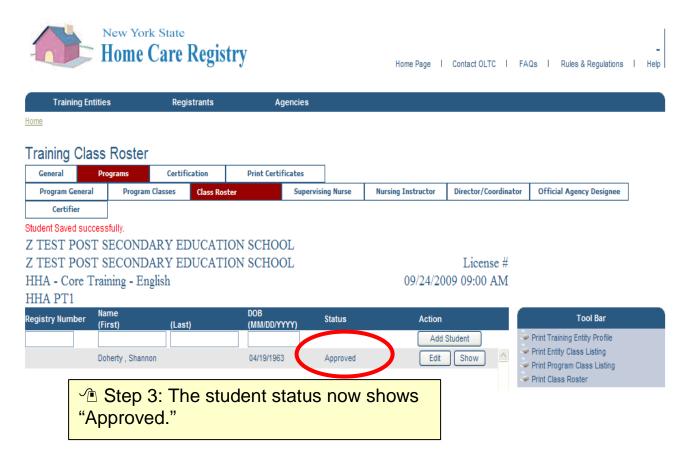
Approve a Student

Jump to My Training Entity → General → Programs → Program Classes → Class Roster → Edit → Student → Approve → Save





"Step 2: On the "Student" page, fill in all required fields, select the appropriate name from the drop down menu next to "Course completed and approved by" and then click "Save."



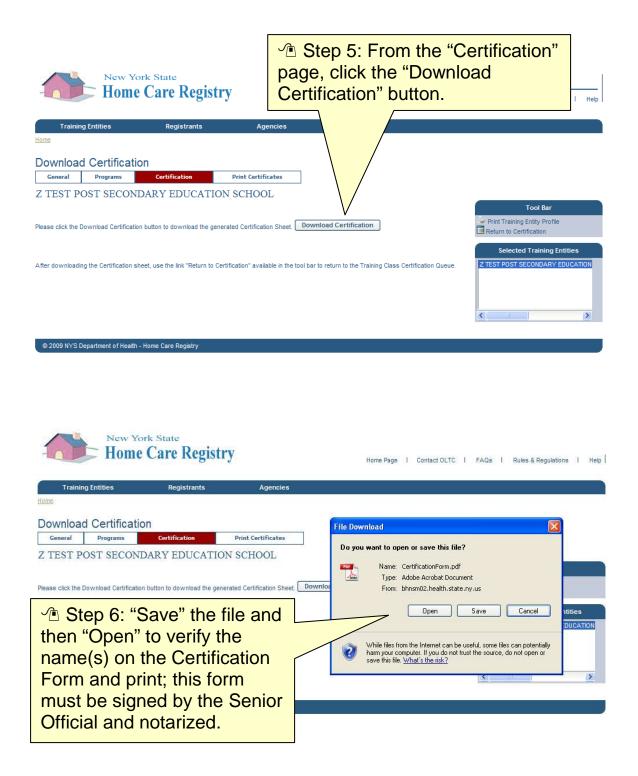
Enter the Successful Completion Date for Certification

Certification → Senior Official → Successful Completion Date → Print Certification Sheet

Certification

To certify to a student's successful completion, the Home Care Registry Training Program Updater clicks on "Certification:"

The next steps are to select the Senior Official, enter the date the student successfully completed the training program and then click "Print Certification Sheet." Step 1: Click Certification Training Class Certification Queue General Certification Programs **Print Certificates** · Please select a Senior Official Step 2: Select a Senior Z Test LI Official from the dropdown Z Test LHC Senior Official: Chris Mi Certifier > menu Successful Registry Completion Training Name Methodology Class Start Approved Number Date (MM/DD/YYYY) 02/11/2010 David Smithers HHA Core Training 03/04/2010 03/04/2010 rubels ryan Step 3: Enter successful 02/05/2010 John Deer completion date colleen colleen 03/02/2010 HHA Personal Care Aide Upgrade 01/01/2100 03/03/2010 fryin ryan HHA Personal Care Aide Upgrade 01/01/2100 01/26/2010 ryan ryan ► Check the spelling of all names before printing the Certification Form! Names on certificates will be spelled the way they are Step 4: Click "Print" spelled on the Certification Form. Certification Sheet." **Print Certification Sheet**



► This Certification Form is signed by the Senior Official under penalty of perjury and must be notarized.

- ▶ Don't forget! The Certification Form must be executed within 5 business days of the day the student successfully completes the training program. Certificates must be printed, signed and given to aides within 5 business days of execution of the Certification Form.
- ▶ What does *successfully complete* mean? For purposes of executing the Certification Form and giving signed certificates to aides, *successfully completed* or *successful completion* means, in connection with personal care aide training, the trainee has completed the forty hour home care curriculum and passed the home care curriculum evaluations or, alternatively, the trainee has passed the alternative competency demonstration administered by a DOH approved PCATP. In connection with home health aide training, it means the trainee has completed the forty hour home care curriculum and thirty-five hour home care health related tasks curriculum and passed the home care curriculum and home care health related tasks curriculum evaluations or, alternatively, that the trainee has passed a competency evaluation program administered by a DOH approved HHATP.

Notes:			

Reprint Certification Forms

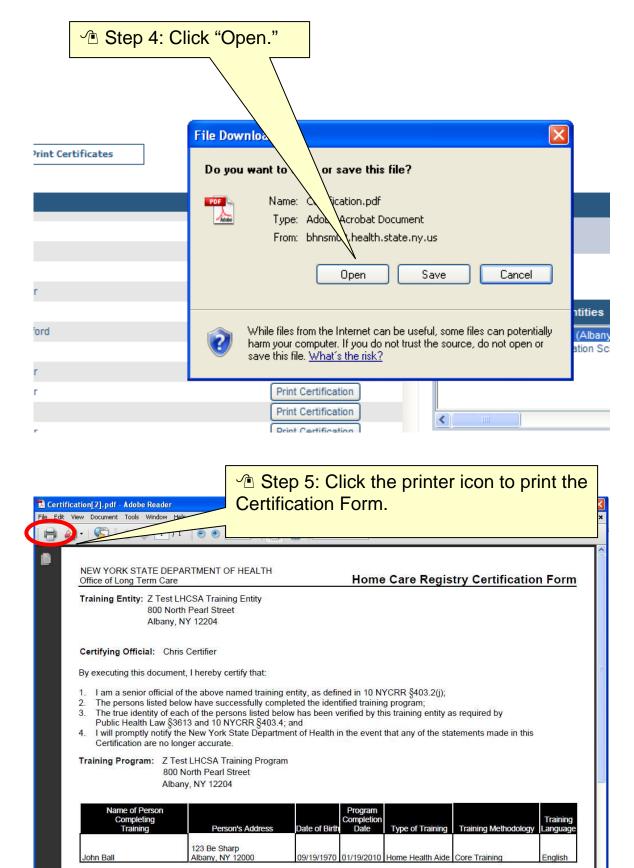
Certification → Reprint Certification Form → Print Certification → Open → Print

From the Training Class Certification Queue page, click "Reprint Certification Form" from the Tool Bar on the right.



On the left, locate the date of the certification that needs to be reprinted and click "Print Certification" on the right.



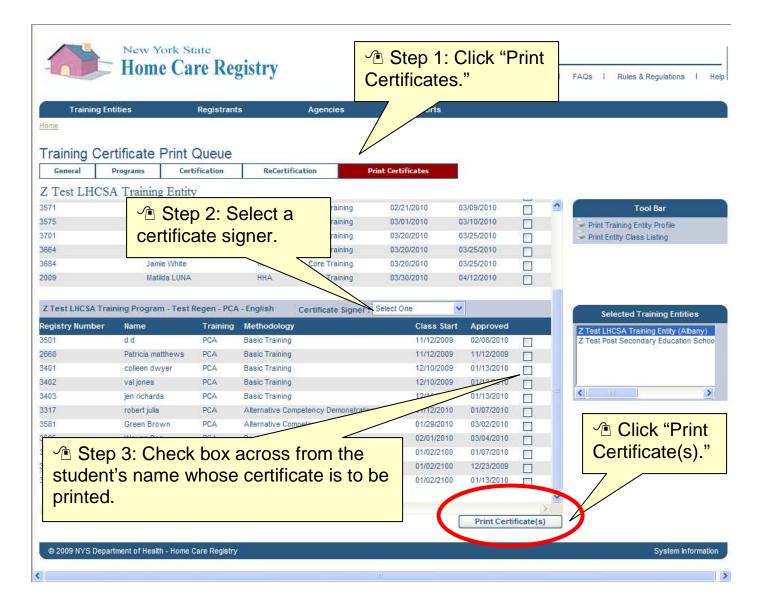


☑ Important Reminders about Certificates

Two certificates must be printed. One original certificate must be signed and given to the student within 5 business days of execution of the Certification Form. The other original certificate is signed and kept on file for 6 years (7 years for SED programs).

Print a Certificate

Print Certificates → Certificate Signer → Check box → Save





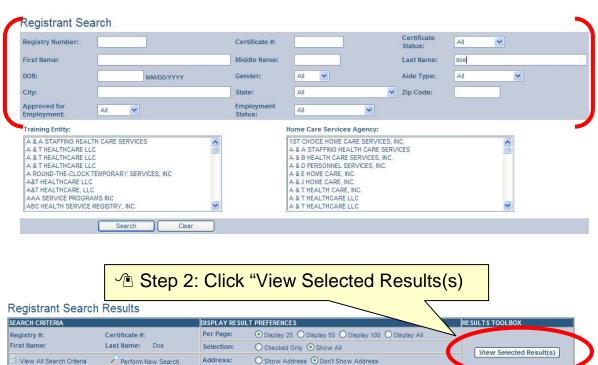
Reprint Certificates

Search for a Registrant → View Selected → General → Training

Use These Quick Links To Get Started:



Enter the certificate holder's search information.



DOB

03/26/1960

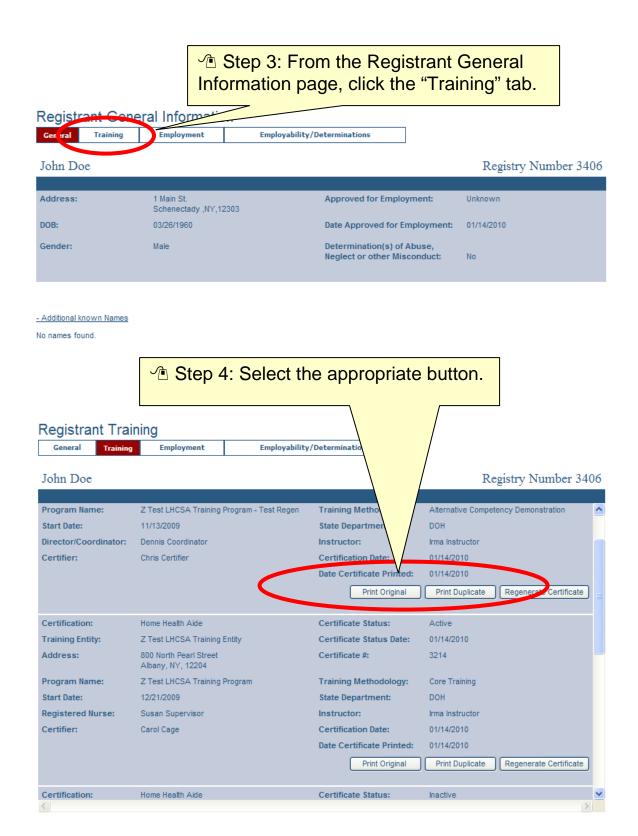
Doe . John

Print Search Results

One Registrant found.

Registry #

3406



Please note that these buttons will appear only for HCR certificates.

Print Original – Training program certificate printer can print a certificate marked 'Original' or 'Corrected Original', if one exists.

Print Duplicate – Training program certificate printer can print a certificate that is marked with 'Duplicate' or 'Corrected Duplicate', if one exists.

Regenerate Certificate – Training program certificate printer can use this button to correct certain features of a certificate and then, "Print Original."

Regenerate certificate is used for the following:

- Certificate formatting
- Director Coordinator changes
- Training Entity/Program name and address changes/corrections

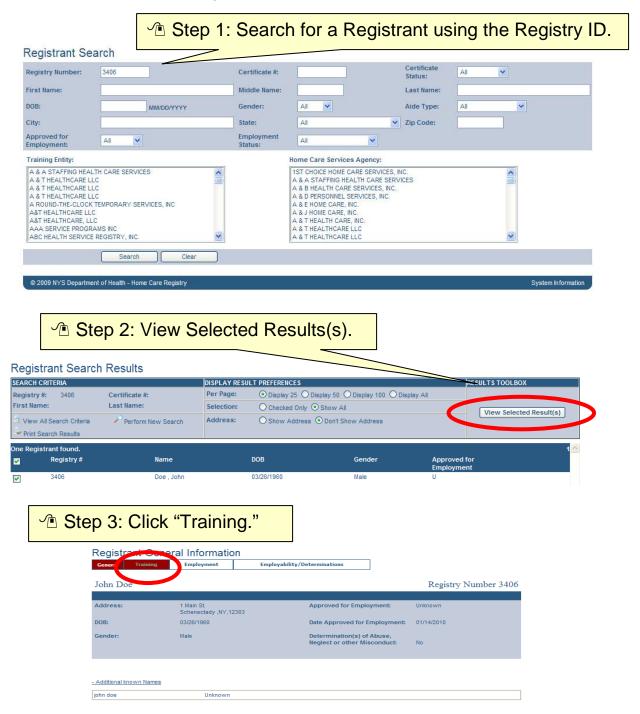
Important!

This functionality:

- does NOT enable the training program to change or correct the spelling of the aide's name;
- > is available ONLY to the training program that issued the certificate; and
- will eventually be available for only 30 days after the date of successful completion that appears on the certificate.

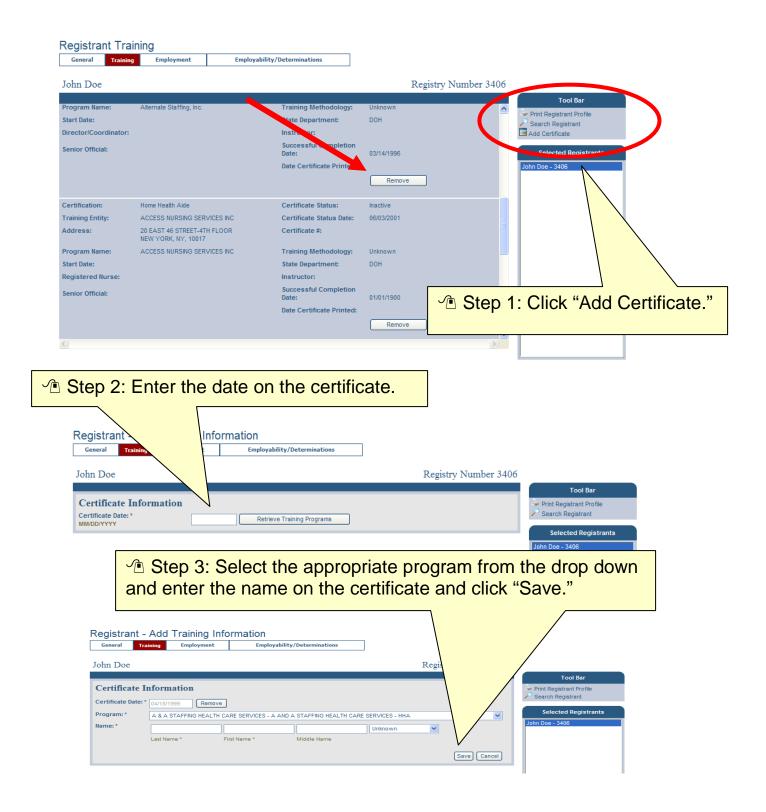
Add or Remove a Certificate

Search for a Registrant → View Selected → Registrant General Information → Training → Remove/Add



Step 4: Click, "Remove" to remove a non-HCR certificate.

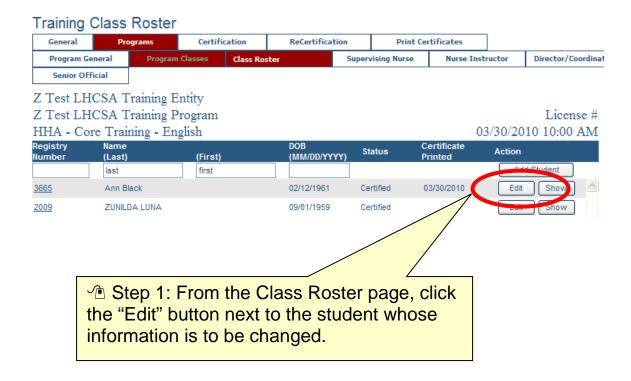
*Note: a certificate cannot be removed if it is the only certificate. When removing one certificate to add another, please add the certificate first and then remove the other certificate.

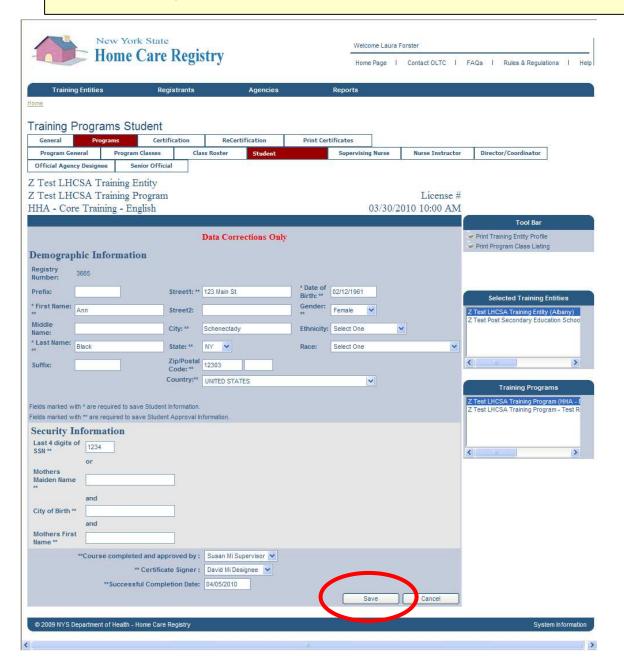


Data Corrections for Training Programs

Jump to my Training Entity → Programs → Show → Show → Edit → Save

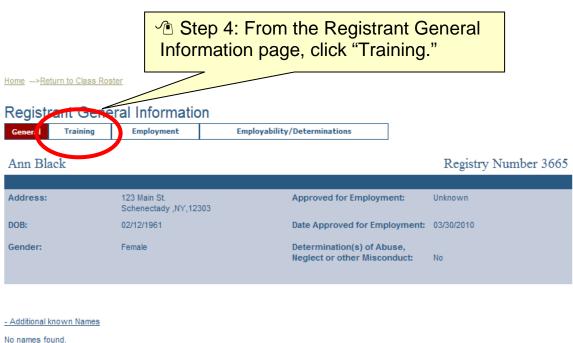
The following steps are to correct the student's name, DOB, address, successful completion date or any other fields such as gender, ethnicity, and security information.







Step 3: The date of successful completion was changed and saved. To print the certificate, click on the registry number to the left of the aide's name.



[♠]Step 5: From the Registrant Training page, click "Print Original." This will bring up an Adobe pop-up. Click "Print Certificate"

Home -->Return to Class Roster



Ann Black

Registry Number 3665



Home -->Return to Class Roster <

Step 6: Don't forget! The student must be re-certified. Click the link, "Return to Class Roster" and then click on the "ReCertification" tab. On this page, click the "ReCertify" button to the right of the date of the original certification.

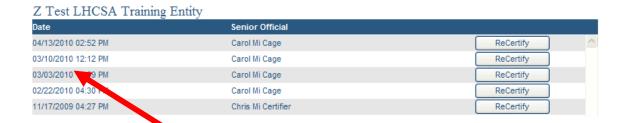
Print Certificates

Home

ReCertification Form Queue

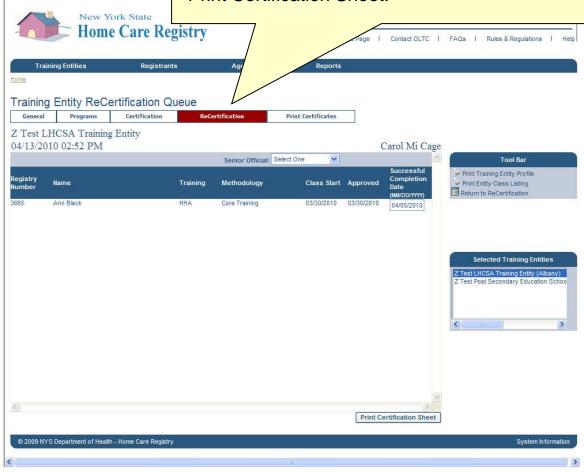
General Programs Certification

General Programs



ReCertification

[♠] Step 7: On the ReCertification page, select the senior official, verify the successful completion date and click "Print Certification Sheet."





After downloading the Certification sheet, use the link "Return to Certification" available in the tool bar to return to the Training Class Certification Queue.

Step 9: Verify the information on the Certification Form, sign and notarize.

NEW YORK STATE DEPARTMENT OF HEALTH Office of Long Term Care

Home Care Registry Certification Form

Training Entity: Z Test LHCSA Training Entity

800 North Pearl Street Albany, NY 12204

Certifying Official: Carol Mi Cage

By executing this document, I hereby certify that:

- 1. I am a senior official of the above named training entity, as defined in 10 NYCRR §403.2(j);
- 2. The persons listed below have successfully completed the identified training program;
- The true identity of each of the persons listed below has been verified by this training entity as required by Public Health Law §3613 and 10 NYCRR §403.4; and
- I will promptly notify the New York State Department of Health in the event that any of the statements made in this Certification are no longer accurate.

Training Program: Z Test LHCSA Training Program

800 North Pearl Street Albany, NY 12204

Name of Person Completing Training	Person's Address	Date of Birth	Successful Completion Date		Training Methodology	Training Language
Ann Black	123 Main St. Schenectady , NY 12303	02/12/1961	04/05/2010	Home Health Aide	Core Training	English



Section 3: Home Care Agency Procedures

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Instructions for Home Care Agencies

What you need to use the HCR:

- ✓ Computer
- ✓ HCS/HPN access and account
- ✓ Roles assigned by HCS/HPN Coordinator
- ✓ Written policies and procedures regarding the HCR

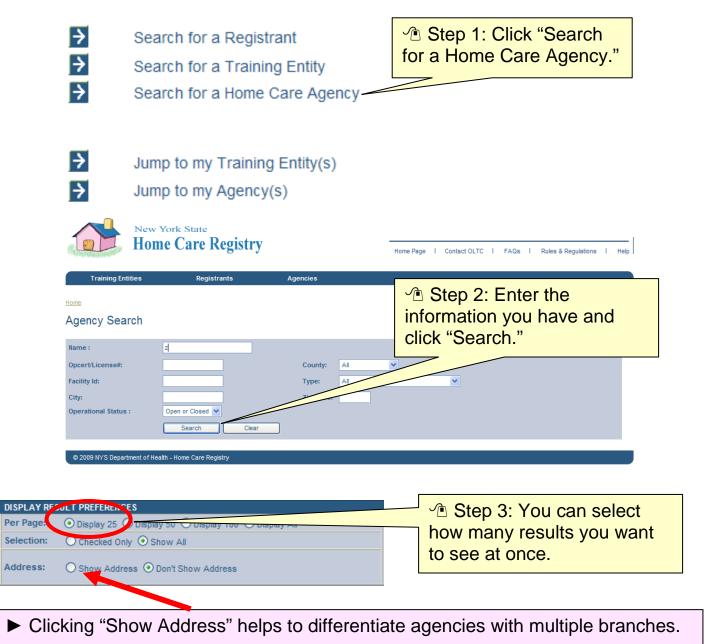
► Don't forget – check your role!

Notes			

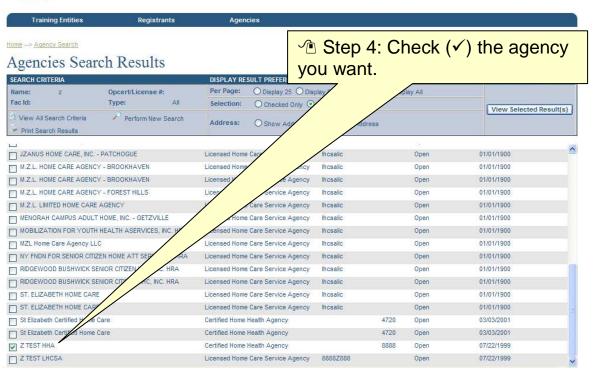
Search for an Agency

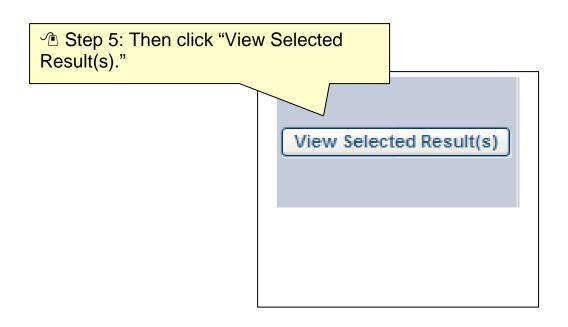
Search for a Home Care Agency → Search → Check Agency – View Selected Results(s)

Use These Quick Links To Get Started:









☑ Important Reminders for Adding an Aide

Always search the HCR to access the aide's information prior to the aide beginning to provide home care services.

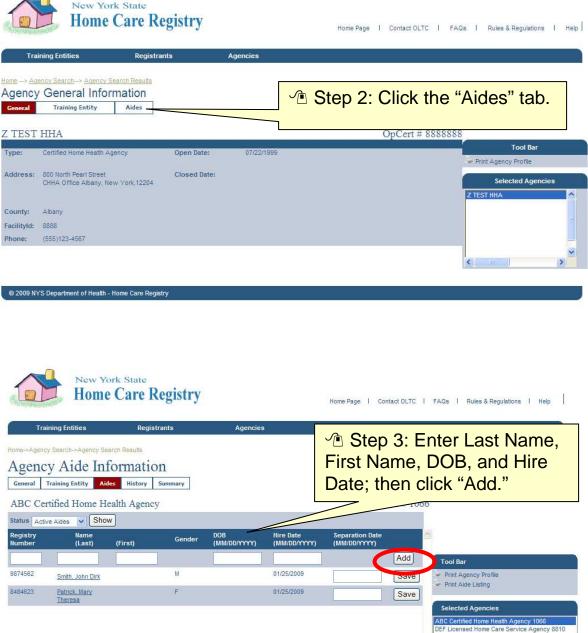
An aide who successfully completed a DOH or SED approved training program in a class that started on or after September 25, 2009, may not provide services unless the aide's training and personal information has been posted to the HCR by the training program.

Add an Aide

General → Aides → No Match → Add Aide







Step 4: Select the matching name or click "No Match."



☑ Important Reminder for Verifying Information

Please remember that the HCR does NOT replace the process for verifying identity. Additionally, if the aide's certificate was not generated by the HCR, you must verify that it was issued to the aide by an approved training program.

▶ "What about aides who were already employed on September 25, 2009?"

All aides in the employ of an agency on September 25, 2009 must be submitted to the HCR through the HCS/HPN on a quarterly basis according to the following schedule or earlier:

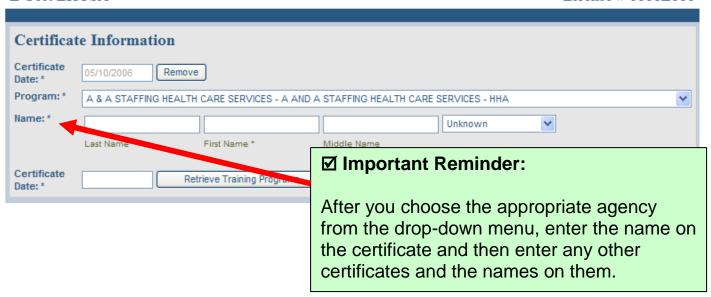
Aides whose last name begins with A - F: December 24, 2009 Aides whose last name begins with G - L: March 24, 2010 Aides whose last name begins with M - R: June 24, 2010 Aides whose last name begins with S - Z: September 24, 2010

Agencies are required to submit this information for all aides in their employ on September 25, 2009 even if the aide does not work for the agency at the time the agency must submit the information.



- Enter the Certificate Date, click "Retrieve Training Programs," and then select the appropriate program from the drop down list. Don't forget to include the name on the certificate.
- 2. Enter the demographic information.
- 3. Enter either the last four (4) digits of the social security number (SSN) OR fill in the answers to all three questions. Please note that providing the last four digits of the social security number is optional and cannot be required.
- 4. Enter previous employment "Hire Date" and "Separation Date," click "Retrieve Agencies." Select the appropriate agency from the drop-down list and then "Save." (Repeat for each employment agency.)

Z Test LHCSA License # 8888Z888



☑ Important Reminder:

For LHCSAs only...

Process for inputting aides whose employment spans the course of two license numbers:

When you are adding an aide under your current license number, but that license number differs from your previous license number, enter the Hire Date and then use the close date of the previous license number as the Separation Date. Use the current license open date as the next Hire Date for that employee. This will reflect continuous employment with the same agency through license number changes.

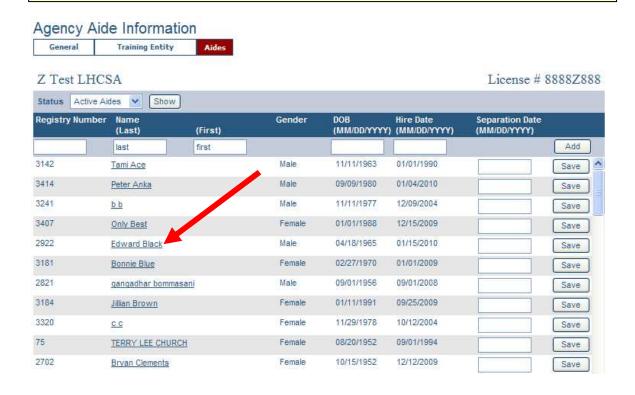
► "The training program I am looking for is not in the drop-down list; what should I do?" Call the HCR Help Desk at 1 (877) 877-1827 or email HCReg@health.state.ny.us.

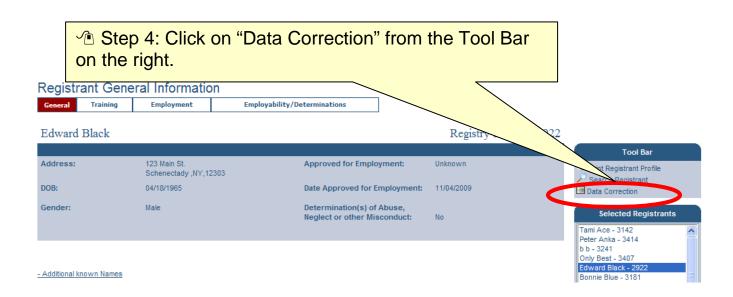
Data Correction for Agencies

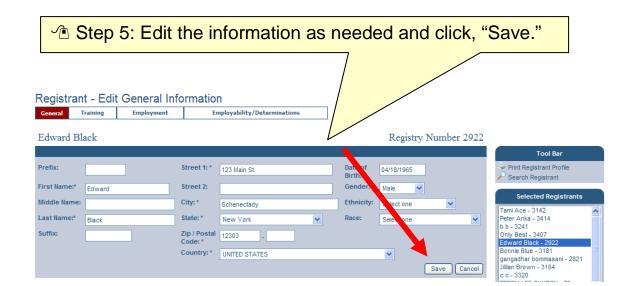
Jump to My Agency → Aides → Aide Name → Data Correction → Save



Step 3: Click on the name of the aide whose information needs to be edited.





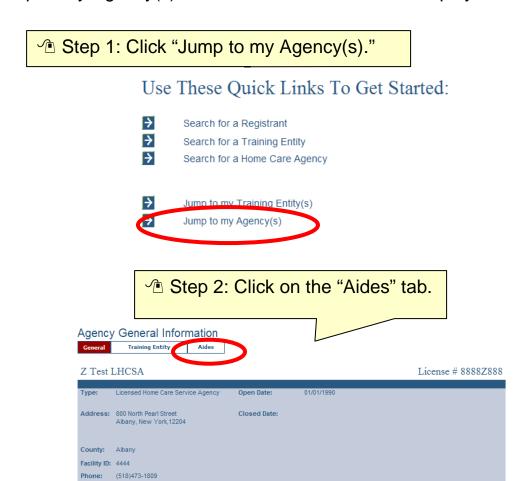


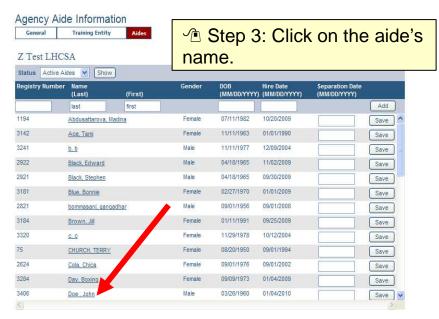
Step 6: HCR will generate a message indicating that the demographic information has been updated.

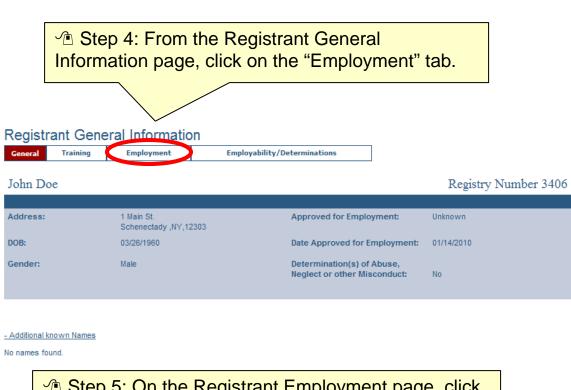


Remove Employer

Jump to my Agency(s) \rightarrow Aides \rightarrow Aide's name \rightarrow Employment \rightarrow Remove





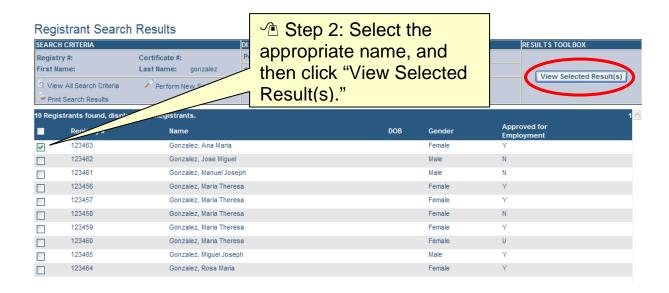




View Training History

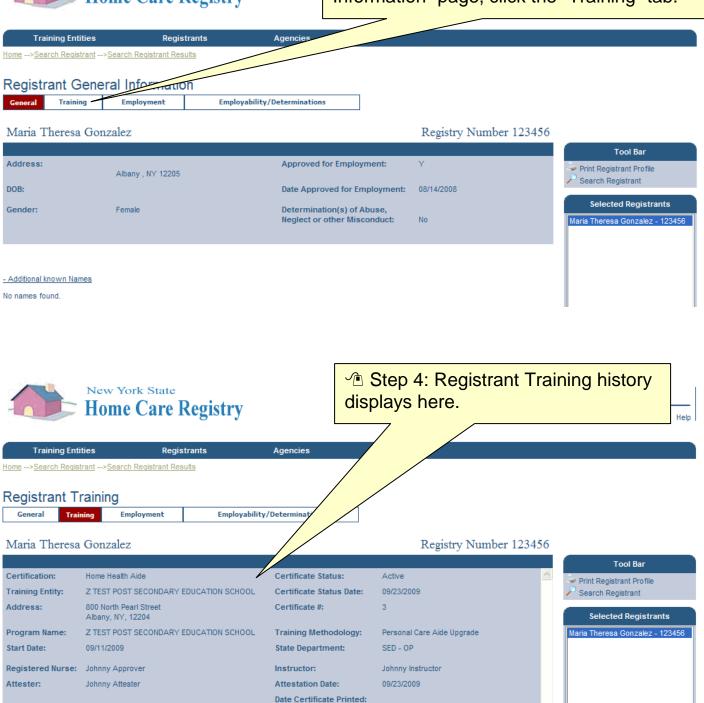
Search for a Registrant → View Selected Results(s) → Training







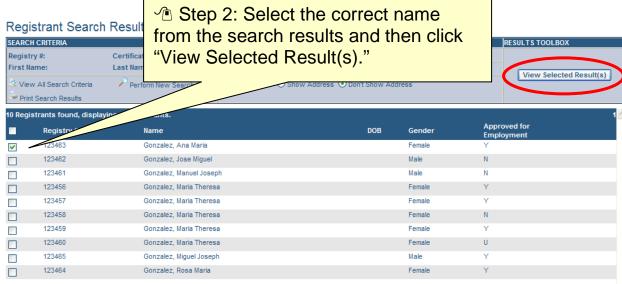
Step 3: From the "Registrant General Information" page, click the "Training" tab.



View Employability

Search → Registrant General Information → Employability/Determinations



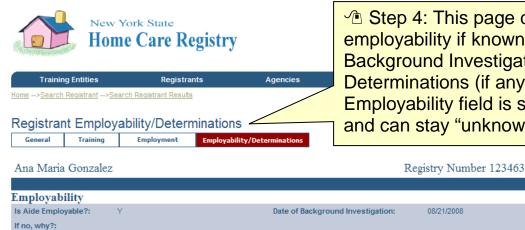


Step 3: From the "Registrant" General Information" page, click the "Employability/Determinations" tab.

Registrant General Information Training Employment

Ana Maria Gonzalez Registry Number 123463 Approved for Employment: Print Registrant Profile Test7 NY 12845 Search Registrant DOB: 08/21/2008 Date Approved for Employment: Selected Registrants Gender: Female Determination(s) of Abuse, Neglect or other Misconduct: - Additional known Names No names found.

Employability/Determinations



Disclaimer regarding Abuse, Neglect, Misappropriation, Misconduct in a patient care setting.

Step 4: This page displays employability if known, Date of Background Investigation, and Determinations (if any). The initial Employability field is set to "unknown" and can stay "unknown" for quite a while.

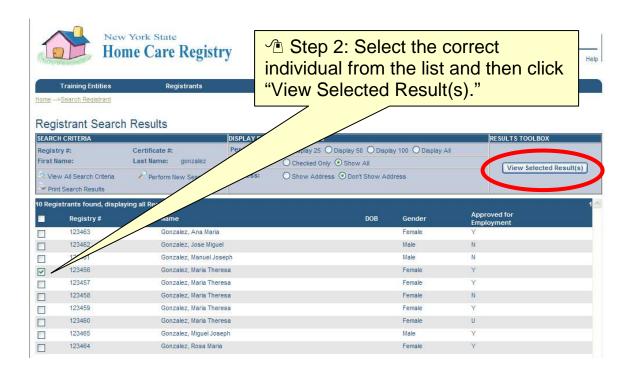


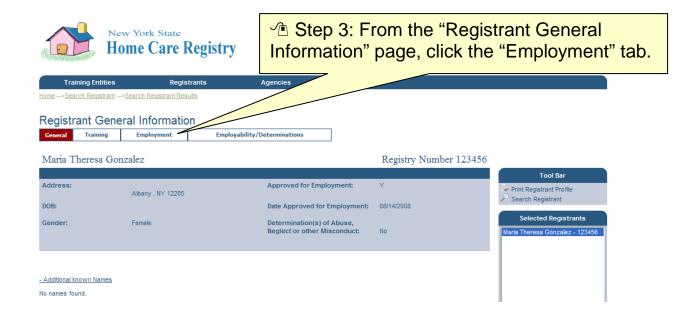
Aide Response: **Determinations**

View Employment History

Search → Registrant General Information → Employment













Section 4: General Public Procedures

In this section of the User Manual, you will learn how to use the Home Care Registry if you are a member of the general public and not a training program or home care agency.

How the General Public Can Access the Home Care Registry

The general public can access the HCR from the Department of Health website at this location:

www.health.state.ny.us

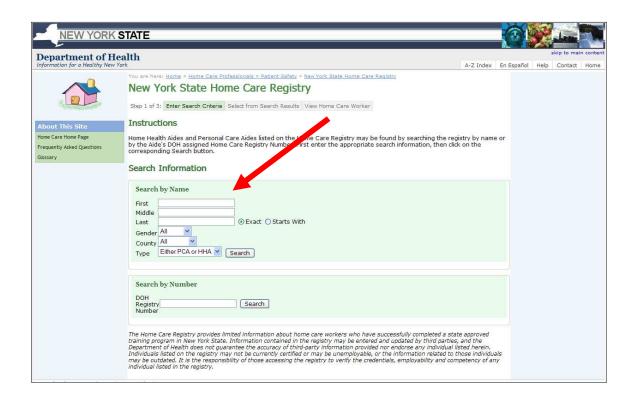
Click on the button at the right that says, "Health Care Professionals & Patient Safety."



Next, click the link on the main page that says, "Home Care."

Members of the general public should then follow these steps:

Step 1: To search for a home health or personal care aide, enter the information you have in the boxes provided. If you have the DOH registry number, enter it in the bottom box under, "Search by Number."

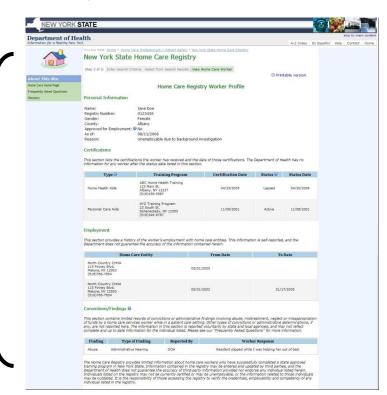


Step 2: From the search results, select the name you want.



Step 3: Click on the name, and then the aide's information will be displayed. Please note that by clicking on the question mark icon, more information is available on employability determination, the type of training program, certification status, convictions and findings.

Personal Information Certifications Employment Convictions/Findings



Section 5: APPENDIX - New York Certified Aide Registry and Employment Search Act

THE LAWS OF STATE OF NEW YORK, 2008

CHAPTER 594

AN ACT to amend the public health law, in relation to home care services worker training and registration, became a law September 25, 2008, with the approval of the Governor. Passed by a majority vote, three-fifths being present.

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

Section 1. Short title. This act shall be known and may be cited as the "New York certified aide registry and employment search act".

- § 2. The public health law is amended by adding a new section 3613 to read as follows: § 3613. Home care services workers. 1. As used in this section, the following terms shall have the following meanings:
- (a) "Home care services entity" means a home care services agency or other entity providing home care services subject to this article or exempt under section thirty-six hundred nineteen of this article.
- (b) "Home care services worker" or "worker" means any person engaged in or applying to become engaged in providing home health aide services, as defined in subdivision four of section three thousand six hundred two of this article or "personal care services", as defined in subdivision five of section three thousand six hundred two of this article.
- (c) Home care services worker registry" "or "registry" means the home care services worker registry established by this section.
- (d)"State-approved education or training program" "or "program" means a program that provides education or training for persons to meet any requirement established by the department for providing home health aide services or personal care services, which program is approved by the department or the state education department.
- 2. The department shall develop and maintain a home care services worker registry of persons who have successfully completed a state-approved education or training program. Information in the registry shall be readily accessible on the department's website by the public, home care services workers, and home care services entities, subject to subdivision seven of this section. A home care services entity shall obtain information relating to a home care services worker, pursuant to paragraph(c) of subdivision seven of this section, prior to the worker beginning to provide home care services for that entity, except that a home care services worker employed by any entity prior to the effective date of this section may provide home care services as provided in subdivision eight of this section. No employer of a home care services worker other than a home care services entity shall be required to obtain information from the registry.
- 3. The registry shall include, but not be limited to, the following information concerning each person who has successfully completed a state-approved education or training program that is listed in the registry:

- (a) Full name, including pre-marital name and any other names currently or previously used:
- (b) Current home address:
- (c) Gender;
- (d) Date of birth;
- (e) Name of each state-approved education or training program successfully completed, the name of the entity providing the program, and the date on which the program was completed;
- (f) History of work in home care services through any home care services entity, including dates of employment and name of entity providing the employment;
- (g) Final findings made in accordance with the provisions of statutorily established proceedings subject to the state administrative procedure act or other similar law, that the person engaged in physical abuse, mistreatment, neglect or misappropriation of a patient's property, while serving the patient as a home care services worker or in another capacity, the name of the governmental agency, case number if a number is assigned, and date of determination, together with any statement concerning such determination submitted by the person, that may not identify any other person and may not exceed one hundred fifty words; and (h) A record of any determination of the department regarding the approval or disapproval of a prospective employee pursuant to subdivision five of section eight hundred forty-five-b of the executive law, together with any statement concerning such determination submitted by the person, that may not identify any other person and may not exceed one hundred fifty words.
- 4. The registry shall include a comprehensive list of all state-approved education or training programs. The list shall be updated at least monthly by the department and the state education department. The respective departments shall promptly submit updated information whenever such information changes.
- 5. (a) The department shall specify which information for the registry shall be submitted and updated by the state-approved education or training program, home care services worker and home care services entity, subject to the provisions of this subdivision.
- (b) Any entity that offers or provides a state-approved education or training program shall provide the department the following documentation for every person who successfully completes any program provided by the entity, in the form and manner provided by the department: (i) a written sworn statement by the senior official of the entity that offers or provides such program, made under penalty of perjury, certifying that each person has in fact successfully completed the identified program, identifying each such person by name, address, date of birth and date on which such program was completed, and describing the nature of the education or training covered in such program; and (ii) proof that such entity has verified the true identity of each person who has successfully completed the identified program.
- (c) A home care services worker employed by a home care services entity shall only be required to provide for the registry that information specified in paragraphs (a), (b), (c), (d) and (e) of subdivision three of this section, and, to the best of their knowledge and recollection, paragraph (f) of subdivision three of this section.
- (d) The registry shall be updated at least monthly. Any person or entity required or choosing to provide information to the registry shall promptly submit updated information whenever such information changes.
- 6. No charges shall be imposed on any person or entity for any costs related to the registry.
- 7. (a) Members of the public may access and obtain information in the registry through the department's website, except information specified in paragraphs (b) and (d) of subdivision three of this section. The department shall also provide toll-free telephone access for members of the

public to access and obtain information from the registry, except information specified in paragraphs (b) and (d) of subdivision three of this section.

- (b) A home care services worker may access or obtain any information in the worker's own listing in the registry.
- (c) A home care services entity may access or obtain any information in the registry relating to any home care services worker the entity engages or is considering engaging to provide home care services.
- (d) The department shall include security mechanisms in the registry to implement this subdivision and to maintain a record of accessing or obtaining information from the registry by every home care services entity.
- 8. The department shall provide reasonable and appropriate timetables, notices and phase-in mechanisms for applying various provisions of this section to state-approved education and training programs, home care services entities, persons becoming home care services workers and persons already engaged as home care services workers. Persons employed as home care services workers on the effective date of this section shall be registered as soon as practicable, but not later than twelve months after such effective date.
- 9. The commissioner shall make rules and regulations reasonably necessary to implement the provisions of this section.
- § 3. This act shall take effect one year after it shall have become a law. Provided, however, that the commissioner of health is authorized to promulgate rules and regulations and take any other measures reasonably necessary to implement this act on its effective date on or before such date.



Section 6: Additional Resources

Dear Administrator Letter HCBS 09-13

https://commerce.health.state.ny.us/hpn/hha/dals/DAL_DHCBS_09-13_Ch_594.pdf

FAQ

https://commerce.health.state.ny.us/hpn/hha/training/HCR_FAQ.pdf

Public HCR

https://apps.nyhealth.gov/professionals/home_care/registry/home.action

HCR on the HCS/HPN

https://commerce.health.state.ny.us/doh2/applinks/hcswr/Home.action

Section 7: Contact Information

Home Care Registry
Toll-Free Customer Service Assistance:

1 (877) 877-1827

E-mail Help Desk Assistance:

HCReg@health.state.ny.us

