

**Office of Health Insurance Programs
Division of Finance and Rate Setting
Bureau of Managed Long Term Care / FFS**

MDS 3.0 CENSUS COLLECTION PROCESS

Background:

Beginning 2009 NYS Department of Health (DOH) initiated the use of Minimum Data Set (MDS) which replaced the Patient Review Instrument (PRI). The MDS is a Federal required instrument that is used to calculate patient acuity. The Federal government collects the data from nursing facilities on a daily basis and sends that data to DOH. The Department on a bi-annual basis collects and finalizes that data which is then refined to produce a case mix index for reimbursement purposes. The process requires a great deal of input from nursing homes.

The current process poses a significant amount of challenges:

- Facilities often times do not submit the required data to CMS
- Data provided by facilities are often times incomplete
- Some facilities tend to manipulate the data to achieve higher reimbursement
- Too much staff time is required to help facilities upload or correct data
- Recurring issues with software/programming results in rates being frequently late.

Proposed Solution: Midpoint methodology

1. Using the **MIDPOINT METHODOLOGY**
 - a. Decide on a period for data collection
 - b. Collect ALL MDS data for a given collection period.
 - c. Any date can be used
 - d. Must give 45 days from the date chosen (but maybe not)
 - e. Program will:
 - i. Find a record for each **UNIQUE** individual in the nursing home during the chosen time frame
 - ii. Score the records
 - iii. Create a summary file by OPCERT / RUG category
 - iv. Load to a spreadsheet
 - v. Calculate an average CMI for each facility
 1. CMI can be loaded to the rate setting system OR
- COUNTS by RUG can be loaded to the rate setting system

Benefits to Facilities:

- Eliminates the need to upload during DOH specified timeframe
- Eliminates surrender and unmatched records
- Utilizes an average case mix for all residents rather than providing a case mix for only those residents in the facility on a specified census date.
- Rates will be released on time
- Facilities only required to submit data to CMS

Benefits to the Department:

- On time rates/ faster processing time
- Auditable data
- Data will be rate system ready
- Quarter files already in place
- Eliminates upload process and missing data issues
- Eliminates software/programming issues
- Utilization of much less staff time