

This document contains two sets of questions and responses. The first section is related to questions received between December 11th and 18th with responses made available January 8, 2016. The second section is questions that were received by November 10, 2015. Those responses were previously made available on December 11, 2015.

Applicants are encouraged to review all questions and answers in this document. Please note that the information provided is intended to provide general guidance with respect to the application process and NICIP program, and should not be construed as final approval or disapproval of any particular project or project costs. Often, the determination as to eligibility is fact specific and is made on a case by case basis. Applicants are encouraged to provide a robust description of the project that will be funded by the NICIP grant, as well as how the project is consistent with the NICIP enabling legislation and the RFA.

In addition, if an Applicant is encountering technical difficulties on the Grants Gateway including; issues applying for the Grant opportunity, prequalification, or other data entry problems, please direct your questions to the Grants Gateway Help Desk at 518-474-5595 or e-mail at grantsreform@its.ny.gov.

Nonprofit Infrastructure Capital Investment Program (NICIP)
Responses to Questions Received from December 11, 2015 - December 18, 2015

Questions & Responses

1	<p>1. Our Agency is developing a training facility to prepare disabled individuals for competitive employment opportunities. We plan on applying for funds to assist with the construction/rehab of space that we currently own. Would equipment costs be an allowable expense under this RFP? We would be purchasing the equipment and installing it a building which we own.</p> <p>2. Our Agency serves more than 350 individuals on a daily basis, most of whom are transported to our facility via Agency-owned vehicles. Our parking lot/driveway poses many problems related to health & safety. Would the reconstruction of the parking lot/driveway be an allowable request?</p> <p><i>1. Yes, certain equipment may be eligible for NICIP funding. See section 2.13 of the RFA as amended on December 11, 2015, for a list of eligible costs, and for the definition of "capital work or purpose" as stated in the State Finance Law. Generally, items that are disposable after a single use, or items that are regularly purchased for a limited period, including, but not limited to, items such as printer toner, paper, filters, and similar items are considered supplies. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
2	<p>We would like to apply for funding for Stage 4 of a large project. This stage would include a two purpose project at a single site. Phase 4 is completely separate from Stages 1 thru 3 with separate architectural work and construction. Stages 1-3 have been completed; Stage 4 will not have any impact on Stages 1-3. Would we be eligible to apply for funding for Stage 4 as we meet all other criteria.</p> <p><i>Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
3	<p>We are a performing arts organization. We are interested in upgrading our bathrooms to serve more customers and to upgrade our HVAC system so we may have performances during the summer months. Is our organization eligible to apply under the NICIP grant funding?</p> <p><i>No, it does not appear that the project would qualify. See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
4	<p>Are nursing homes licensed under Article 28 of the Public Health Law eligible to apply for funding under this program?</p> <p><i>See Section 2.11 of the RFA and Article 28 of the New York State Public Health Law. All entities covered under the Article 28 definition of "hospital", including nursing homes, are ineligible to apply.</i></p>
5	<p>We are a nonprofit organization that did not directly receive HEAL NY funding. However, another entity did receive HEAL NY funding, and that entity subsequently distributed that funding to our organization. Are we still ineligible to be awarded NICIP grant funding?</p> <p><i>See Section 2.11 "Eligible Entities" on page 7 of the RFA as amended on December 11, 2015. Applicants that received HEAL funding are ineligible for NICIP funding.</i></p>
6	<p>The amended RFP states that for technology projects we must demonstrate 3 years of site control for the project location. Our project is acquisition of a new electronic health record system (implemented at multiple sites) which is fully cloud based, thus there is no primary physical base for the project. Does our project qualify, and assuming yes, how do we answer the site control question?</p> <p><i>See Sections 2.13 for a list of eligible costs, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for a general description of eligible software costs. No, it would not appear that these costs would qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
7	<p>Have Minority and Women-owned Business Enterprises (M/WBEs) performance goals been established for this RFA? Are there specific percentage targets that must be met?</p> <p><i>While the enabling legislation does not require MWBE participation, NICIP grant awardees are encouraged to meet the participation goals for MWBE and Service-Disabled Veteran-Owned businesses set forth in the Contracting Opportunity notice for the NICIP Program published in The New York State Contractor Reporter on October 30, 2015, which are as follows:</i></p> <p><i>Minority Owned Sub-contracting Goal - 18%</i></p> <p><i>Women Owned SUB-contracting Goal - 12%</i></p> <p><i>Service-Disabled Veteran-owned Business Contracting Goal 2%</i></p>
8	<p>Section 2.13: Are condensing domestic hot water heater replacements, ozone systems, kitchen hood control, steam trap replacements, adding a/c unit to conference room applicable for this grant?</p> <p><i>The purpose of NICIP is to provide funds for targeted investments for projects utilized to provide direct services. See Sections 2.13 & 2.14 of the RFA for eligible and ineligible costs. Yes, it would appear that many of these costs would qualify within the context of an overall renovation project. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
9	<p>Do we need to provide proof of site control (a deed or lease documentation) at each site for an IT project? We have multiple sites.</p> <p><i>See Section 2.15 of the RFA, as amended on December 11, 2015, for site control requirements. Yes, site control criteria must be met for each site. For grants to install or upgrade technology, Applicants must either own the real property where the technology equipment will be located, or have entered into a lease with a term extending at least three years from the date of application.</i></p>
10	<p>Are Applicants required to submit a Grant Disbursement Agreement (Appendix 3 of the RFA) and a Grantee Questionnaire with the initial application?</p> <p><i>The Grant Disbursement Agreement (GDA) as shown in Appendix 3 to the RFA, is an example of the contract that will be executed and provides the terms and conditions therein. The GDA is not part of the NICIP application process, and should not be completed at this time. See Section 4.20 of the RFA for prerequisites to the GDA. The Grantee Questionnaire (GQ) is a required exhibit to the DASNY Grant Disbursement Agreement. Therefore, successful Applicants will need to complete the GQ in the contract phase, not as part of the application process.</i></p>

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11	<p>I was wondering if you could weigh in on how you would interpret the stated entities that are not eligible for DASNY funds in the recently released Nonprofit Infrastructure Capital Investment Program RFA. The RFA states that the following are not eligible: Colleges and universities, Elementary and secondary schools, Hospitals and diagnostic and treatment centers authorized under Article 28 of the NYPHL*</p> <ol style="list-style-type: none"> 1. Would the language exclude our PACE programs as well? 2. Would the receipt of HEAL or CRFP funds by the membership organization, disqualify all of our member organizations, including home care? <p><i>1. More information is needed to make a determination since information such as the location of where the program services are provided, and the eligibility of that location, is material. See Section 2.11 of the RFA and Article 28 of the New York State Public Health Law. All entities covered under the Article 28 definition of "hospital", including nursing homes, are ineligible to apply. Also see Section 2.18 of the RFA. The proposed project cannot provide more than a tangential benefit to an ineligible program.</i></p> <p><i>2. See Section 2.11 "Eligible Entities." The purpose of NICIP grant funding is to make targeted investments in nonprofit human services organizations that provide direct services to New Yorkers. Membership or Umbrella organizations that represent service providers but do not themselves provide direct services are ineligible to apply. See Section 2.19 of the RFA. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number, or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway. Each Applicant must demonstrate that it meets the eligibility requirements set forth in the RFA. See Section 2.11 – if the Applicant received HEAL or CRFP funds, it is ineligible to apply. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA.</i></p>
12	<p>I have a few logistical questions if you will on the actual application process:</p> <ol style="list-style-type: none"> 1. If application entries exceed the 1000 or 4000 limit, how shall I work around submitting that information without losing the integrity of the narrative? Is it acceptable for one to enter “see attached ‘ document title’ in the Grantee Document Folder” as the answer to the questions? 2. Is there a limit to the amount of documents that can be uploaded to the Grantee Document Folder? 3. There is a contract called “State of New York Master Grant Contract” on the grants gateway where the application is. Are we responsible for filling this out before we submit the application? <p><i>1. No. Please adhere to the text limits of each field</i></p> <p><i>2 No. The Grants Gateway does not limit the number of documents that can be uploaded to the Grantee Document Folder. However, individual documents cannot exceed 10 megabytes.</i></p> <p><i>3. No, the Master Grant Contract is a standard State Agency contract and should not be completed as part of the NICIP application process. Contracts awarded from this grant opportunity will be in the form of a Grant Disbursement Agreement, as provided in Exhibit 3 of the RFA.</i></p>
13	<p>If an application is being submitted for technology improvement will expenses associated with HIPAA compliance (e.g. hiring a consultant to prepare a HIPAA policy and procedure manual, establish a HIPAA compliance plan and train staff on same) be eligible for funding under the NICIP initiative?</p> <p><i>No.</i></p>
14	<p>This question relates to Section 2.11. We are a CCRC (Continuing Care Retirement Community) and our parent company is governed by Article 46. Since all CCRC’s have a continuum of care on campus, including a nursing home:</p> <ol style="list-style-type: none"> 1. Is the parent company eligible to apply for this grant? 2. Are CCRC’s eligible? <p><i>1. More information is needed to make a determination, but generally a CCRC would not likely be eligible unless it can demonstrate how it meets all eligibility criteria set forth in the statute and the RFA. A nonprofit organization with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p> <p><i>2. See response to Question 14(1) above.</i></p>
15	<p>If we received HEAL funds in the past are we still ineligible to apply for NICIP grant funds?</p> <p><i>See Section 2.11 “Eligible Entities” on page 7 of the RFA as amended on December 11, 2015. Applicants that received HEAL funding are ineligible for NICIP funding.</i></p>
16	<p>Our application for the Nonprofit Infrastructure Capital Investment funding asks:</p> <p>"If you receive State funding through your county or other governmental entity, please specify which county and agency and provide a copy of a contract, letter from the county, or other documentation to establish that the funding you receive originated with New York State."</p> <p>Since our contracts are issued through the city of New York, they do not indicate that the funding came from the state within the contract. What do we do about that? Can we submit the letter from the city despite the fact that it doesn't contain state information?</p> <p><i>To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p>
17	<p>These questions relate to sections 2.13 & 2.19 – We have multiple sites and are looking to replace roofs, gutters, windows, sidings and HVAC on a number of these buildings on different parcels of land. These renovations would ultimately make our spaces more sustainable and energy efficient. However, we do not intend on replacing every element at every site (for example, site A does not need new windows, but other sites do). We would also like to replace wheelchair ramps at two of these sites. Replacing roofs and installing HVAC systems are mentioned in the RFA as examples of renovations or expansions, while installing energy-efficient windows is provided as an example of sustainability/energy efficiency modifications, and replacing wheelchair ramps is an example of accessibility renovations.</p> <ol style="list-style-type: none"> 1. It is clear that grants cannot be for both technology upgrades and construction at multiple sites, but can the grant be awarded for both renovations AND energy efficiency modifications AND accessibility renovations at multiple sites? 2. If no, should we propose our project as a ‘renovation or expansion’ OR ‘sustainability/energy efficiency modifications’? 3. If the former, can we articulate the energy efficiency savings that this project will bring? 4. Can we include upgrading wheelchair ramps as a renovation or expansion? 5. Regardless of the classification, can we do slightly different projects (eg replace windows at one site, but not the other) on each site, or must we do exactly the same project (replace all windows on all sites) in each of our sites? <p><i>1. An Applicant must be able to demonstrate in the application how a project is serving a single purpose at multiple sites, but an individual determination will need to be made based on the information provided. In the scenario described, it would appear that the project would not qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. See response to Question 17 (1) above</i></p> <p><i>3. See response to Question 17 (1) above.</i></p> <p><i>4. See response to Question 17 (1) above.</i></p> <p><i>5. See response to Question 17 (1) above.</i></p>

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18	I am looking at the application and it now says the due date is 1/29/16 from 12/23/15? Is that correct? <i>Yes.</i>
19	How many bids/cost estimates/quotes are required in this RFA? How much detail must be included with each bid/cost estimate? <i>See Section 2.20 of the RFA for information regarding cost estimates. There are no prescribed number of estimates that must be obtained, and although “quotes from contractors” and “bids” are not specifically required, higher scores will be awarded to Applicants who have provided professional estimates or quotes to support their budget. The Applicant should provide compelling “narratives that explain how the Applicant arrived at the cost estimates” and how those cost estimates are reasonable.</i>
20	We are a nonprofit organization that has never received HEAL NY funding. We do however have subsidiaries/affiliates who have received HEAL NY funding. Are we eligible to apply for the NICIP grant? <i>See Section 2.19 of the RFA. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number, or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway. Each Applicant must demonstrate that it meets the eligibility requirements set forth in the enabling NICIP statute and the RFA. See Section 2.11 – if the Applicant received HEAL funding, it is ineligible to apply.</i>
21	We are an affiliate of another organization that is applying for this grant. Are we both able to apply? How do you determine if an organization is considered a separate and distinct nonprofit organization that can submit an application? <i>For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number, or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA.</i>
22	Can grant funding be used for projects located in publicly owned buildings for either construction or an IT project? Are buildings owned by a governmental entity (i.e.: school district, federal, state, county, city, etc.) but leased by a nonprofit organization, considered publicly owned buildings? <i>For purposes of NICIP, Grant funds may not be used to improve publicly owned buildings regardless of the funding category chosen. Buildings owned by a governmental entity (i.e.: school district, federal, state, county, city, etc.) but leased by a nonprofit organization, are considered publicly owned buildings.</i>
23	We are a large nonprofit organization operating multiple programs including senior services, services for people with disabilities and an Article 28 Nursing Home facility. The HEAL NY funding we received in the past only benefited our Article 28 Nursing Home facility; the HEAL NY funding did not benefit any other programs within our organization. Are we eligible to apply for NICIP funding for programs within our organization that did not benefit from HEAL NY funding? <i>See Section 2.11 “Eligible Entities” on page 7 of the RFA as amended on December 11, 2015. Applicants that received HEAL funding are ineligible for NICIP funding.</i>
24	“Would the following costs related to acquisition of a new EHR software to be customized to meet the needs of the services we provide to our clients that will be hosted by the software vendor be eligible: 1. software and licensing fees 2. implementation/customization provided by vendor/consultant/contractor 3. laptops or tablets used by staff delivering services in community, school, and home settings 4. expenses for fiber connections between our multi county locations to the IT main hub/servers being developed 5. training by the vendor/consultant for implementation preparation.” <i>1. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i> <i>2. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i> <i>3. Laptops would be considered an eligible expense as long as all other eligibility requirements are met. However, as outlined in Section 2.14 of the RFA as amended on December 11, 2015, mobile phones, tablets and similar portable items are ineligible under the NICIP program.</i> <i>4. More information is needed to make a determination.</i> <i>5. No.</i>
25	The answer to Question #168 (4) from the first round of RFP questions indicates that three webinars have been held to date and at least one more is expected. Does this refer to webinars about this specific RFA, or are the webinars about Grants Gateway training? If there are webinars available for this RFA, when are they and how can they be accessed? <i>There is one more NCIP Gateway webinar scheduled for Wednesday January 13th, at 10:30 am. Please refer to the Grants Reform Training Calendar link to register (see below). Additionally, there are Grants Gateway Grantee technical webinars (not specific to any particular RFP) on Tuesdays and Thursdays. Registration information for these can also be found on the training calendar.</i> Training Calendar
26	We are a human services agency operating a number of programs that are eligible for the NICIP grant funding. Our agency has a CRFP application pending for capital enhancements. However, the RFP says "Organizations that have received or will be receiving funding through the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY) or the Capital Restructuring Financing Program (CRFP)" are ineligible to apply. If we are funded by CRFP certain sites, are we precluded from applying for NICIP grant funding for a new program site? <i>Yes.</i>

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27	<p>I was prequalified and I am now trying to apply for the Nonprofit Infrastructure Capital Investment Program. After I located the nonprofit Infrastructure Capital Investment Program, I clicked on the blue link as instructed. But “View Grant Opportunity” comes up not the “Apply for Opportunity” as the instructions stated. So I can’t find the Forms Menu to even begin the application. The “View Grant Opportunity” is just the instructions/request for application which I already have. Can you help me with this?</p> <p><i>To start an application you must be logged in as a Grantee, Grantee Contract Signatory, or Grantee System Administrator. Any one of these users can then click on the "View Opportunities" link from their home page. From there you can search for the opportunity and begin your application. Detailed instructions and screenshots on these steps can be found in our “Grantee Quick Start Guide”, applicant webinars on how to initiate an application every Tuesday and Thursday, a prerecorded YouTube video on how to initiate an application, or by calling or emailing the Grants Gateway Help line. Please note: you cannot apply for a grant from the Grant Opportunity Portal, or if logged in as a Grantee Delegated Administrator.</i></p> <p>Application Quick Start Guide Grant Application Video Training Calendar</p> <p><i>Additionally, the Grants Reform Help Desk can assist with any technical difficulties related to prequalification or the application process. The Grants Gateway Help Desk may be reached at 518-474-5595.</i></p>
28	<p>Regarding Q 168 No 1- Does this mean that organizations applying have no way at all to recuperate administrative costs associated with administering and managing this grant by either indirect or direct costs that will be associated with additional tasks due to this initiative</p> <p><i>That is correct. See section 2.13 for a list of eligible costs, and for the definition of "capital work or purpose" as stated in the State Finance Law. Administrative costs would not be considered a capital expense.</i></p>
29	<p>In addition to providing religious services, we provide services that are non-religious in nature and content to seniors, to youths, and to the community at large. These services are mostly regularly scheduled but some that are event or date specific. We are registered and prequalified in the NYS Grants Gateway and own our institutional site. We are currently in contract with State agencies for funding our activities. Are we eligible to submit an RMA for roof repairs and replacement of HVAC equipment?</p> <p><i>Generally, NICIP funds may not be used to improve a house of worship. Therefore, any renovations that would benefit a house of worship such as a roof, facade, or HVAC system, would not be eligible for funding. Interior renovations to spaces limited to non-religious uses, so long as there is a separate entrance to such space may be eligible for NICIP funding. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. The intent is to provide funding to a range of nonprofit organizations that provide direct human services to a consumer or group of consumers. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services. Public funds may not be used to finance religious programs or programs that may favor one religion over another. As the issuer of the bonds, DASNY must take great care to ensure that it is in compliance with all applicable Federal and State laws, including tax laws.</i></p>
30	<p>1. We will be requesting funding for new construction/renovation of a leased commercial space (with a 7+ year lease agreement). The commercial space is in our focus neighborhood where we directly serve lower-income families. The amended version of the application reads that replacement furniture is an ineligible cost “unless undertaken in connection with a larger renovation project.” In our example, would purchasing office furniture (desks, chairs and a conference table and chairs) be an eligible expense in conjunction with our proposed larger construction/renovation project?</p> <p>2. Also, is the hookup for wireless internet and phone considered an eligible cost for construction, since it will be completed by a subcontracted electrician?</p> <p><i>1. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i> <i>2. More information is needed to make a determination.</i></p>
31	<p>Our agency provides both community and agency-based services to children and families. Will this impact our eligibility or chances of receiving a construction grant, since some of our services are provided in the community? (ie. home visits, school visits, etc.) - Our agency does own the building we operate out of and would greatly benefit from the construction grant to support and increase energy efficiency (windows, heating/cooling) and accessibility (driveway). We are trying to determine if we would be more likely to be successful applying for a technology or construction grant since both are needed.</p> <p><i>The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services. If you feel this project satisfies the criteria set forth in the RFA, please include justification in your application materials.</i></p>
32	<p>We understand that telephones may at times be considered an eligible cost. When would fixing, replacing or upgrading telephones or telephone systems be considered an eligible cost?</p> <p><i>See Section 2.14 of the RFA as amended on December 11, 2015. Telephones and telephone systems are generally ineligible unless such system is the primary method utilized for the nonprofit organization’s direct services such as a suicide prevention hotline.</i></p>
33	<p>1. Section 2.19 - Allowable Number of Applications: We would like to submit one application to pursue renovation/expansion of space at 2 separate sites. Renovations at one site will create emergency housing for homeless women with on-site case management. Renovations at the second site will create office space for a range of direct service programs supporting people in poverty. Will this qualify as a single purpose (renovation/expansion of program space to provide direct services to people in poverty)?</p> <p>2. Section 2.14 - Ineligible Costs: Our non-profit is exploring several earned income ventures including operation of a thrift store that would help support programming and services aligned with our core mission. A portion of the thrift store would function as a free clothing site and possible work-site for people in poverty. Would this be considered an eligible or ineligible use of funds? Section 173(4) of the Q&A indicates that renovations cannot be funded to support a revenue-generating business. Please clarify.</p> <p><i>1. An Applicant must be able to demonstrate in the application how a project is serving a single purpose at multiple sites, but an individual determination will need to be made based on the information provided. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i> <i>2. It would appear that the scenario provided would be ineligible.</i></p>
34	<p>We have estimates to redo the all the roofs and bathrooms at multiple locations. Does that fit your requirement of a single-purpose at multiple sites?</p> <p><i>An Applicant must be able to demonstrate in the application how a project is serving a single purpose at multiple sites, but an individual determination will need to be made based on the information provided. In the scenario described, it would appear that the project would qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
35	<p>We are considering an addition to our current assisted living facility (Enriched Housing and Assisted Living Program building) to create additional Enriched Housing units. Is this an eligible project?</p> <p><i>More information is needed to make a determination.</i></p>

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36	<p>Could we apply for funds to renovate our consumer bathroom facilities? Our restrooms are almost 50 years old and are in need of complete remodeling. Some of the stall doors don't close properly. There are holes in the wall where plumbing has been repaired. Or are the funds only available for renovations that are to make handicap accessible?</p> <p><i>The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services. If you feel this project satisfies the criteria set forth in the RFA, please include justification in your application materials.</i></p>
37	<p>We provide services for children in early intervention through high school as well as an adult day habilitation program. We are not a public school, we are a nonpublic school. Are we eligible to apply for a NICIP grant?</p> <p><i>Elementary and Secondary Schools whether private or public are ineligible. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p>
38	<p>Are laptops considered an eligible expense if all other eligibility requirements are met?</p> <p><i>Laptops would be considered an eligible expense as long as all other eligibility requirements are met.</i></p>
39	<p>We are preparing an application for upgrading out technology at our 2 sites. For one site, we can be in compliance with the 3 year lease requirement. However, for the second site we are in the currently midst of negotiations with the landlord and we anticipate having difficulty producing an executed lease by 1/29. Is there any way where we can submit the application on time but receive an extension on the submission of the executed 5 year lease until 2/29/16?</p> <p><i>In the example provided, it would appear that the site control requirements as required in Section 2.15 of the RFA, as amended on December 11, 2015, would not be met.</i></p>
40	<p>1. Is the funding considered a GRANT or LOAN? 2. Would the provision of Medicaid Service Coordination be considered Direct Services?</p> <p><i>1. NICIP funds are a grant that does not have to be repaid. 2. Medicaid Service Coordination may be considered a direct service. The Applicant must be able to demonstrate in their application materials how they are providing direct human services to a consumer or group of consumers in order to be eligible for NICIP funding.</i></p>
41	<p>My organization has a question about the amended Section 2.14 of the Request for Applications. We would like clarification about the nature of items that are considered ineligible expenses, specifically whether light fixtures (as opposed to light bulbs) are an acceptable expense. We are interested in energy efficiency improvements, including upgrading our light fixtures, and would like to know if this would be allowed as a capital expenditure.</p> <p><i>Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. If you feel this project satisfies the criteria set forth in the RFA, please include justification in your application materials.</i></p>
42	<p>Is it necessary to perform or meet any procurement criteria for services (i.e. architect or engineer) for project proposals?</p> <p><i>The grant does not require or recommend any procurement policies. However, if the Grantee is otherwise obligated to comply with procurement guidelines, the NICIP Grant does not negate that requirement.</i></p>
43	<p>1. We recognize that one of the RFP requirements is that "Grant funding must not provide more than a tangential benefit to a non-Applicant entity." However, the organization with whom I am working has two direct human service providers/arms of the organization, each with a separate Tax Identification Number. We plan to submit the application under one of the Tax IDs (both entities provide direct services), but we are hoping to site both "sub-agencies" in the space that will be renovated using these capital funds should our application be successful. Is this allowable since both human service entities fall underneath the same organization with common mission, values & goals? Or alternatively, would you recommend submitting two separate applications, one for each "arm" of our agency who will benefit from the capital project?</p> <p>2. Regarding proof of site control, we currently have a comprehensive MOA that outlines the terms and conditions (including involved parties and timeframes) of our agreement with the landlord, and we are working towards the development of a full lease. However, we may not have the lease finalized by application submission (and recognize the great risks that are inherent in rushing through this process). Is an MOA with the landlord sufficient for proof of site control, and if so, what information must be contained in this document to meet RFA requirements?</p> <p><i>1. Each nonprofit organization may submit only one application. See Section 2.18 of the RFA, as amended on December 11, 2015; grant funding must be used for the primary benefit of the Applicant, and the project funded with the grant may not provide more than a tangential benefit to a non-Applicant entity. 2. No. A MOA is not a sufficient form of site control. See Section 2.15 of the RFA, as amended on December 11, 2015 for site control requirements.</i></p>
44	<p>If a van would be used to transport consumers to sites where they receive services and/or participate in community activities is it eligible to be purchased with NICIP grant funds?</p> <p><i>See Section 2.14 of the RFA as amended on December 11, 2015. Vehicles, unless the vehicle is used to provide direct service such as a mobile clinic or a mobile food pantry, are ineligible under the NICIP program.</i></p>
45	<p>Consolidation and clarification of eligible and ineligible costs—2.13 Eligible Costs and 2.14 Ineligible Costs:</p> <p>1. While I see that tablets and portable items are not an eligible cost, is audio/visual equipment (such as an informational electronic flat screen display for a reception area) allowable as part of a larger construction/renovation project to reconfigure the layout of interior space with the goal of significantly improving service delivery and supporting health, safety and wellbeing of clients?</p> <p>2. Modification to the term of lease that is required to demonstrate site control—2.15 Site Control: We have a 10 year lease that expires in April 2020. In addition to a copy of the lease, will a letter from the building owner stating knowledge and support of the renovations that includes a statement about intent to renew the lease be sufficient to demonstrate site control? The organization has been in the space since 2000</p> <p><i>1. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. 2. In the example provided, it would appear that the site control requirements as required in Section 2.15 of the RFA, as amended on December 11, 2015, would not be met.</i></p>

Questions & Responses

46	<p>1. Our agency is considering applying for a technology grant. Regarding p. 7, bullet two, you give the meaning of “direct services” for the purposes of your grant. Through this grant, the primary direct consumer would be human services professionals who would utilize our newly combined online benefits manual and training hub to be better able to service their low income clients, older adults, individuals with disabilities, immigrants, and other vulnerable populations. We would therefore be helping these individuals indirectly, through the training and resources we provide to the human services professionals. Does this meet your definition of providing “direct services”? As an aside, our agency does have other programs that provide direct services to low-income individuals, people with disabilities, etc. However the program for which we are requesting technology support provides services to human services professionals who in turn help clients in need.</p> <p>2. We would like to request a technology grant; this grant would allow us to integrate what are now two separate databases into one platform. We currently use a third party to house our servers virtually and anticipate continuing to do so. Would this be an allowable expense?</p> <p>3. Are software upgrades an allowable expense? P. 10</p> <p>4. Does the requested technology/tool need to support any state-funded contracts?</p> <p><i>1. No, staff training and professional development would not be considered a direct service.</i></p> <p><i>2. No, it would appear that the project would not qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>3. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>4. A program that is funded by New York State as that term is defined in Section 2.11 of the RFA should be a beneficiary, however the NICIP grant may benefit other direct human services programs provided by the Applicant at the same location.</i></p>
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Nonprofit Infrastructure Capital Investment Program (NICIP)

Responses to Questions Received by November 10, 2015

Questions & Responses

1	<p>I can't enter in your site it rejects my email address. I need a user and password.</p> <p><i>The Grants Gateway does not use email addresses as logins. Please ensure that your organization is registered and that you have an account with the system. Only users in the role of Grantee, Grantee Contract Signatory, or Grantee System Administrator can start an application. Refer to Section 4 of the Vendor User Guide or contact the Grants Gateway Help Desk at 518-474-5595 if you can't find your login information.</i></p> <p>Vendor User Guide</p>
2	<p>We have been reviewing the Request for Applications. Can you please provide the answer and direct us to the section that answers the following questions: Can this be a project that is already in progress and can we be reimbursed for expenditures and work that has been done before our project is approved and awarded? At what point in the process can the project begin?</p> <p><i>See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p>
3	<p>Are projects that fall within the guidelines but that have already begun either completely or partially eligible for funding? If so, how is it determined what is funded?</p> <p><i>See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p>
4	<p>We are registered but not pre-qualified under the Grants Gateway because we are a municipal corporation. Municipal entities are not required to prequalify and the process is not appropriate for our type of entities. Would the lack of prequalification pose an issue regarding our eligibility to apply for funds?</p> <p><i>See Section 2.11 of the RFA. Municipal Corporations are not eligible to receive NICIP funding.</i></p>
5	<p>I went in this morning and printed out all of the forms for this grant opportunity so that my CEO can begin filling out the proper information. Now when I go back in to enter information, it says "You have already applied for this Opportunity" and I cannot get into the forms, or anywhere. Can you please let me know how I can get back into the grant to complete the forms? As of now, they would all be blank?</p> <p><i>Once you start an application, it will be in your "My Tasks" list at the bottom of the home page of the Grants Gateway. When you log in, scroll down to My Tasks and click the button to view your tasks. You will see the application you started there. Click on the application number to get back into it. Or, you can click on the "Applications" link at the top of the window and search for your application there.</i></p>
6	<p>Can you please let us know if our agency is already pre-qualified to apply for NICIP funds from DASNY?</p> <p><i>A user in the Grantee or Grantee Delegated Administrator role can log in and view your Document Vault status. Just click on Organization(s) and Document Vault. The status will be displayed at the top of that page. Refer to the Maintaining Prequalification document or the Vendor Prequalification Manual for more information.</i></p> <p>Maintaining Prequalification Vendor Prequalification Manual</p>
7	<p>I am a NYS certified WBE firm and saw this posting below, in the NYS Contract Reporter. Am I able to list my firm on the DASNY web site as a NYS WBE interested in partnering on this opportunity? If yes, can you tell me how I would go about accomplishing this?</p> <p><i>This question does not appear to be related to NICIP. For information on DASNY, please go to www.DASNY.org.</i></p>
8	<p>Can an agency submit multiple applications for various single purpose projects at multiple sites or do we need to choose only one of these projects' applications for submission? i.e.:</p> <p>Application 1: Replacement of windows and roofs in all residences Application 2: Installation of generators in all residences and/or administrative sites Application 3: Installation of solar panels in all sites</p> <p><i>See Section 2.19 of the RFA. Each Applicant may submit one application for a NICIP grant. Under the scenario you described, you must choose one project.</i></p>
9	<p>Can an agency submit multiple single purpose projects at multiple sites in one application?</p> <p><i>No. See Section 2.19 of the RFA.</i></p>
10	<p>Can the buildings be any kind of agency-owned building (or leased for at least seven years), including administrative, day program or residential sites?</p> <p><i>See section 2.11, 2.13 and 2.14 of the RFA for information regarding eligible entities and costs Consistent with the enabling NICIP statute and the RFA, NICIP funds may be used for renovations or expansion of space used for direct program services.</i></p>
11	<p>I am a provider agency that participates in a DSRIP network and is eligible to receive DSRIP funds, however, my agency has not received and does not anticipate receiving DSRIP resources. Are we precluded from applying for these grant funds to address infrastructure needs?</p> <p><i>No, provider agencies are not precluded from applying for these infrastructure funds if they participate in a DSRIP network and are eligible for DSRIP funds. However, they are precluded from applying if they are the Performing Provider (PPS) lead for the DSRIP network. Section 2.11 "Eligible Entities" on page 7 of the RFA was amended on November 20, 2015 to clarify the eligibility requirements for organizations relating to DSRIP, HEAL NY, and CRFP funding.</i></p>

Questions & Responses

12	<p>We are on Grants Gateway and are prequalified for the Infrastructure Capital Investment grant. My questions is regarding the eligibility of the project we are looking funds for. Our special needs pre-school in Staten Island, NY is in need of funds to build an outdoor playground at its premises. Would the playground construction be under the eligible health/safety expansions? We are a non profit and we do not have a playground for our children 2.2-2.5 ages</p> <p><i>See Section 2.13 and 2.14 of the RFA as amended on December 11, 2015 for eligible and ineligible costs. This project may qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services. The Applicant must demonstrate how the project will impact direct human services. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. If you feel this project satisfies the criteria set forth in the RFA, please include justification in your application materials.</i></p>
13	<p>Would an Early Childhood Education site with an existing program seeking expansion qualify for consideration for an NFP Infrastructure Grant? We are a fully inclusive program with a partnership with Aspire serving children with special needs and are adjacent to the Buffalo Niagara Medical Campus.</p> <p><i>Possibly. See Section 2.11 of the RFA. An Applicant would be eligible to apply for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including documentation demonstrating that they currently receive funding from New York State in the form of a State contract, State authorized payment, or State payment rate as further defined in Section 2.11 of the RFA. The determination as to whether an Applicant is eligible for NICIP funding is dependent upon the extent to which it meets the criteria set forth in the RFA.</i></p>
14	<p>Under Section 2.11 of the Nonprofit Infrastructure Capital Investment Program RFP, DASNY lists organizations are that are expressly deemed not to be human services organizations offering direct services and are not eligible to apply for a NICIP grant. One of the categories listed is “Hospitals and diagnostic and treatment centers authorized under Article 28 of the New York State Public Health Law”.</p> <p>Please provide clarification on whether this was intended to also deem nursing homes (or residential health care facilities) not eligible to apply for a NICIP grant, assuming all other eligibility requirements are met.</p> <p>Under Article 28, the term “hospital” is defined to include general hospitals, nursing homes and diagnostic and treatment centers, among other licensed provider types. By including both hospital and diagnostic and treatment centers, there is confusion regarding whether this would apply to one of the provider types that is not listed, but traditionally included in the definition of hospital.</p> <p><i>See Section 2.11 of the RFA and Article 28 of the New York State Public Health Law. All entities covered under the Article 28 definition of "hospital", including nursing homes, are ineligible to apply.</i></p>
15	<p>In the RFA, it states: "Grant funding cannot be used for repairs or upgrades to publicly-owned buildings."We operate out of buildings owned by the New York City Housing Authority; however, we have a long term lease with NYCHA (approximately 25 years) and maintain a high level of site control. In fact, we have raised funding for city and state funded capital renovations in the past few years. Are we eligible to apply for a construction grant?</p> <p><i>See Section 2.14 of the RFA. NICIP Grant funds may not be used to improve publicly owned buildings.</i></p>
16	<p>I have a question on eligibility for the Nonprofit Infrastructure Capital Investment Program. I run a Visual and Performing Art Center in Lake Placid, New York. We would be interested in making an application to the Nonprofit Infrastructure Investment Program. My questions primarily fall under the “human services organization” and “receive direct services” clause. For “human services organization,” Art Centers are not excluded specifically under section 2.11. I can make a case that we provide important services to people that improve quality of life, provide outlets for artistic expression, and increase well-being for our residents. But I would want to make sure that we “protect the health and well-being of individuals and families residing in New York State” as stated in the RFP.</p> <p>For the “receive direct services” clause, I would argue that our free and paid art classes and performances would qualify as a direct service that is available to area residents. Would that make us eligible under the “prevention, intervention, and/or habilitative services that a nonprofit organization provides directly to a consumer or group of consumers” portion of the grant?</p> <p>Any information or insite you can provide would be most helpful.</p> <p><i>See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
17	<p>I am writing to inquire whether organizationsproviding direct legal services to children in foster care in New York City, would be considered an organization "whose primary function is ... advocacy?" I do not believe legal services is the type of advocacy referred to on p.7 of the RFP, but would like to confirm that we would not be precluded from applying for an infrastructure capital investment grant.</p> <p><i>Nonprofit organizations whose advocacy is limited to a form of direct client service (i.e. legal aid, disability advocacy) and otherwise meet eligibility criteria are eligible to apply.</i></p>
18	<p>I am interested in preparing an application for this RFA, but I do have some questions regarding eligibility I am hoping you can answer:</p> <ol style="list-style-type: none"> 1. Regarding technology upgrades: We want to upgrade our current Electronic Health Records software to encompass all of our locations (currently it is only at a few clinics) and we want to integrate it with our current outcomes management software. Is this eligible in this RFA? 2. Regarding renovations: We are in the process of consolidating (and expanding) some clinics and offices - however, this will mean that we have to undergo renovations at these locations, including building program areas, office spaces, etc. Are these costs eligible under this RFA? 3. Once the awards are announced, when are we able to start incurring costs against the grant? <p><i>1. See sections 2.13 for a list of eligible costs, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for a general description of eligible software costs. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services. See Section 2.19 of the RFA regarding projects to be undertaken at multiple sites.</i></p> <p><i>3. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p>

Questions & Responses

19	<p>Can NICIP funds be used for new construction if the new building will expand the NPO's capacity to serve the community?</p> <p><i>New construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA including that it is a renovation or expansion of space used for direct program services.</i></p>
20	<p>2.11 Eligible Entities: Eligible for all except 'currently receive funding from NYS in the form of.....' and then the 4th bullet below that which says 'State-authorized payment' (etc). We received HUD funding for Public Service through the Town of Union, Endicott NY. I realize that HUD is Federal funding but does it come to the Town of Union through NYS, and thus, do we qualify?</p> <p><i>To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p>
21	<p>Are charter schools eligible for this?</p> <p><i>No. See Section 2.11 of the RFA for eligible entities. Elementary and Secondary Schools whether private or public are ineligible.</i></p>
22	<p>We are a nonprofit that operates a city-owned community center facility. We are under contract with the city to operate a variety of human services programs and community events. We have operated the center for 40 and have a lease with the city. Are we eligible to apply?</p> <p><i>No. See section 2.14 of the RFA. NICIP Grant funds may not be used to improve publicly owned buildings.</i></p>
23	<p>We are hoping to purchase and implement a new client case management system/database (technology upgrade).</p> <ol style="list-style-type: none"> 1. Would the purchase price of the new system be an eligible cost? 2. Would an eligible cost be the cost of data migration (from the old system to the new)? 3. I know personnel costs are not allowable, but are the costs to customize the system allowed (IT consultant fees)? 4. Could it also cover monthly fees required to use the system? 5. Would training to train staff on the new technology (initial training so the system can be rolled out) be allowable? 6. Would costs to set up servers be allowable? What about costs to back-up servers and data? <p><i>1. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. See response above to Question 23 (1).</i></p> <p><i>3. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>4. No.</i></p> <p><i>5. No.</i></p> <p><i>6. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
24	<p>The RFA excludes Elementary and secondary schools. My question is does this prohibition apply to Non-public schools are we allowed to participate as a Nonprofit agency which provides Special Education under NYSED?</p> <p><i>See Section 2.11 of the RFA for eligible entities. Elementary and Secondary Schools whether private or public are ineligible.</i></p>
25	<p>Are municipal housing authorities (non-profit municipal corporations) eligible entities to apply under the Nonprofit Infrastructure Capital Investment Program?</p> <p><i>No. See section 2.14 of the RFA. NICIP Grant funds may not be used to improve publicly owned buildings.</i></p>
26	<p>On page 7, organizations not eligible to apply includes "Organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP)...". We are a community based agency that is not currently receiving Medicaid revenue. We are not a DSRIP "Safety Net" provider but we do anticipate providing some community based DSRIP services as a community based partner organization with Care Compass Network, our regional Performing Provider System (DSRIP lead agency). We also will not benefit from any of the DSRIP infrastructure funding (i.e.: Capital Restructure Funding Pool). Community based organizations throughout NYS have been encouraged to support and participate in DSRIP in the delivery of community based health services, including the health care access, prevention and education activities we have historically provided to uninsured rural residents. It is important that we understand if any relationship with DSRIP results in ineligibility through this RFP or if there are levels of DSRIP participation that would deem an applicant not eligible. Since many NYS non-profit organizations are in varying levels of involvement with DSRIP, clarification will be helpful to many non-profits considering their eligibility for this opportunity.</p> <p><i>See response to Question 11.</i></p>
27	<p>The RFA excludes Elementary and secondary schools. Our rate are established by NYSED for State payment rates.</p> <ol style="list-style-type: none"> 1. My question is does this prohibition apply to Non-public schools? 2. Are we allowed to participate as a Nonprofit agency which provides Special Education under NYSED? <p><i>1. See Section 2.11 of the RFA for eligible entities. Elementary and Secondary Schools whether private or public are ineligible.</i></p> <p><i>2. See response to Question 27 (1) above.</i></p>

Questions & Responses

28	<p>In section 2.11, organizations that are eligible to receive funding through the DSRIP are not eligible to apply for a NICIP grant. We have executed a Master Services Agreement with the Westchester Medical Center Health Network Performing Provider System (WMC Health PPS) and has agreed to participate in their PPS. However, it is unclear that even though we have agreed to participate, if we will be actually be invited to be an active participant, as they may choose not to address our patient population (individuals with developmental and intellectual disabilities) in their project plans. We have not received DSRIP funding through the WMC Health PPS to date, and it is unclear if we will ever receive any. Does the fact that we have agreed to participate in a PPS that is funded with DSRIP money preclude us from applying for these grant funds ? If the answer is “yes” would we be eligible to apply for grant funds if we withdrew from the PPS?</p> <p><i>See response to Question 11.</i></p>
29	<p>We are a 503(c)3 nonprofit organization with an operating budget of approximately \$18 million. We have over 30 different programs and services, and about one third of the annual budget represents medical care that is delivered through our Article 28 Diagnostic and Treatment Center. The other two thirds of the annual budget represent programs that provide professional education, innovative services and supports, community training and technical assistance, and research and information dissemination to serve individuals with developmental disabilities, their families and their caregivers. Section 2.11 of the Request for Applications for NICIP funding lists Article 28 Diagnostic and Treatment Centers as being ineligible to participate. Would the fact that part of our organization is an Article 28 facility preclude us from applying for the funding to benefit other programs at our facility that are outside of the Article 28 clinic services and physical space (i.e. Assistive Technology or Child Welfare programs)?</p> <p><i>Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p>
30	<p>We like all OPWDD providers are connected to the Participating Provider Network in our region for DSRiP. We have not received any capital funds but do expect to receive some funding for other health related initiatives. Does this preclude us from participation in this grant?</p> <p><i>See response to Question 11.</i></p>
31	<p>A wide range of not-for-profit (NFP) human services providers are potentially eligible to receive funding through DSRIP, whether as Safety Net or non-Safety Net Providers. Are there any circumstances under which such NFPs, whether NYS designated Safety Net Providers, or non Safety Net providers could be eligible to apply for a NICIP grant? For example, what if the NFP is a partner in one or more DSRIP Performing Provider Systems but is not receiving any DSRIP funds? Or what if the NFP Safety Net Provider has applied for a CRFP grant via a Performing Provider System but has not, or is not, awarded a CRFP grant; would that NFP still be eligible to apply for a NICIP grant? Are NFP Safety Net Providers categorically excluded from applying for a NICIP grant?</p> <p><i>See response to Question 11.</i></p>
32	<p>I would like to know if my organization is eligible to apply to this grant. We are funded by the New York City Department of Homeless Services. I noticed it stated projects needed to be state funded. We are overseen by OTDA.</p> <p><i>To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p>
33	<p>My organization is very interested in apply for a capital grant. I was just wondering if we would be eligible to apply for a totally new construction project, or if this funding is restricted to expansion or renovation of existing site.</p> <p><i>New construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA including that it is a renovation or expansion of space used for direct program services.</i></p>
34	<p>Some of our member agencies are human services organizations providing direct services that are also a part of a PPS and therefore will be receiving DSRIP funding. Does this disqualify them from eligibility for NICIP grant, or is it the PPS itself (not the network providers) that is meant by this exclusion</p> <p><i>See response to Question 11.</i></p>
35	<p>Is the removal of asbestos considered an eligible activity?</p> <p><i>Yes, it would appear that the project is eligible for NICIP funding provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied, so long as it is undertaken as part of a larger project that affects areas that provide direct services.</i></p>
36	<p>We are a not for profit organization under Article 32 of the Mental Hygiene Law and provide services certified by the NYS Office of Alcoholism & Substance Abuse Services. We provide direct care services to clients in our Outpatient and Intensive Residential Chemical Dependency Treatment Programs. We are currently working with the North Regional Performance Unit of the Southern Tier Rural Integrated Performing Provider System (STRIPPS) which is the local DSRIP group. Once a contract is executed, we will be considered a “partner” in the Compass Care Network and will be doing outreach and engagement work under said contract. Does this level of involvement with the local DSRIP group render us ineligible for NICIP funding as outlined in Section 2.11 (Page 7) of the RFA?</p> <p><i>See response to Question 11.</i></p>

Questions & Responses

37	<p>Our agency is a community-based organization providing safety-net services through a number of Performing Provider Systems (PPS) through Delivery System Reform Incentive Payment initiatives (DSRIPS). We are not being directly funded through DSRIP start-up funding, but we do anticipate value-based incentive payments as clients mutually engaged in the PPS achieve improved health outcomes in the future. Are we eligible to apply for the Nonprofit Infrastructure Capital Investment funding? We are able to answer “yes” to all the Pass/Fail criteria.</p> <p><i>See response to Question 11.</i></p>
38	<p>Organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY), or the Capital Restructuring Financing Program (CRFP). Can you provide any additional clarification? Does this totally exclude members of DSRIP PPSs or only entities funded directly through DSRIP (e.g. hospitals)? As you know, many to most behavioral health and developmental disability service providers (OMH, OASAS and OPWDD licensed/funded providers) are in some way associated with DSRIP projects in NYC, and many have also applied for CRFP funding as PPS members aligned with particular DSRIP funded entities. I am concerned that the entirety of these multi-service non-profit human services providers would be excluded from NICIP funding potentially in support of their non-DSRIP related activities.</p> <p><i>See response to Question 11.</i></p>
39	<p>The RFA states that organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP) are NOT eligible to apply for a NICIP grant. I am assuming this means the larger PPS organization and NOT the nonprofits that are contracting with DSRIP. Many non profit providers, the same exact providers this grant intends to help, have subcontracted with DSRIP/PPS to provide services if the opportunity presents. Please clarify that these nonprofits are eligible to apply to the NICIP RFA.</p> <p><i>See response to Question 11.</i></p>
40	<p>We are a human services agency founded in 1971. We meet all of the criteria for applying for the NICIP grant, with the following exception: one of our programs (housing and supportive services) is eligible to receive DSRIP funding (although it has so far received none). The program (domestic violence services) that is interested in applying for the NICIP is NOT eligible for DSRIP funding. Are we disqualified entirely from applying for the NICIP, or may we apply if funds would be restricted to the needs of our non-DSRIP eligible program?</p> <p><i>See response to Question 11.</i></p>
41	<p>I am writing to inquire whether our organization, a New York Not-for-Profit, would be eligible to apply for this grant for infrastructure improvements to a studio theater where we host several thousand NYS public school children and their teachers every school year for arts-access events. We receive state funding for these and other arts-in-education initiatives.</p> <p><i>See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
42	<p>We provide free legal services to low-income people. In reviewing the Infrastructure Fund RFA, it appears that organizations that provide advocacy are not eligible. I wanted to clarify whether that would mean that our office is not eligible to receive such funds. Please advise.</p> <p><i>Nonprofit organizations whose advocacy is limited to a form of direct client service (i.e. legal aid, disability advocacy) and otherwise meet eligibility criteria are eligible to apply.</i></p>
43	<p>Our organization is an affiliate of Legal Services of the Hudson Valley, who serves as the fiscal sponsor for us, pursuant to a signed agreement, until our application for 501(c)(3) is processed. We plan to apply for qualification on Grants Gateway, however, will not be able to in time for this grant opportunity. I am writing to confirm our eligibility to apply for this grant opportunity, through Legal Services of the Hudson Valley as our Fiscal Sponsor. Legal Services is prequalified on Grants Gateway. However, Legal Services of the Hudson Valley will also be applying for this opportunity for themselves. I read in the RFA that an organization can only submit one application. Does this mean they would be precluded from applying for us in addition to their own application?</p> <p><i>See Section 2.19 of the RFA which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway.</i></p>

Questions & Responses

44	<p>1. Is a YMCA eligible for funding?</p> <p>2. Our YMCA presently receives state grant monies for various program services. Does the NICIP grant request have to be linked directly to the services we presently receive state funding for?</p> <p>3. There seems to be conflicting information about energy conservation. We are presently looking at changing all of our light fixtures in our building. This energy savings project is estimated to save us in excess of \$48,000 per year on our electrical bills. Is this an eligible project?</p> <p>4. Our project includes replacing approximately \$180,000 worth of fitness equipment. Our accountant has confirmed this equipment is considered a fixed asset. Please confirm this would be eligible for consideration.</p> <p><i>1. A YMCA is not ineligible for funding, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA. See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p> <p><i>2. A program that is funded by New York State as that term is defined in Section 2.11 of the RFA should be a beneficiary, however the NICIP grant may benefit other direct human services programs provided by the Applicant at the same location.</i></p> <p><i>3. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. If you feel this project satisfies the criteria set forth in the RFA, please include justification in your application materials.</i></p> <p><i>4. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services. If you feel this project satisfies the criteria set forth in the RFA, please include justification in your application materials. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
45	<p>I have a question regarding the above-referenced RFA. On page 6, it defines eligible entities as human services organizations providing direct services. Would performing arts organizations be considered a human service organization providing direct services as defined by this RFA?</p> <p><i>See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
46	<p>I would like to confirm that the demolition of a non-handicapped accessible building and construction of a new handicapped accessible building on the same site/parcel is eligible for an Independent Living Center with a 100% mobility impaired clientele. This building is used solely for client interaction - Executive functions are in a different building.</p> <p><i>New construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA including that it is a renovation or expansion of space used for direct program services.</i></p>
47	<p>Our agency has always been housed in makeshift and leased spaces. Early in 2015, our agency launched a capital campaign aimed at building a new, permanent facility, one that would also serve to reduce operating costs and help ensure financial sustainability. After April 1, 2015, our agency hired an architectural and engineering firm to design and bid the project. These steps were completed (and paid for) through campaign contributions to date. Actual construction commenced in mid-September 2015 (we have not yet received our first invoice) and the facility is projected to be completed on June 30, 2016. We have secured a construction loan (convertible to a mortgage loan) to cover the total project costs. Our question is this: Since actual construction began after April 1, 2015 (Pass/Fail Criterion #9), and since no construction costs were incurred prior to that date, is this agency eligible to apply for NICIP construction funding?</p> <p><i>See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p>
48	<p>Our organization is about to enter into a contract to expand our facilities. This will include 30,000 sq. ft. of new construction and 28,000 sq. ft. of renovation. We are looking at a submission for the sprinkler and fire suppression system for the entire site (58,000 sq. ft.), or the replacement of existing roof top gas fired HVAC systems on the renovated structure (28,000 sq. ft.).</p> <p>1. Would either or both qualify?</p> <p>2. Since it is the same site, could they both be part of the same application?</p> <p><i>1. Yes, new construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA including that it is a renovation or expansion of space used for direct program services. See Sections 2.13 & 2.14 of the RFA for eligible and ineligible costs. Yes, it would appear that these projects would qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination and the Applicant must be able to demonstrate that the renovations or expansion of space is used for direct program services.</i></p> <p><i>2. Yes.</i></p>

Questions & Responses

49	<p>Our potential project involves IT upgrades. We want to database that syncs up with RAPID to upload client bio data and arrival info into electronic case files and has a standardized menu option for case note entries. There is a tab called Forms that aggregates info from primary tables and outputs into RP forms so as long as the case manager enters notes all he has to do is click on various report buttons to generate PDF forms that are required by PRM and print them. It comes with 3 types of user accounts - case manager, director /resettlement coordinator, and administrator and has built in alerts for case managers to provide services by certain dates and alerts for the Director that certain services have not been provided. It includes analytics by case manager to allow the Director to do performance evaluation and can generate exception reports that tell you what needs to be fixed and where to simplify quality assurance and case file review. It also comes in an app format to allow case managers to enter notes on their cellphones.</p> <p>Questions for this NICIP RFA:</p> <p>1. Section 2.20: This project would involve new IT system and customization. Is there an estimate or percentage of how much licenses and software this RFA would be willing to fund?</p> <p>2. Section 2.31(appendix 1: required information): We lease the property and would like to apply for the Technology category of the RFA. Does the stipulation that “ leases have to extend to 7 years beyond the date that the RFA is due” apply for this category ?</p> <p><i>1. No, there is no set percentage of how much licenses and software may be funded with a NICIP grant. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. See Section 2.15 of the RFA, as amended on December 11, 2015, for site control requirements.</i></p>
50	<p>1. If the agency currently has funding via DASNY (borrowing and repayment, not via grants) may the agency apply to this RFA?</p> <p>2. Does the grant allow for demolition AND replacement of a fixed asset?</p> <p>3. Does the grant allow for new planning AND construction?</p> <p><i>1. Yes, however, the loan agreement with DASNY would not constitute State funding as set forth in Section 2.11 of the RFA.</i></p> <p><i>2. See Sections 2.13 & 2.14 of the RFA for eligible and ineligible costs. Yes, it would appear that the project would qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. New construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA including that it is a renovation or expansion of space used for direct program services.</i></p> <p><i>3. New construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA including that it is a renovation or expansion of space used for direct program services.</i></p>
51	<p>We are a cultural center that includes a library and museum. The museum does address human service needs by activities that relate to prevention due to our ability to strengthen self-awareness and cultural identity to Native Americans. Would we be eligible to apply, although our non-profit the, includes a library?</p> <p><i>See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
52	<p>We are about to sign a contract with our local DSRIP. We are not in the running to acquire any funding through the DSRIP because the projects are not specifically in line with our services at this point in time. Can you clarify whether we would be eligible to apply for the NICIP grant?</p> <p><i>See response to Question 11.</i></p>
53	<p>We have a number of mental health service contracts that could benefit from some technology upgrades under this opportunity. However, the organization that holds these contracts is deemed ineligible because it is eligible for CRFP funding. Could we apply as a subcontractor under an eligible organization?</p> <p><i>See response to Question 11. NICIP grant funding cannot be subcontracted to another entity, and one organization cannot apply for NICIP grant funding on behalf of another organization.</i></p>
54	<p>With regards to the above-referenced RFP, I'm seeking clarification on whether the specific program that will be primarily impacted by capital funding requested must be state-funded, or whether it is sufficient that the organization as a whole receives state funding as described under section 2.11. For instance, could an agency seek capital funding for improvements on a city-funded shelter so long as the agency receives state funding for other programs it operates?</p> <p><i>A program that is funded by New York State as that term is defined in Section 2.11 of the RFA should be a beneficiary, however the NICIP grant may benefit other direct human services programs provided by the Applicant at the same location.</i></p>
55	<p>I am interested in more information about the technology-related funding that is available. We are interested in purchasing mobile devices to enable our staff to connect to an electronic information system when they are not in the office. Would this be allowable?</p> <p><i>See Section 2.14 of the RFA as amended on December 11, 2015. Mobile phones, tablets and similar portable items are ineligible under the NICIP program.</i></p>
56	<p>Can you tell me more about the criteria for receiving funding? Specifically, can you tell me whether nonprofit providers who are not DSRIP lead agencies (PPS lead) can compete for this funding? I represent NP agencies that are 'in network' in DSRIP PPSs but not the lead organization/s.</p> <p><i>See response to Question 11.</i></p>

Questions & Responses

57	<p>We are a non profit pre school for special needs children and a NYC DOE contractor. We want to build a playground. My question is regarding 2.31 general information , page 14 for organizations that do not own the property. Property is owned by another non profit school. We rent the building space and share some of the areas. We will be sharing the playground. Is it acceptable we apply for this grant and both schools use it, or do you want the other (landlord) to be involved in the grant process, or should the landlord school apply for this grant?</p> <p><i>Grant funding must be used for the primary benefit of the Applicant, and the project funded with the grant may not provide more than a tangential benefit to a non-Applicant entity (See Section 2.18 of the RFA). See Section 2.15 of the RFA, as amended on December 11, 2015 for site control requirements. See Section 2.11 of the RFA for eligible entities. Elementary and Secondary Schools whether private or public are ineligible.</i></p>
58	<p>We are currently leasing and occupying a building that we are in contract to purchase we plan on closing 2nd Q of 2016, would we be eligible to apply.</p> <p><i>Applicants must be able to demonstrate site control as described in Section 2.15 of the RFA at the time of application.</i></p>
59	<p>If we are only applying for a technology grant, do we need to demonstrate site control if the technology will be portable (not attached to the premises, but data is on servers on and off-site and accessed through a web browser)?</p> <p><i>See Section 2.15 of the RFA, as amended on December 11, 2015 for site control requirements.</i></p>
60	<p>Section 2.13 of the Request for Applications for NICIP funding indicates that the grant may be used to renovate or equip leased real property so long as the term of the lease extends for at least 7 years from the date of the application. Our lease has a total term of 9 years and three months from the date of application. The initial period will end 2 years and 3 months from the date of the application. However, the lease then has an automatic additional 2 year renewal term, unless either party gives 180 day prior termination notice, and a subsequent automatic 5 year term with mutual annual termination options on 1 year advance notice (for the total lease term of 9 years and 3 months from the date of application). Would these lease terms qualify for an application to use the grant funds to renovate or equip this space?</p> <p><i>No.</i></p>
61	<p>Good afternoon. We were reviewing the eligibility for the NICIP RFA and on page 7 it indicates that organizations are not eligible to apply for NICIP if they are eligible to receive funding through DSRIP. Does this mean that if an organization has a contract with DSRIP they are not eligible to apply or does it only apply to entities that may be able to receive funding for the eligible activities identified in the NICIP RFA? To give you context- We have a DSRIP contract but it is for a very specific program that we offer and has nothing to do with homeless housing services. We entered the contract as a means to create a continuum in our community, not necessarily to receive a payment although we are eligible for preliminary payment incentives because we simply identified key staff. Does this disqualify our organization? Many larger organizations throughout Rochester and Monroe County have DSRIP contracts or a specific safety new program are only eligible for DSRIP reimbursement for very specific activities and it may be related to a completely different service. Please clarify</p> <p><i>See response to Question 11.</i></p>
62	<p>The RFP for this program states that eligible funds include “Technology upgrades that improve electronic records, data analysis, or confidentiality.”</p> <ol style="list-style-type: none"> 1. Does that include purchases of new electronic health record systems that improve an organization’s capacity for electronic records, data analysis, coordination, interoperability, etc.? 2. Or is only the upgrade of existing systems eligible? 3. For IT projects, such as the purchase of a new electronic health record, what are our responsibilities to demonstrate site control? Is that still a relevant requirement? <p><i>1. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. See response to Question 62 (1) above.</i></p> <p><i>3. See Section 2.15 of the RFA, as amended on December 11, 2015 for site control requirements.</i></p>
63	<ol style="list-style-type: none"> 1. You clearly state that hard construction costs are eligible. You also clearly state that operating costs are not eligible. However, soft construction costs such as interest on a site survey, engineering, architecture, interest on a construction loan, permits, insurance, owner's rep, temporary utilities, etc. are not addressed. Also, is a contingency line and a development fee (aka not for profit development allowance) allowed? These are all integral part of construction. 2. If an organization is to raze a building to construct a new building in its place, are temporary occupancy costs, moving costs, etc. allowed? <p><i>1. See Sections 2.13 & 2.14 of the RFA as amended on December 11, 2015 for eligible and ineligible costs. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. Also, please note that certain costs would not qualify to be a capital work or purpose pursuant to Section 67-a of the State Finance Law.</i></p> <p><i>2. No, it does not appear that the project would qualify.</i></p>

Questions & Responses

64	<p>I am following the instructions in the Grants Gateway system to begin our application process for the Nonprofit Infrastructure Capital Investment Program however, “Apply for Opportunity” is not an option on “View Grant Opportunity.” Can you please advise as to how I can start applying for the opportunity?</p> <p><i>To start an application you must be logged in as a Grantee, Grantee Contract Signatory, or Grantee System Administrator. Any one of these users can then click on the "View Opportunities" link from their home page. From there you can search for the opportunity and begin your application. Detailed instructions and screenshots on these steps can be found in our “Grantee Quick Start Guide”, applicant webinars on how to initiate an application every Tuesday and Thursday, a prerecorded YouTube video on how to initiate an application, or by calling or emailing the Grants Gateway Help line. Please note: you cannot apply for a grant from the Grant Opportunity Portal, or if logged in as a Grantee Delegated Administrator.</i></p> <p>Application Quick Start Guide Grant Application Video Training Calendar</p>
65	<p>Hello. We are a not-for-profit human service agency providing direct supports to people with developmental disabilities. On page 7 of the grant documents, it specifically states ‘Organizations that are eligible to receive funding through the DSRIP program are not considered Human Services Organizations and therefore not eligible to apply for the infrastructure grant’. We are participating as a member of our local PPS, but we do not yet know when or even if we would be included in any DSRIP payments. We are definitely a Human Service Organization providing direct supports to people with Developmental Disabilities. Can you clarify this eligibility requirement? It would be a shame to exclude similar organizations or force them to reconsider their role in the DSRIP process, which might have a detrimental impact on a the PPS’s ability to achieve their stated outcomes.</p> <p><i>See response to Question 11.</i></p>
66	<p>We were excited to learn of the opportunity, and hope you can answer a small eligibility question. We are a NYC-based long-term youth development program that works exclusively with at-risk students through a series of programs that bring them from middle school through high school and college. We only receive funding from one city agency, The New York State Council on the Arts, which supports our summer program, a 7 week long math and literacy immersion program which is hosted off site at a college. During the school year we saturate our students w- educational programming which include weekly academic tutoring, weekly life-skills/career exposure workshops, and weekly work-study opportunities. We recently received a capital grant from the Manhattan Borough President for a new computer lab and when we learned of this grant, the idea was to use NICIP to augment what we received from the Borough President. My question is: would we be able to only use NICIP funding to support the programs already supported by the state (in this case, Summer Design Studio), or could we use monies for our during the year activities, specially our computer lab?</p> <p><i>See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
67	<p>We are a not for profit agency in Staten Island, NY and are planning to apply for the above mentioned grant. We provide comprehensive services to people with Developmental Disabilities. We have a question regarding submission and payment of requisitions.</p> <p>1. What is the time frame from receipt of the requisition to payment to the agency? 2. Are requisitions strictly submitted after work is done on a reimbursement basis or is there a start up payment to get the project going at the onset?</p> <p><i>1. Payments are typically processed within 4-6 weeks after the requisition has been audited by DASNY.</i></p> <p><i>2. The Grantee has the option to submit for reimbursement of eligible expenses incurred and paid or to be paid on invoice. See Section 5 of Appendix 3 (Grant Disbursement Agreement) pertaining to disbursements. Grant funds may not be used for advances, deposits, or downpayments.</i></p>
68	<p>Hi, we have a question about Eligibility. We own and operate a Medicaid Assisted Living Program, which has a "state authorized payment" stream and provides "direct services" via personal care as part of the program. However, we are, on paper, participating with a couple of Performing Provider Systems under DSRIP, although we do not expect to see any payment out of that participation. Are we still eligible to apply for the NICIP?</p> <p><i>See response to Question 11.</i></p>

Questions & Responses

69	<p>We have a few questions regarding this RFA, your insight will be helpful.</p> <p>1. RE: Section 2.11 We are a member of a PPS under a DSRIP system and may eventually become eligible for funds offered through participating in that program, but will not be receiving direct incentive payments. Are we still eligible to apply for this grant?</p> <p>2. RE Section 2.13 The RFA states that software and licences are generally not eligible unless it involves new IT. If we are seeking funds to replace an aging IT infrastructure, including servers and hardware, are the basic software components required to run those systems eligible for funding. (For example, Microsoft Office and Adobe components?)</p> <p>3. RE: Section 2.14 If applying for an IT infrastructure grant, is the cost of labor to install and upgrade the systems eligible for funding? We appreciate that general personnel are not covered, but this is specifically a question about outside vendors.</p> <p>4. RE: Section 2.19 It appears that we can apply for capital OR IT funding at one site, or either/or at multiple sites, but not a mix and match of projects at multiple sights. For example, we could do IT restructure AND fix our elevator/windows at our West Side Office, or do IT restructuring at West and East Harlem Sites, but not both. Is that correct?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>3. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>4. An Applicant may apply for either a construction or technology grant. One application may include a single purpose project at multiple sites or a single site. In the example provided, it would appear that the first example would not be eligible, and the second scenario would qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
70	<p>Hello – I have 2 questions at this time:</p> <p>1. Can you make a request below the minimum amount for your size category?;</p> <p>2. Can you purchase a capital asset to relieve space congestion in lieu of building construction/renovation, such as a mobile HIV testing van?</p> <p><i>1. No.</i></p> <p><i>2. See Section 2.14 of the RFA as amended on December 11, 2015. Vehicles, unless the vehicle is used to provide direct service such as a mobile clinic or a mobile food pantry, are ineligible under the NICIP program.</i></p>
71	<p>Would the purchase of a vehicle (a van) to expand our agency's emergency food capacity qualify as an acceptable use of these NICIP funds?</p> <p><i>See Section 2.14 of the RFA as amended on December 11, 2015. Vehicles, unless the vehicle is used to provide direct service such as a mobile clinic or a mobile food pantry, are ineligible under the NICIP program.</i></p>
72	<p>On page 7 of the RFA under organizations NOT eligible to apply the last bullet is: Organizations that are eligible to receive funding through DSRIP. We are confused by this as we are part of DSRIP PPS's but have not received any funds nor have we signed any contract about providing services so we are not anticipating receiving funds. Please advise.</p> <p><i>See response to Question 11.</i></p>
73	<p>This question is regarding eligibility. Our agency operates a 48 bed residential intermediate care facility in Bayville, NY for persons with severe disabilities. We also have several other group homes that we own and run. Our facility in Roosevelt, NY has rehab and has a wing for a school and another wing for Primary Care Article 28 clinic. My questions are:</p> <p>1. whether our Roosevelt facility would be eligible for renovations in the sections of the building that are devoted to rehabilitative services;</p> <p>2. whether our Bayville residence would be eligible for renovation funding such as solar panels; or</p> <p>3. whether we could combine Bayville with the group homes for a project such as window replacement...</p> <p><i>1. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p> <p><i>2. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>3. See Section 2.19 of the RFA as amended on December 11, 2015. One application can cover a single-purpose or multi-purpose project at a single site or a single-purpose project at multiple sites.</i></p>
74	<p>Hi, I have a question about the prerequisite of "State Contract." Our organization has a contract with Department for the Aging (NYC), which I know receives NY State funding. I'm wondering if this would make our agency eligible to apply. Thank you.</p> <p><i>To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p>

Questions & Responses

75	<p>Is the hiring of a consultant for purposes of data analytics and the implementation of system-wide data gathering an allowable expense under this funding source? I understand that personnel is not eligible as outlined in section 2.14, however was unsure about project specific consultants.</p> <p><i>The purpose of NICIP is to provide funds for targeted investments for projects utilized to provide direct services. Whether or not a consultant would be an eligible expense would depend on the nature of the work. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
76	<p>I have two questions regarding the RFA for the Nonprofit Infrastructure Fund.</p> <p>1. The eligibility criteria states that organizations that are “eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers(HEAL NY), or the Capital Restructuring Financing Program (CRFP)” do not qualify as human service organizations under this grant opportunity. Does this include all providers that might be eligible for these programs or in the case of DSRIP, for example, is it just the lead organizations in the PPS?</p> <p>2. I’m just looking for confirmation on this next point. It is pretty self-explanatory and has to do with this definition: “Direct services shall mean prevention, intervention, and/or habilitative services that a nonprofit organization provides directly to a consumer or group of consumers.” Does an organization like a food bank qualify under this definition? They provide food to other non-profits that in turn serve people in the community.</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. No, a food bank would not appear to qualify. See Section 2.11 of the RFA. An Applicant would be eligible to apply for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative demonstrating that they provide direct services in New York State to individuals and families residing in New York State as further defined in Section 2.11 of the RFA. The determination as to whether an Applicant is eligible for NICIP funding is dependent upon the extent to which it meets the criteria set forth in the RFA.</i></p>
77	<p>I have a quick question. Are child care, Head Start and Early Head Start providers eligible to apply for this grant?</p> <p><i>Possibly. See Section 2.11 of the RFA. An Applicant would be eligible to apply for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including documentation demonstrating that they currently receive funding from New York State in the form of a State contract, State authorized payment, or State payment rate as further defined in Section 2.11 of the RFA. The determination as to whether an Applicant is eligible for NICIP funding is dependent upon the extent to which it meets the criteria set forth in the RFA.</i></p>
78	<p>This question is being submitted in connection with the Nonprofit Infrastructure Capital Investment Program RFA. Section 2.11 of the RFA (page 7) describes “Eligible Entities” that may apply for grant funding. Entities that are deemed not eligible to apply for grant funding include: “Organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY), or the Capital Restructuring Financing Program (CRFP).”</p> <p>Does this exclusion apply only to the twenty-five DSRIP lead/ anchor hospital systems or does the exclusion apply to each and every entity that may be participating in a Provider Performing System (PPS) network?</p> <p>Our organizations consists of 48 operational “Chapters” or divisions, all of which provide direct services to people with developmental and other disabilities. Most of these Chapters have joined a PPS network but due to the downstream relationship between the Chapters and DSRIP leads, very few, if any, anticipate receiving actual DSRIP funding. The NY State budget language authorizing this \$50 investment program specifically requires a representative(s) from the Office for People with Developmental Disabilities (OPWDD) to be involved with this particular RFA and thus it seems unlikely that OPWDD providers, such as Chapters, were ever intended to be excluded from participating in this program.</p> <p><i>See response to Question 11.</i></p>

Questions & Responses

79	<p>These questions pertain to Sections 2.10-2.11 of the RFA. Under the RFA, “hospitals and diagnostic and treatment centers authorized under Article 28 of the New York State Public Health Law” and “organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY), or the Capital Restructuring Financing Program (CRFP)” are excluded from applying for funds.</p> <p>1. Are non-profit nursing homes eligible to apply, if they have not received HEAL funds, have not been included in a CRFP application, and do not expect to receive DSRIP funds even though they may be in a PPS network?</p> <p>2. Are non-profit home care agencies (certified home health agencies, licensed home care services agencies, and long term home health care programs) eligible to apply if they have not received HEAL funds, have not been included in a CRFP application, and do not expect to receive DSRIP funds even though they may be in a PPS network?</p> <p>3. Are non-profit Medicaid assisted living programs, assisted living residences, and adult care facilities eligible to apply, if they have not received HEAL funds, have not been included in a CRFP application, and do not expect to receive DSRIP funds even though they may be in a PPS network?</p> <p>4. Are non-profit hospice programs and hospice residences eligible to apply, if they have not received HEAL funds, have not been included in a CRFP application, and do not expect to receive DSRIP funds even though they may be in a PPS network?</p> <p>5. Are non-profit senior services providers (e.g., providers of home-delivered meals, transportation, caregiver supports, chore services, etc.) eligible to apply, if they have not received HEAL funds, have not been included in a CRFP application, and do not expect to receive DSRIP funds even though they may be in a PPS network?</p> <p><i>1. Please see Section 2.11 of the RFA and Article 28 of the New York State Public Health Law. All entities covered under the Article 28 definition of "hospital", including nursing homes, are ineligible to apply.</i></p> <p><i>2. Yes, if they meet all of the eligibility criteria set forth in the enabling NICIP statute and the RFA including that renovations or expansions of space is limited to space used for direct services. See Sections 2.11 and 2.13 of the RFA as amended on December 11, 2015. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p> <p><i>3. Yes, if they meet all of the eligibility criteria set forth in the enabling NICIP statute and the RFA. See Sections 2.11 and 2.13 of the RFA as amended on December 11, 2015. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p> <p><i>4. See response to Question 79 (2) above.</i></p> <p><i>5. See response to Question 79 (2) above.</i></p>
80	<p>I have a question on Section 2.13 eligible costs. Our organization has recently purchased land in order to construct a campus on which to deliver our services. While I realize that the acquisition of property is not an eligible cost, are construction costs eligible? Could any soft costs such as architectural, engineering, surveying, environmental studies, traffic studies, demolition, etc be eligible costs?</p> <p><i>See Sections 2.13 & 2.14 of the RFA as amended on December 11, 2015. for eligible and ineligible costs. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. New construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA including that it is a renovation or expansion of space used for direct program services.</i></p>
81	<p>I am working with a residential facility for children and adults who have Down syndrome. They meet all of the eligibility requirements for this program however, they are also eligible to receive funding through DSRIP. The RFP states that applicants may not apply if they are “Organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY), or the Capital Restructuring Financing Program (CRFP)”. Can you clarify? We are having a difficult time thinking of a human service agency that is not eligible to receive DSRIP funding.</p> <p><i>See response to Question 11.</i></p>
82	<p>The eligibility criteria states that organizations that are “eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY), or the Capital Restructuring Financing Program (CRFP)” do not qualify as human service organizations under this grant opportunity. Does this exclude all providers that might be eligible for these programs or in the case of DSRIP, for example, is it just the lead organizations in the Performing Provider System (PPS)?</p> <p><i>See response to Question 11.</i></p>
83	<p>Can you clarify, regarding the ineligibility categories on page 7 of the RFP (“Organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY), or the Capital Restructuring Financing Program (CRFP)”) if we are a nonprofit agency which has business relationships/projects with DSRIP PPSs, but are not the direct recipient of DSRIP funds from the state, are we still eligible for this RFP?</p> <p><i>See response to Question 11.</i></p>
84	<p>Hello. Under section 2.10, there is an exclusion of lobbying/advocacy agencies. As the Independent Living Center in Dutchess County, NY, we are a state funded advocacy agency for people with disabilities. We provide advocacy, information and referral and a wide variety of other services. We don’t currently lobby as an agency. Would the above exclude us from this grant opportunity?</p> <p><i>Nonprofit organizations whose advocacy is limited to a form of direct client service (i.e. legal aid, disability advocacy) and otherwise meet eligibility criteria are eligible to apply.</i></p>

Questions & Responses

85	<p>Please define “site” as it is used in this RFA:</p> <ol style="list-style-type: none"> 1. Is each fixed asset located on the same site, the same deed, considered to be the same site? I.e. Are multiple buildings on the same plot of owned land one site? 2. If the above is true, can an application be submitted to fix multiple infrastructure issues at a single site but on multiple buildings? (i.e. replace all windows in several buildings and replace all roofs on several buildings) <p><i>1. Yes.</i> <i>2. Yes.</i></p>
86	<ol style="list-style-type: none"> 1. Could you explain in more detail the requirement that only buildings where direct services are provided are eligible? Does this mean that clients must physically come to the location on a regular basis? For example, would a building that is used for staff of a licensed Home Care program be eligible for funding to replace the roof, even though clients are served in their homes? 2. Question 22b asks how much of the agency’s total funding is derived from NYS. Should Federal funding that is passed through NYS be included in this figure? <p><i>1. Yes, the building where direct services are provided means that the clients physically come to the location on a regular basis. No, it would appear that the example provided would be ineligible for a NICIP grant.</i> <i>2. Yes, Federal funding that is passed through NYS should be included in that number.</i></p>
87	<p>I have a question regarding eligible renovations for the recently-released NICIP RFA. Are renovations limited to locations where direct services are provided? Specifically, could an applicant with multiple locations, including one which houses only support staff, apply to replace the HVAC system at the support staff location? While no direct services are provided out of this site, training for direct service staff does happen in this location.</p> <p><i>1. See Section 2.14 of the RFA as amended on December 11, 2015 for ineligible costs. Yes, renovations are limited to locations where direct services are provided.</i></p>
88	<p>As we review the RFA there are several conditions that we are looking for an exception on.</p> <ul style="list-style-type: none"> • Documentation that your organization receives funding from New York State through State approved payments and/or State contracts and/or State payment rates • Information about which State agency licenses, certifies or otherwise has oversight for your organization and provides the majority of your State funding. <p>This will be our third year receiving city discretionary funding. We do not receive any state funding but are very interested in applying for the NICIP funding in the construction and technology category qualifying for level C1 on both categories.</p> <p><i>Thank you for your input regarding the NICIP RFA. At this time, no exceptions to the RFA will be considered.</i></p>
89	<p>If a Head Start / Early Head Start is:</p> <ul style="list-style-type: none"> • Prequalified in Grants Gateway • Provides direct services to children and families in New York in the form of Head Start and Early Head Start services. • Currently receives funding from a State Contract. • Has an annual revenue greater than \$100,00 as based on line 12 of the most recent IRS form 990 on file with Grants Gateway. <p>Would it qualify as an eligible entity as defined in Section "2.11 Eligible Entities"?</p> <p><i>Possibly. See Section 2.11 of the RFA. An Applicant would be eligible to apply for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including documentation demonstrating that they currently receive funding from New York State in the form of a State contract, State authorized payment, or State payment rate as further defined in Section 2.11 of the RFA. The determination as to whether an Applicant is eligible for NICIP funding is dependent upon the extent to which it meets the criteria set forth in the RFA.</i></p>
90	<p>I would like to request the grant application for the Nonprofit Infrastructure Capital Investment Program #PBC01-NICIP-2015.</p> <p><i>This Grant Opportunity was posted and available for applications on October 28th. See response to Question 64 for details on submitting an application.</i></p>
91	<p>In reviewing the NICIP RFA, I identified a concern regarding eligibility that will impact not only our organization but potentially dozens of others with similar business structures. The concern is from the criteria on page 7 that deem which organizations are not eligible to apply for the NICIP grant. Here is my concern. Our organization is listed as a single organization in the Grants Gateway (please see attached file), but we operate under two dba’s to separate our healthcare services from our services to the developmentally disabled.</p> <p>Under our dba, we operate Federally Qualified Health Centers, which are Article 28 facilities, and are participating in two DSRIP PPS’s and have submitted a CRFP application in one of them. This would deem us ineligible using the criteria on page 7. Under another dba, we operate a WIC program and several other state-funded programs. We would not be deemed ineligible using the same criteria. By definition, our “organization”, by virtue of the parent organization overseeing multiple services, would be ineligible to apply. I am aware of almost two dozen similar agencies who provide the same spectrum of services who will be impacted by this. Will you please clarify if our operation under the two unique dba’s permits us to apply under the dba that qualifies? If not, is there an appeal process we may engage to request a waiver due to our circumstances?</p> <p><i>See Section 2.19 of the RFA which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program. Waivers will not be accepted.</i></p>

Questions & Responses

92	<p>If we are a community-based organization participating in NYC DSRIP projects, and will eventually receive some sort of compensation for participating in these projects at some point in the future, are we still eligible for the RFA?</p> <p><i>See response to Question 11.</i></p>
93	<p>We are interested in applying for the NICIP grant, and had some questions we'd like to ask:</p> <p>1. We operate an Article 28 health clinic as one of a large range of services that we offer through 17 program sites. Does operating the Article 28 clinic disqualify the entire organization from applying for this grant? Of course, we would not apply for any project that is related to our clinic under this grant, but does the mere fact that we operate disqualify us from applying for this grant on behalf of other projects (for example, for renovations to our performing arts center or parent center?)</p> <p>2. If we applied for DSRIP or HEAL NY funding and our applications are pending or rejected, does this disqualify us from applying for the NICIP grant?</p> <p><i>1. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p> <p><i>2. See response to Question 11.</i></p>
94	<p>We're a community foundation that wanted to apply on behalf of direct service providers. We often get requests for capital improvements and infrastructure upgrades (roof, boiler, tech upgrades, paving). We thought this would be a unique way for the Foundation to be a primary clearing house for applications, and would reduce the bureaucratic and financial burden for our partners, as well as offer an opportunity to leverage funds to enhance programs for our collaborators, instead of funding infrastructure improvements. Are we eligible to apply acting on behalf of 10-20 direct service providers?</p> <p><i>No. See Section 2.11 of the RFA for eligibility criteria.</i></p>
95	<p>Would you provide clarification on eligibility criteria for this RFA. In section 2.11 states that an organization that is eligible for funding through the Delivery System Reform Incentive Payment program is not eligible for this RFA. This will make many small non-profits entities that are receiving very small amounts of funding through DSRIP not eligible for this capital funding. This will put serval of us at a disadvantage for capital improvement and expansion of programs.</p> <p><i>See response to Question 11.</i></p>
96	<p>We are considering applying for Governor Cuomo's Non-Profit Infrastructure Grants. We are registered with NYS Grants Gateway. We receive funding from MAS Transportation through eMed NY to provide transportation services for seniors, and we also receive funding from OPWDD for mentally challenged Alzheimer's clients in our adult day services program. The project for which we are seeking funding is for new construction of a 96 unit low-to-moderate income, affordable housing project for seniors 55 years of age and older. Before applying, I would like to confirm that we are eligible.</p> <p><i>New construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA including that it is a renovation or expansion of space used for direct program services. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
97	<p>Good morning – I would like to submit a few questions about the NICIP RFA related to section 2.19 (Allowable Number of Applications) as follows:</p> <p>1. I would like to confirm that non-profit agencies (even those with multiple programs in different geographic areas of the state) can submit only one application covering those multiple geographic areas – is this correct?</p> <p>2. It is our understanding that we could submit a single-purpose project at multiple sites, and we would like to better understand the definition/extent of a single purpose project. Can a single purpose renovation project to replace and improve our heating and cooling systems at multiple program locations include the replacement of old HVAC units, the installation of new energy/temperature management systems, the addition of A/C at specific locations, and the replacement of ducts and duct cleaning as necessary? Or would only a portion of this work be considered a single project? Can the replacement of roofing be included in this project if it helps to improve the energy efficiency of a site?</p> <p>3. If there is no clear definition of a "single-purpose" project, should we apply for the entire work (i.e. as described in the previous question) and let the evaluators determine what should/should not be included in the single purpose?</p> <p><i>1. Yes.</i></p> <p><i>2. See Section 2.19 of the RFA as amended on December 11, 2015. This would appear to meet the requirements for a single purpose project across multiple sites, but an individual determination will need to be made based on the information provided in the application. The Applicant must be able to demonstrate in the application how a project is serving a single purpose at multiple sites.</i></p> <p><i>3. See response to Question 97 (2) above.</i></p>

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98	<p>1. Our nonprofit is certified/licensed with and receives funding from multiple NYS sources. Which ones and how many of them do we need to list on the application?</p> <p>2. For construction, are cost estimates sufficient or do we need to present actual quotes from contractors?</p> <p>3. Are we able to do construction and technology combined in one project? These looked like either/or options on the application?</p> <p>4. If we delete a file attachment, but then we decide we want that exact same attachment back as an attachment, do we need to rename it, or will the system let us reattach a file we previously deleted?</p> <p>5. Under “Required forms” and “Nonprofit Required Documents” in the prequalification section, do we do both?</p> <p>6. Do we follow the NYS fiscal year or our own fiscal year?</p> <p><i>1. The Applicant should provide comprehensive information demonstrating that they receive funding from New York State in the form of a State contract, State authorized payment, or State payment rate as further defined in Section 2.11 of the RFA as it relates to the full range of direct human services provided by the Applicant to individuals and families residing in New York State.</i></p> <p><i>2. See Section 2.20 of the RFA for information regarding cost estimates. There are no prescribed number of estimates that must be obtained, and although “quotes from contractors” and “bids” are not specifically required, higher scores will be awarded to Applicants who have provided professional estimates or quotes to support their budget. The Applicant should provide compelling “narratives that explain how the Applicant arrived at the cost estimates” and how those cost estimates are reasonable.</i></p> <p><i>3. An Applicant may apply for either a construction or technology grant.</i></p> <p><i>4. The system will let you re-attach the same file again. You do not need to rename it.</i></p> <p><i>5. Yes, both of these sections are required. Please refer to the Vendor Prequalification Manual, the Maintaining Prequalification document, or the Prequalification Video for guidance.</i></p> <p><i>6. The answer would depend on for what purpose the fiscal year is used.</i></p> <p>Vendor Prequalification Manual</p> <p>Maintaining Prequalification</p> <p>Prequalification Video</p>
99	<p>When I browse nonprofit in the Grants Gateway web site, I am able to find and view the 'Nonprofit Infrastructure Capital Investment Program' but I am not able to apply for it when I go to the application tab. Can you please provide assistance? Thank you.</p> <p><i>See response to Question 64.</i></p>
100	<p>1. Are there any exceptions to where an Article 28 Hospital would be able to participate?</p> <p>2. If we do not received funding for DSRIP and CRFP are we then eligible to apply?</p> <p><i>1. At this time, no exceptions to the RFA will be considered.</i></p> <p><i>2. See response to Question 11.</i></p>
101	<p>On what will the grant proposals be scored? The RFP states a proposal must score 70 out of 100. What is the criteria?</p> <p><i>See Section 3 of the RFA pertaining to Evaluation criteria and process. In addition, Appendix 1 provides the points associated with each Application question.</i></p>
102	<p>I have a question on eligibility for the NICIP funding opportunity... I work for an FQHC that has submitted funding applications through a PPS lead for CRFP funding. The RFA states that Organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY), or the Capital Restructuring Financing Program (CRFP) are ineligible for NICIP funding. Does this disqualify us? I ask because we do not yet know whether our CRFP applications were funded, and because the CRFP applications were submitted by our PPS lead, not us directly. Thank you.</p> <p><i>See response to Question 11.</i></p>
103	<p>Per the NICIP RFA, asks for an attestation that no work on the proposed project was begun or equipment purchased prior to April 15, 2015. Does this mean that if an agency has begun capital improvement work to a building after April 15, 2015, that they can submit a proposal for an RFA that reimburses for the cost of the project that has already been incurred? Thank you for your assistance in this matter.</p> <p><i>See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p>
104	<p>We are a non-profit agency that operates numerous programs for a wide range of disabilities and meets all the eligibility requirements for this grant. On page 12, 2.19 of the Requests for applications, it says that there can be one application and that it can be for multiple projects at one site or one project at multiple sites. The question is: if there are ten group homes and the project would be to replace the bathrooms and kitchens as a bundle at each site, is that considered one project for multiple sites and therefore eligible for funding.</p> <p><i>See Section 2.19 of the RFA. This would appear to meet the requirements for a single purpose project across multiple sites, but an individual determination will need to be made based on the information provided in the application. Applicant must be able to demonstrate in the application how a project is serving a single purpose at multiple sites.</i></p>
105	<p>1. Is a non-profit affiliate organization eligible to apply for the NICIP grant if it's parent organization is an Article 28 D&TC?</p> <p>2. Does rent payments received as landlord for a state funded program qualify as payments from the state or state contracted funds?</p> <p><i>1. See Section 2.19 of the RFA which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p> <p><i>2. No. Rent payments to a landlord would not qualify.</i></p>

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106	<p>Based on Question 9 at the top of page 17, it appears that projects are eligible for funding if they are already in progress, so long as they did not begin nor purchase equipment before 4/1/15. I wanted to clarify that this is the case. I was also wondering if this funding would be able to reimburse expenses incurred before the award of a contract - for example, if some emergency repairs have already been made or will have to be made in the next few months, but were conducted after 4/1/15.</p> <p><i>See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p>
107	<p>1. Question #3—if our Proposal is for technology—and we are in leased premises—is it necessary for our Lease Agreement to be for a period of at least seven years? Our proposed technology upgrades will not be physically annexed to the real property and are to be considered personal property.</p> <p>2. Question #18c—asks how many clients receive services from us annually. The technology upgrades funding through this grant would dramatically increase the number of clients that we will be able to serve. Do you want the current number served, the anticipated greater number to be served, or both?</p> <p><i>1. See Section 2.15 of the RFA, as amended on December 11, 2015 for site control requirements.</i></p> <p><i>2. The Applicant should provide the number they currently serve in response to Question 18c. In response to Question 18d, the number of clients that would be impacted may include the number to be served.</i></p>
108	<p>1. The RFA mentions that publicly owned spaces are not eligible for capital improvements. Our agency has several program sites that have operated out of New York City Housing Authority (NYCHA) centers for many years, serving thousands of community residents. Are these spaces eligible for this RFA? If so, can you please confirm how we can indicate site control of this space without a formal lease agreement?</p> <p>2. Can you please confirm whether all DSRIP-eligible entities are barred from the infrastructure fund, or if that stipulation is referring to hospital systems?</p> <p><i>1. See section 2.14 of the RFA. NICIP Grant funds may not be used to improve publicly owned buildings.</i></p> <p><i>2. See response to Question 11.</i></p>
109	<p>Here at our facility, our most pressing infrastructure need is updating our aging truck fleet. Our 4,000-square-mile service area includes six Southern Tier counties which are predominately rural, and the nature of our service programs leaves us dependent on an appropriate, reliable fleet. We perform a wholesale function for 150 partner agencies and depend on the trucks to pickup and distribute close to 10 million pounds of food in a year. We also have two direct service programs: Mobile Food Pantry and Backpack that are delivered by vehicle. The Mobile Food Pantry program allows us to serve areas with little or no access to traditional food pantries; the MFP vehicle essentially becomes an expansion of operating space. The Backpack program is our most important initiative serving children in need of food assistance. This program can be effectively delivered to clients only by vehicle. OUR QUESTION : Since our program delivery is dependent on vehicles, would updating our aging fleet be considered under the construction definition of “renovations or expansions of space used for direct program services” as specified in Section 2.13 Eligible Costs of the RFP?</p> <p><i>See Section 2.14 of the RFA as amended on December 11, 2015. Vehicles, unless the vehicle is used to provide direct service such as a mobile clinic or a mobile food pantry, are ineligible under the NICIP program.</i></p>

Questions & Responses

110	<p>1. Our organization holds a number of state grant-based mental health service contracts. However, the organization is deemed ineligible because it is eligible for DSRIP and CRFP funding. Could we apply on behalf of these state grant-based mental health services under an eligible organization as a subcontractor?</p> <p>2. The HEAL funding exclusion would keep a lot of mental health organizations from being eligible to apply. If we received HEAL funding on behalf of our mental health services, are these services ineligible to receive this funding?</p> <p>3. Please clarify the DSRIP exclusion. While our Hospice organization is not a DSRIP safety net provider, we are contracting with PPSs as a service vendor through a contract relationship. Does this mean that the organization is ineligible to apply?</p> <p>4. Is the primary focus of this opportunity to upgrade/replace existing technology systems? Could we apply to install/implement a new system where none existed previously?</p> <p>5. Can \$\$ be used to purchase tablets and computers for program staff for the purpose of service delivery?</p> <p>6. Are care management organizations eligible to apply?</p> <p>7. We have one service delivery grant through New York State. Do the technology upgrades have to relate to this grant-based program or can we apply for other direct services?</p> <p>8. Is case management considered a direct service for the purpose of eligibility for this program?</p> <p><i>1. Section 2.11 “Eligible Entities” on page 7 of the RFA was amended on November 20, 2015 to clarify the eligibility requirements for organizations relating to DSRIP, HEAL NY, and CRFP funding. No, this RFA opportunity is not intended for Subcontractors of an eligible organization.</i></p> <p><i>2. Yes. Please see Section 2.11 “Eligible Entities” on page 7 of the RFA which was amended on November 20, 2015 to clarify the eligibility requirements for organizations relating to DSRIP, HEAL NY, and CRFP funding.</i></p> <p><i>3. See response to Question 11.</i></p> <p><i>4. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>5. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Mobile phones, tablets and similar portable items are ineligible under the NICIP program. However, technology costs cited may be eligible. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>6. See Section 2.11 of the RFA. An Applicant would be eligible to apply for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including documentation demonstrating that they provide direct services in New York State to individuals and families residing in New York State as further defined in Section 2.11 of the RFA. The determination as to whether an Applicant is eligible for NICIP funding is dependent upon the extent to which it meets the criteria set forth in the RFA.</i></p> <p><i>7. A program that is funded by New York State as that term is defined in Section 2.11 of the RFA should be a beneficiary, however the NICIP grant may benefit other direct human services programs at the same location.</i></p> <p><i>8. See response to Question 110 (6) above.</i></p>
111	<p>“Organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY), or the Capital Restructuring Financing Program (CRFP)” It is my understanding that Performing Provider Systems may choose to use DSRIP dollars to assist any CBOs able to support their project goals and so nearly any organization would be “eligible to receive DSRIP funding”, am I incorrect?</p> <p><i>See response to Question 11.</i></p>
112	<p>The due date for applications is 12/23. Would an application for a project to renovate a not-yet-purchased building be eligible for NICIP funding? Would such an application be reviewed? To be clear, we would only be proposing to use NICIP funds for the renovation. We would have other funds for the purchase. My question is about the relation of the application timeline to our purchase timeline. Thanks very much.</p> <p><i>Applicants must be able to demonstrate site control as described in Section 2.15 of the RFA at the time of application.</i></p>
113	<p>I'm writing to inquire about our eligibility to apply for for the Nonprofit Infrastructure Capital Investment Program. We specifically want to make sure that we meet your definition of: 1) a human services organization; and 2) that our services meet your definition of providing direct services; and that 3) the state contract we currently have qualifies us to be eligible to apply. We are a nonprofit 501c3 that provides direct services to 250 under-served high school girls per year from across four of the five boroughs of New York City. The youth development services we provide include mentoring; writing, digital media, and college prep workshops; as well as performance, publication, and leadership development opportunities. We have a multi-year grant contract from the New York State Council for the Arts. Could you please let me know if we are eligible to apply?</p> <p><i>See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>

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114	<p>I was interested in applying for the Nonprofit Infrastructure Capital Investment Program. I am pre-qualified through Grants Gateway and have no documents that are going to be expired anytime soon. I want to know if you can guide me on how I can start the application process. I have the packet titled Request for Applications and I'm going through it right now. But, as far as starting the application process, where do I begin? If you could please inform me about how to start this process I would greatly appreciate it.</p> <p><i>See response to Question 64.</i></p>
115	<p>We have question related to Section 2.11 Eligible Entities. On page 7 it states that organizations that are “eligible to receive” funding through the Delivery System Reform Incentive Payment Program (DSRIP) or the Capital Restructuring Financing Program (CRFP) are not eligible to apply for a NICIP grant. We would like to clarify what was meant by “eligible to receive” these funds:</p> <ol style="list-style-type: none"> 1. All Performing Provider System partners were “eligible” to apply for and receive DSRIP capital grants but they will not necessarily receive any funds. In fact, no capital fund awards have been announced yet. Does merely having been eligible to receive funds disqualify us? 2. Also, Performing Provider System partners are also “eligible” to receive DSRIP project funds if they commit to certain DSRIP projects and then only if certain milestones are met. These are not likely to be capital funds and Performing Provider System partners do not know at this point if and when they will be receiving any funds. Does merely being eligible for these DSRIP project funds disqualify us? <p>Looking at “eligibility to receive” alone, it seems that hundreds of human service organizations would be excluded from applying. It does not seem like that was the intended result.</p> <p><i>1. See response to Question 11.</i> <i>2. See response to Question 11.</i></p>
116	<p>I am writing to inquire about the DASNY Nonprofit Infrastructure Capital Investment Program grants. Specifically, I want to know if grants for back-up generators will be considered for residences housing people with intellectual and developmental disabilities. During Superstorm Sandy we were hit hard by power outages and relocations at several of our residences, including those serving medically fragile individuals. Our long-term goal is to have back-up generators in place at key sites where power has proven to be a problem in the past or where they are in a flood zone. However, they are costly and we do not have the resources to do on our own. Just want to ensure that the installation of this type of equipment would fall under the Construction category for allowable expenses. I think it should, but further guidance will be most appreciated. Thank you.</p> <p><i>Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
117	<p>Taking into consideration the eligible renovations or expansions section explained on page #10 of the RFA:</p> <ol style="list-style-type: none"> 1. We would like to ask if demolishing work of a small shed in the property that tested positive for asbestos can be considered admissible for the purpose of the NICIP. 2. Would it be possible to do the remediation of an underground oil tank, considering that it might represent an environmental hazard for the clientele of our organization? <p><i>1. No, it does not appear that the project would qualify.</i> <i>2. Yes, it would appear that the project is eligible for NICIP funding provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied, so long as it is undertaken as part of a larger project that affects areas that provide direct services.</i></p>
118	<p>Is it possible to get the RFP for Grant Opportunity # PBC01*-NICIP-2015?</p> <p><i>The RFP can be found on the DASNY website or by searching for it in the Grant Opportunity Portal and clicking on the "Download Grant Opportunity" button. You can search the Grant Opportunity Portal for the word "Infrastructure" to locate this opportunity.</i></p>
119	<p>We at have two questions regarding the Capital Investment Program:</p> <ol style="list-style-type: none"> 1. We are a large non profit, multi-services, human service organization with approximately \$145 million in revenue this fiscal year. Less than \$2 million is received for our Article 28 Diagnostic and Treatment Centers and \$5 million is received for a school aged program funded by the State Education Department for children with disabilities. Are we an eligible entity? 2. If we are eligible, would a site be eligible if it is partially occupied by ineligible programs as mentioned above? If so I would assume we would apply using an allocation method. <p>As a point of reference we are considering applying for funding to make existing bathrooms accessible and private. We have more than 160 sites across NYC. Approximately 75% of the 6,000 people with significant disabilities we support each day utilize wheelchairs and we have no other funding mechanism for this project.</p> <p><i>1. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i> <i>2. See response to Question 119 (1) above.</i></p>

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120	<p>1. How do we apply for this online when only a draft copy shows?</p> <p>2. Please advise how to find blank copy of Grant?</p> <p><i>1. See response to Question 64.</i></p> <p><i>2. The RFP can be found on the DASNY website or by searching for it in the Grant Opportunity Portal and clicking on the "Download Grant Opportunity" button. You can search the Grant Opportunity Portal for the word "Infrastructure" to locate this opportunity.</i></p>
121	<p>The organization with which I'm working meets all eligibility criteria as defined in the RFA (prequalified, human services org., direct services provider, receiving New York State funding as outlined, annual revenue above prescribed level). My question comes from the later exceptions. Though the organization is a nonprofit provider of direct services to protect the health and well-being of individuals and families in NYS (the definition of human services org.), the RFA §2.11 includes the caveat on what is not considered a human services organization that may contradict this definition. Is an otherwise fully eligible human services organization eligible in the following circumstances?:</p> <p>1. Not a current HEAL NY applicant/recipient. Although the organization received a HEAL NY grant three years ago, it has no current application or funding through HEAL NY.</p> <p>2. Not a current DSRIP recipient. Although CNGCS is DSRIP eligible (like the basically all 8000 other Nassau Cty orgs. that could be eligible/ineligible for NICIP), it hasn't accessed any funds from DSRIP.</p> <p><i>1. Please see Section 2.11 "Eligible Entities" on page 7 of the RFA which was amended on November 20, 2015 to clarify the eligibility requirements for organizations relating to DSRIP, HEAL NY, and CRFP funding.</i></p> <p><i>2. See response to Question 11.</i></p>
122	<p>1. Section 2.19: Can organizations apply for both construction and IT dollars in the same application for the same site?</p> <p>2. Section 3.11: Will awards be based on an "all or nothing" decision, or will partial awards be made? (e.g. funding a portion of the construction or funding only the IT component)</p> <p>3. Section 2.13: Can you please clarify what IT upgrades qualify under NICIP?</p> <p>4. Section 4.33: Is there a deadline for completing construction and expending the award?</p> <p><i>1. An Applicant may apply for either a construction or technology grant.</i></p> <p><i>2. We do not expect to make partial awards, however, ineligible costs will be excluded.</i></p> <p><i>3. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>4. The project should be completed within the three year term of the Grant Disbursement Agreement (GDA). Per Section 10 of Appendix 3, DASNY, in its sole discretion, may extend the term of the Agreement upon receipt of sufficient justification from the Grantee.</i></p>
123	<p>When will the "Apply for Opportunity" button be activated for PBC01*-NICIP-2015 on the NYS Grants Gateway website?</p> <p><i>The Opportunity is currently active. See response to Question 64 for details on submitting an application.</i></p>

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124	<p>The following are several questions pertaining to the Nonprofit Infrastructure Capital Investment Program RFA:</p> <p>We noticed in Section 2.11 of the RFA (Eligible Entities) that an organization is unable to apply if eligible to receive funding via the DSRIP. Not unlike many nonprofit organizations throughout our state, we felt it appropriate and necessary to become a member of our local DSRIP's Performing Provider System which is positioned to become a purveyor of Medicaid funding for organizations such as ours. In our PPS alone, there are over 1,400 members many of whom will be unable to apply for funding if this clause remains. We currently are not receiving funding through the DSRIP and are uncertain as to whether that is ever likely to occur. Simultaneously, we are in dire need of capital infrastructure funding to address key capital and technology issues that, if unaddressed, will surely impact those who count on us for the services that we so diligently provide. We are hopeful that you will consider caveats to the clause in Section 2.11 that will make it possible for the thousands of PPS-affiliated nonprofits across New York State to apply for this necessary funding. We along with several other chapters are interested in applying collaboratively for NICIP funding to purchase an accounting software package that will streamline financial reporting information being requested by NYSARC, OPWDD and other state agencies, and produce other financial efficiencies that will benefit our aggregate participant populations. Our questions are as follows:</p> <ol style="list-style-type: none"> 1. Is this allowable or would each chapter be required to submit an application individually? 2. If allowable, could our chapter also apply individually to request funding for other capital improvements? 3. Whereas the purchase of this accounting platform might tangentially impact our payroll operations, would it possibly border on an ineligible cost given the following statement in Section 2.14: "Examples of ineligible technology upgrades include: Purchasing software to assist with payroll or human resources." 4. Pervasive across our agency's various program locations is the need for roof replacement, new HVAC units and other large-scale capital expenses. We wish to confirm that such projects will not in any way fall within the purview of Section 2.14 which reads "Examples of ineligible accessibility renovations include: Ongoing or routine maintenance of existing accessibility components of a facility." <p><i>1. Please see Section 2.11 "Eligible Entities" on page 7 of the RFA which was amended on November 20, 2015 to clarify the eligibility requirements for organizations relating to DSRIP, HEAL NY, and CRFP funding. See Section 2.19 of the RFA which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway.</i></p> <p><i>2. See response to Question 124 (1).</i></p> <p><i>3. The purpose of NICIP is to provide funds for targeted investments for projects utilized to provide direct services. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>4. See Section 2.13 and 2.14 of the RFA as amended on December 11, 2015 for eligible and ineligible costs. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
125	<p>The question is that the since the form appears to request information that is already in the Gate Document Vault for Prequalified organizations, can we assume that this will suffice or do we need to complete the Grantee Questionnaire as well.?</p> <p><i>Yes. The Grantee Questionnaire (GQ) is a required exhibit to the DASNY Grant Disbursement Agreement. Therefore, successful Applicants will need to complete the GQ in the contract phase.</i></p>
126	<p>I am a Board member of a not for profit corporation located in Spring Valley, New York (Rockland County). We are trying to expand the center on land we own to expand the numbers of infant and pre-k children we serve. We already have one capital grant which was sponsored by Assembly Member Ellen C. Jaffee (which may still be in the Assembly Ways and Means Committee and we are awaiting the contract). We are in interested in the new not-for-profit grant initiative announced recently by Governor Cuomo. Is there an application you can send me? Please send me the information as soon as possible. Is it on grants gateway?</p> <p><i>See response to Question 64.</i></p>
127	<p>The grant states that for the purposes of this RFA, organizations that are eligible to receive funding through DSRIP are not eligible for this infrastructure grant. I wanted to clarify what that means. Our organization does not receive funding directly through DSRIP but may benefit from DSRIP funds via service providers who are receiving and distributing the funds (partner hospitals and health care providers). We are an independent non-profit partnering with hospitals to provide services to our clients. Are we still eligible for this RFA?</p> <p><i>See response to Question 11.</i></p>
128	<p>We see that Article 28s aren't eligible as well as organizations that are eligible to receive funding through the</p> <ul style="list-style-type: none"> • Delivery System Reform Incentive Program (DSRIP) • Health Care Efficiency and Affordability Law for New Yorkers (HEALNY) • Capital Restructuring Financing Program (CRFP) <p>Are you referring to the PPS leads or the PPS partners or both?</p> <p><i>See response to Question 11.</i></p>

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129	<p>We are an independent living center located in Southwestern NY State, we provide services for people with all types of disabilities in Cattaraugus, Allegany and Chautauqua Counties. Our primary goals is to allow individuals who have a disability, to live as independently as possible. We do not and are not allowed to lobby for legislation to be passed. We provide a variety of services including but not limited to consultation regarding accessibility to homes and buildings, assisting individuals to obtain disability benefits, assisting individuals seeking employment, although we do advocate for individuals we do not advocate for legislation. Our advocating is assisting individuals to help themselves. My question is since we do not lobby and our primary function is not advocating, if we meet all other standards will be eligible to receive this grant?</p> <p><i>Nonprofit organizations whose advocacy is limited to a form of direct client service (i.e. legal aid, disability advocacy) and otherwise meet eligibility criteria are eligible to apply.</i></p>
130	<p>The question I have is in regards to the grant level of funding. Our organization leases space in a facility owned by the City of Utica. Our budget puts us into the Level C1. Can we collaborate on this grant and use a combined budget in order to apply for a higher grant level of funding? All the other qualifications necessary to apply, we comply with; however, we would like to apply for a Construction Grant to expand program space.</p> <p><i>No. See Section 2.19 of the RFA; each nonprofit organization may submit one application for a NICIP grant. Also, see Section 2.14 of the RFA. NICIP Grant funds may not be used to improve publicly owned buildings</i></p>
131	<p>Would a not-for-profit, partial capitation Managed Long Term Care Plan that has a contract with NYS and provides some direct services be an eligible applicant?</p> <p><i>Yes, however the direct services component of the agency is the qualifying program. See Section 2.11 of the RFA. An Applicant would be eligible to apply for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative demonstrating that they provide direct services in New York State to individuals and families residing in New York State as further defined in Section 2.11 of the RFA. The determination as to whether an Applicant is eligible for NICIP funding is dependent upon the extent to which it meets the criteria set forth in the RFA.</i></p>
132	<p>We are trying to determine if we are eligible to submit a request for funding. We are a participating providers with two DSRIP programs, but it is unclear if we will actually be the recipient of any funding from these programs. Although we are lending some expertise to the PPS's, less than 5% of our patients served are Medicaid recipients, and over 90% are primarily Medicare eligible. We would like to know if any involvement with a DSRIP excludes us from application, or is it just receipt of funding? Thank you.</p> <p><i>See response to Question 11.</i></p>
133	<p>1. The program guidelines indicate that the grant support is for nonprofit, human services agencies that have contracts with the State of New York. We are a diverse agency and provide programs under contract with the State of New York, the City of New York and with the Federal government. Is the NICIP intended only for projects associated with the programs funded by New York State, or is it also intended to assist programs funded by these other government entities? Must a program provided under contract with New York State be a beneficiary of the proposal?</p> <p>2. In terms of decision making, will the decision to fund be a pure yes or no, or might the review panel recommend award but at a lesser amount than is requested?</p> <p><i>1. A program that is funded by New York State as that term is defined in Section 2.11 of the RFA should be a beneficiary, however the NICIP grant may benefit other direct human services programs provided by the Applicant at the same location.</i></p> <p><i>2. We do not expect to make partial awards, however, ineligible costs will be excluded.</i></p>
134	<p>Re section 2.11 – Eligible Entities, page 7: “For the purposes of this RFA, the following organizations are deemed not to be human service organizations offering direct services and are NOT eligible to apply for a NICIP grant”:</p> <p>The last bullet states that organizations that are eligible to receive funding through the DISRIP program are NOT eligible to apply for the NICIP grant. Does this mean that only the PPS is ineligible to apply? Family Service League is a community-based human service organization that is part of a PPS: can we still apply for funding? Please clarify regarding our eligibility for this funding.</p> <p><i>See response to Question 11.</i></p>
135	<p>1. Is or anything do on this grant prior to December 23?</p> <p>2. And how do I know if our organization is registered with New York State?</p> <p><i>1. Per the RFA as amended on December 11, 2015, applications are due on January 29, 2016.</i></p> <p><i>2. Please refer to section 3 in the Vendor User Guide or contact the Grants Gateway Help Desk.</i></p> <p>Vendor User Guide</p>

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136	<p>A few questions regarding the NICIP RFA:</p> <ol style="list-style-type: none"> 1. If we received funds for a construction grant on a property owned by our organization and moved before 7 years, would there be any implications? 2. Can we receive a construction grant while waiting for permits from the town? 3. What happens if we are awarded the grant but the construction permits are not approved? <p><i>1. Yes. This situation may represent a default of the provisions of the Grant Disbursement Agreement.</i></p> <p><i>2. While a grant may be awarded prior to the issuance of permits, all required approvals must be secured before DASNY enters into a Grant Disbursement Agreement with the Grantee.</i></p> <p><i>3. See response to Question 136 (2) above.</i></p>
137	<p>I am trying to begin the application process for the above-named grant. I have logged on to the Grants Gateway website and get as far as the Grant Opportunity Profile. There is no link to “Apply for Opportunity” although the release date is October 28. Am I doing something wrong?</p> <p><i>See response to Question 64.</i></p>
138	<ol style="list-style-type: none"> 1. I work for an agency that is in a long-term collaborative agreement with another agency. We share Executive leadership, HR, Finance, etc., but operate as two separate entities providing similar services in different geographic areas of the Finger Lakes region. Can one of the agencies submit an application that would benefit both organizations? IE: an application that would outline building renovations needed by both organizations or IT to be shared between the two organizations? 2. We operate two residences serving people with disabilities that were constructed with HUD funding. Our agency provides all the services and supports to the individuals residing there, as well as physical plant upkeep and renovations. Can we apply for NICIP funds to make further renovations and enhancements to property developed with HUD funding? 3. Are NICIP funds through DASNY a bond (loan) that will require repayment or is this truly a grant award that (provided all stipulations are met) does not have to be repaid? 4. We have applied for DSRIP finding through the Finger Lakes PPS. We do not yet know if we are going to receive any funding. Does this prohibit us from applying for NICIP funds? <p><i>1. No. Each nonprofit organization must submit an application individually. Each nonprofit organization is limited to one application and no entity other than the Applicant may receive more than a tangential benefit from the project. See Section 2.19 of the RFA.</i></p> <p><i>2. Yes, it would appear to be eligible so long as the criteria set forth in the enabling NICIP statute and the RFA is met. Also, see section 2.14 of the RFA. NICIP Grant funds may not be used to improve publicly owned buildings.</i></p> <p><i>3. NICIP funds are a grant that does not have to be repaid.</i></p> <p><i>4. See response to Question 11.</i></p>
139	<p>My question is whether our organization is an eligible entity to apply for the NICIP grant, specifically whether we would meet your criteria of a human services organization. We meet all of the criteria listed to be eligible for the grant (i.e. nonprofit, major contracts with New York State, \$750K annual budget etc); and through our youth education programs and Writing Center, we provide programs that protect the health and well being of individuals and families; and provide direct services related to prevention and intervention directly to a group of consumers ... We are typically classified as arts, cultural or educational but in pursuing foundation grants have often fit within the human services category. Can you please advise? Thank you</p> <p><i>See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
140	<p>I hope this email finds you well. I have some questions about the RFA - DASNY Nonprofit Infrastructure Capital Investment Program re: ineligibility under the conditions listed on Page 7 of the RFA.</p> <ol style="list-style-type: none"> 1. Are CBOs eligible if they are contracted to managed care organizations but not directly with the PPS? 2. What kinds of relationships to Health Homes of PPS disqualify an organization? 3. Do relationships to managed care organizations (who are not the PPS itself, but are perhaps in the PPS) disqualify a CBO? 4. Please clarify with examples the kinds of organizations that disqualified under the last bullet related to DSRIP, HEAL-NY, and CRFP <p><i>1. See response to Question 11.</i></p> <p><i>2. See response to Question 11.</i></p> <p><i>3. See response to Question 11.</i></p> <p><i>4. See response to Question 11.</i></p>

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141	<p>Please clarify this exclusion criteria. Our organization is a large non-profit with several lines of business. We operate two Article 28 health centers, but also operate several direct service programs (in 15+ locations throughout NYC) funded by New York City and State and federal funds that are separate and apart from these Article 28 centers (e.g WIC, Healthy Families New York, Healthy Start, Early Intervention Service Coordination, and Health Insurance Navigator). In addition, it is our understanding that most community based organizations and human service organizations are eligible to receive funds under DSRIP. As per New York State FAQs: “A PPS has the autonomy to allocate performance funds how it best sees fit, as long as at least 95% of performance payments go to safety net qualified partners and no more than 5% go to non-qualifying safety net partners.” Non-qualifying safety net providers include organizations such as community based and human services organizations offering direct services to clients, like ours. Is it the intent of the RFA to exclude such organizations? Please clarify eligibility for large non-profit organizations with multiple lines of business.</p> <p><i>See response to Question 11 regarding DSRIP. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p>
142	<p>Are organizations that currently don't recieved any state funds but do recieve NYC funds eligible for this grant?</p> <p><i>No. To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p>
143	<p>I understand that the range is based on our annual revenue but how do we determine how much we should ask for?</p> <p>1. Is this based on where our revenue falls in the spectrum? For example, if our annual revenue is only \$200,000 are we allowed to ask for the maximum in the range of \$250,000 or do we have to ask for less?</p> <p>2. Also, if we are asking for funding for multipurpose projects and one falls under "construction" and the other falls under "technology", do we apply under the "base pool"?</p> <p><i>1. The Applicant may request a grant of any amount within the applicable grant funding level range, however as stated in Section 1.30, the amount requested cannot exceed 50 percent of a nonprofit organization's annual revenue.</i></p> <p><i>2. No. An Applicant may apply for either a construction or technology grant. See Section 1.30 for information regarding a description of the Base Pool.</i></p>
144	<p>1. If we are obtaining a Certificate of Occupancy for a building that we own (CoO) will those grant cover both the architect/engineer costs related to design/recommendations needed to bring the building up to code as well as the material/labor and capital improvements needed to receive the CoO?</p> <p>2. Will the grant pay for any capital improvements/construction included in the proposal retroactively (prior to 4/1/15) and, if so, can the improvement have taken place post 7/1/15. Based on question 9 on page 17, it appears as long as after 4/1/15 the grant will pay for these expenses.</p> <p>3. Assuming the grant will pay retroactively for capital improvement/construction expenses post 7/1/15, does the applying agency submit the actual invoice and work description as evidence of the expense in the proposal or is something else or in addition required?</p> <p><i>1. See Sections 2.13 & 2.14 of the RFA as amended on December 11, 2015 for eligible and ineligible costs. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p> <p><i>3. Yes. The actual invoice for work performed should satisfy the requirements of Section 2.20 of the RFA.</i></p>
145	<p>1. We are uniquely structured. Our organization has nine DBA's and share one 990. May each DBA apply for their own infrastructure needs?</p> <p>2. Some of our DBA's have very small contracts for service delivery as community based agencies with the Finger Lakes PPS (DSRIP). We are not receiving infrastructure dollars- they are program-related. Does this make us ineligible to apply for NICIP funds?</p> <p>3. Can one of our DBA's apply for NICIP even though other of our DBA's have DSRIP contracts which are program-related?</p> <p><i>1. See Section 2.19 of the RFA which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway. Please also see Section 2.19 of the RFA as amended on December 11, 2015 for instructions in providing supplemental information for separate nonprofit organizations that “share” a single IRS form 990.</i></p> <p><i>2. Please see Section 2.11 “Eligible Entities” on page 7 of the RFA which was amended on November 20, 2015 to clarify the eligibility requirements for organizations relating to DSRIP, HEAL NY, and CRFP funding. See Section 2.19</i></p> <p><i>3. Please see responses to Questions 145 (1) & 145 (2) above.</i></p>
146	<p>Can an agency apply for this opportunity if they are a potential downstream provider of behavioral services for a DSRIP (not having received referrals), and have received a small HEAL grant (less than \$50,000)? Our agency is a community-based organization that provides mental health care as one of its many social and community services; we are not a large health care organization.</p> <p><i>See response to Question 11.</i></p>

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147	<p>Our organization is a Grants Gateway pre-qualified nonprofit, whose mission is to support and grow the regional manufacturing sector and to create new manufacturing jobs for long-term unemployed local residents. One of the programs that we undertake to support the manufacturing sector, is to provide customized training courses to meet the hiring needs of local manufacturers. We offer these training courses in the Newburgh Armory Unity Center (NAUC), where we have established a state-of-the-art Machinist Training/Education center. The NAUC also provides educational and skills-building programs to local children and their parents through another nonprofit organization. The building is a three story building, which is not handicap-accessible. We are planning to seek funding from NICIP to pay for the elevator installation. Relating to this potential funding request, I have several questions:</p> <ol style="list-style-type: none"> 1. Does our training programs, which are primarily directed at long-term unemployed inner-city residents, qualify it as a "human services organization" as defined in section 2.11? 2. Although the NAUC building has been repurposed for nonprofit use, with its management and operation/maintenance costs covered by an independent board, it is owned by the city. Does this disqualify this building as a site for NICIP? 3. We do not currently lease our training space from the NAUC. We plan to enter into a 7 plus year lease as proscribed by NICIP, but does it have to be executed by the 12/23 application date? <p><i>1. Based on the explanation provided, it does not appear that the organization is eligible for NICIP funding. See Section 2.14 of the RFA. NICIP Grant funds may not be used to improve publicly owned buildings.</i></p> <p><i>2. See response to Question 147 (1) above.</i></p> <p><i>3. See response to Question 147 (1) above.</i></p>
148	<p>I registered for an attended the Nonprofit Infrastructure Capital Investment Program webinar on Wednesday (details below.) However, I was disconnected towards the end. Is the webinar presentation available online? Please advise. Thank you!</p> <p><i>It is not available online. Please contact the Grants Reform Team with any specific technical questions.</i></p>
149	<ol style="list-style-type: none"> 1. Regarding section 2.13 Eligible Costs, “Examples of eligible accessibility renovations include: <ul style="list-style-type: none"> • Renovating restrooms in a building where direct services are provided in order to make them wheelchair accessible • Installing wheelchair ramps at a building or site operated by a nonprofit where direct services are Provided.” In order to meet ADA standards, could both of these examples be considered part of “single-purpose project at multiple sites.” As defined in 2.19 Allowable Number of Applications if applied for across multiple sites? If so, could things such as automatic doors also be included? 2. Regarding section 2.13 Eligible Costs, “Examples of eligible renovations or expansions include: <ul style="list-style-type: none"> • Repairing or replacing aging roofs, installing HVAC systems, or addressing structural deficiencies • Health and safety renovations, such as installing an alarm or sprinkler system, fixing a deteriorating façade, or other code compliance projects. Would improving security across multiple sites be eligible? For example the renovation of reception areas and perimeter security as part of a single purpose project. 3. Regarding section 2.17 Geographic Eligibility, “If an Applicant has locations in multiple regions throughout the State, the region assigned for purposes of this grant will coincide with the site location (if the project involves a single site) or the location of the Applicant’s administrative headquarters (if the project involves sites in multiple regions).” <p>If the sites applied for are “single purpose” across multiple regions, can they be submitted in the same application, or would it require a separate application for each region?</p> 4. Regarding section 2.20 Project Budget and Committed Financial Resources, “The Applicant will be asked to provide cost estimates and upload supporting documentation for those estimates for the following categories of expenses as well as narratives that explain how the Applicant arrived at the cost estimates: <ul style="list-style-type: none"> • Scoping and Pre-Development • Design • Construction” Is there a prescribed number of estimates that must be obtained to determine anticipated expenses, or will an organizations internal best practice for estimating suffice? 5. Regarding section 2.30 Application Materials. <p>Will there be word limits, page limits or font size and style requirements other than what is prescribed by certain questions in Appendix 1?</p> 6. Regarding Appendix 1 Question 15. “Do you attest that you did not begin work on your proposed project, and no equipment related to the project was purchased, prior to April 1, 2015?” <p>Does this mean that projects that may fall into the scope of our application would be eligible for reimbursement through this grant if work was begun after April 1, 2015?</p> <p><i>1. One application may include a single purpose project at multiple sites or a single site. In the example provided, it would appear that the project would be eligible provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. The Applicant must demonstrate how the project is a single or multi purpose project at one site or a single purpose project at multiple sites.</i></p> <p><i>2. See response to Question 149 (1) above.</i></p> <p><i>3. See Section 2.19 of the RFA. Nonprofit organizations may submit one application for a NICIP grant.</i></p> <p><i>4. There are no prescribed number of estimates that must be obtained. See Section 2.20 of the RFA for information regarding cost estimates.</i></p> <p><i>5. When you start the application you will see the limitations in the Program Specific Questions. Most narrative sections are limited to 4000 characters.</i></p> <p><i>6. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p>

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150	<p>Section 2.10 Eligibility, 2.11 Eligible Entities, page 7. “Organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY), or the Capital Restructuring Financing Program (CRFP).”</p> <p>1. Does this mean DSRIP PPS lead entities and not community organizations participating as providers in DSRIP projects?</p> <p>2. If a human services agency submitted a CRFP application through a PPS lead entity, is that agency ineligible?</p> <p>3. If a human services agency meets all 5 eligibility criteria listed on page 6 but has received HEAL 22 money to implement EHR is that agency ineligible?</p> <p>Section 2.10 Eligibility, 2.11 Eligible Entities, page 7. “Elementary and secondary schools”</p> <p>4. If a human services agency operates a New York State 853 Special Act school, is that agency ineligible?</p> <p>Appendix 1, Required Information, page 1. “Please explain how, and upload documentation demonstrating you currently receive funding from New York State in the form of a State contract, State authorized payment or State payment rate.”</p> <p>5. If a human services agency holds multiple State contracts, is a list of all State contract numbers required? Similarly, if the agency holds multiple State-funded county contracts, is documentation for all contracts required?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. See response to Question 11.</i></p> <p><i>3. See response to Question 11.</i></p> <p><i>4. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p> <p><i>5. The Applicant should provide comprehensive information demonstrating that they receive funding from New York State in the form of a State contract, State authorized payment, or State payment rate as further defined in Section 2.11 of the RFA as it relates to the full range of direct human services provided by the Applicant to individuals and families residing in New York State.</i></p>
151	<p>Our agency owns and operates a large non-profit center housing more than 15 non-profit agencies, and together we are providing tens of thousands of direct services (including our own direct services) to our community per year. On page 11 of the RFP, the following statements appear: Grant funding must not provide more than a tangential benefit to a non-Applicant entity. For example, if a nonprofit organization shares a building with other businesses or organizations, the nonprofit organization cannot apply to replace the roof of the entire building.</p> <p>1. Would the exclusion above apply to our specific organization and preclude us from applying for a building-wide project?</p> <p>2. Can an administrative overhead percentage be applied to the project costs? If so, is there a cap on that percentage?</p> <p>3. Our agency's most recently filed 990 indicates revenues of \$4.8 Million, which would categorize us in the Level 2 funding groups. However, our agency is actually a parent company to another direct service non-profit, and our consolidated financials for same fiscal year indicate revenues totalling more than \$13 Million. Can our agency apply under Level 3 funding given this consolidated total?</p> <p><i>1. This scenario does not preclude the organization from applying. However, as noted, the Applicant must be the entity deriving the benefit from the NICIP grant funds. Therefore, a building-wide project as described is likely to not be eligible.</i></p> <p><i>2. No. Administrative overhead cannot be applied to project costs.</i></p> <p><i>3. No. See Section 2.19 of the RFA as amended on December 11, 2015, which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway. Please also see Section 2.19 of the RFA as amended on December 11, 2015 for instructions in providing supplemental information for separate nonprofit organizations that “share” a single IRS form 990.</i></p>
152	<p>I’m wondering if our organization is eligible to apply. We do not receive any NYS funding directly but are funded through a state initiative “Safe Harbour” which is given by OCFS to NYC’s Administration for Children Services Department and then budgeted to GEMS through an intra-city agreement with the City’s Department of Youth and Community Development (“DYCD”). Below are portions of GEMS’ MOU with DYCD for the Safe Harbour funding. I have highlighted the areas in red which show the individual agencies’ relationships and the current term of GEMS’ funding which is expected to renew as of January 1, 2016. Based upon this, would we be eligible to apply for this grant?</p> <p><i>To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p>

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153	<p>Section Two: Application Requirements:</p> <p>1. Page 6. Must the construction project be completed within the three-year grant term? Must all funds awarded be expended within the three years, or requisitioned with the three years?</p> <p>2. Page 9. Will these funds pay for architectural planning and design?</p> <p>3. Page 13. Can you provide a fillable Capital Based Budget Form that we can work on outside of the Grants Gateway?</p> <p>Section Three: Evaluation:</p> <p>4. Page 17. When you ask that we attest that we did not begin work on the project, can you define "work"? Does developing scope or drawing plans constitute "work"?</p> <p>General:</p> <p>5. Can we include letters of support in our application?</p> <p>6. Do you want to see architectural plans or schematics at the time the proposal is submitted?</p> <p><i>1. The project should be completed within the three year term of the Grant Disbursement Agreement (GDA). Per Section 10 of Appendix 3, DASNY, in its sole discretion, may extend the term of the Agreement upon receipt of sufficient justification from the Grantee.</i></p> <p><i>2. See Sections 2.13 & 2.14 of the RFA for eligible and ineligible costs. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>3. The budget must be filled out online in the Grants Gateway application. However, there is a PDF form available for download if you would like to enter your data there before you transfer it to your online application. See link below.</i></p> <p>Sample Capital Budget</p> <p><i>4. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p> <p><i>5. No.</i></p> <p><i>6. No.</i></p>
154	<p>I am writing regarding the Request for Applications for the Nonprofit Infrastructure Capital Investment Program (NICIP). I would like to clarify whether the agency for whom I work is eligible to apply for the NICIP Grant, as per page 7. My agency is not able to apply for funding through the DSRIP without a PPS. We are a member of a PPS and have applied for a capital grant through the PPS. We have not received notification whether we have been approved. I would like to clarify whether we are eligible to apply for the NICIP funding in light of this.</p> <p><i>See response to Question 11.</i></p>
155	<p>1. When will the online portal open to begin completing the application for PBC01-NICIP-2015?</p> <p>2. Are we able to submit one application for one site under construction and technology?</p> <p><i>1. This Grant Opportunity was posted and available for applications on October 28th. See response to Question 64 for details on submitting an application.</i></p> <p><i>2. No, an Applicant may apply for either a construction or technology grant.</i></p>
156	<p>Does a contract to provide hospice services through Medicare and Medicaid satisfy the eligibility requirements for this RFA as outlined in the bullet below?</p> <p>“State payment rate” shall mean payments from the State or other governmental entity to a nonprofit organization based on a fixed or maximum rate of payment that is established or approved by a New York State agency. For purposes of this RFA, this means the nonprofit entity had such a payment rate for services provided during the 2015-16 State fiscal year (April 1, 2015 – March 31, 2016).</p> <p><i>Yes, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA.</i></p>
157	<p>If you find you want to add something but have already submitted your application, can you resubmit it if it is prior to December 23, 2015?</p> <p><i>Yes, contact the help desk and we can have the application pushed back to you for edits. However, you are still responsible for (re) submitting the revised application by the due date and time. Contact the Grants Gateway Help Desk or Grants Gateway email to do this.</i></p>
158	<p>Will we be able to submit any questions about the RFA after November 10?</p> <p><i>See Section 2.60 of the RFA as amended on December 11, 2015.</i></p>
159	<p>Under Section Two, 2.10 Eligibility, four, does Department of Homeless Services (DHS) contracts count as funding from New York State?</p> <p><i>To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p>
160	<p>I am writing regard to the Nonprofit Infrastructure Capital Investment Program. We are a non-profit 501c3 organization registered in NYS, pre-qualified through the grants gateway. We provide capacity building services to health and humans service agencies across the state. Additionally, we provide programs for individuals in NYS living with HIV/AIDS, funded by the AIDS Institute of the New York State Department of Health. Would we be able to apply for a grant for this program specifically? Or do all of our programs and services have to be “direct service” to qualify for funding?</p> <p><i>A program that is funded by New York State as that term is defined in Section 2.11 of the RFA should be a beneficiary, however the NICIP grant may benefit other direct human services programs provided by the Applicant at the same location.</i></p>

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161	<p>The agency meets all listed criteria. However, we indirectly applied for the DISRIP CFRP through Nassau Queens PPS. We do not yet know if we are eligible to receive this grant award. Does this disqualify us as an eligible applicant or should we move forward with an application to find out if we may be eligible?</p> <p><i>See response to Question 11.</i></p>
162	<ol style="list-style-type: none"> 1. The application status of "1"? Does that mean 1 application only, or one application for each category? 2. If our application is not picked for the "Construction" category, will it automatically be rerouted to the "Base Pool" category where any surpluses from the construction and technology categories spills into (as referenced on Page 5 paragraph 2)? OR do we have to "pick a horse and run it" so to speak? 3. How in depth does the "scoping and predevelopment" need to be? Are we talking Capitol Budget estimate approvals, proposed plans with scope of work on a CAD program or fully stamped architectural / engineering documents (as referenced on page 13 paragraph 1 bullets 1 and 2)? 4. If we have an approved PPA and are in process of a newly constructed site, however are at our DOB cap limit can we include that property as part of our proposal if it speaks directly to the multi-site project we are requesting? (Page 9, Item 2.13 - paragraph 1, Bullets 2 and 4) 5. Under the Application Materials (section 2.30) In reference to subsection 2.31, Page 14, "Program specific questions, which include the following sections:" AND all of the subsequent application questions that relate to this area- Page 14, bullet 3, sub bullets 1-3- What level of documentation is needed? Is this all EScore / FSES based and is the supporting documentation needed only for that? Should we provide IPOPS, Site specific IPOPS, ISPs, recent DQI and OFPC audit results? How in depth should the supporting data be? 6. How long before we know we are approved after the prequalification application is filled out? 7. What is the deadline for the prequalification to be in by? 8. After we do all of this and send in the grant application, and say we are denied, will we be told why? 9. Would a non-profit provider regulated by OPWDD and providing residential and other supports for people with developmental disabilities and meeting all other criteria to be considered a human services organization for this RFA, not be eligible to participate in the RFA because the non-profit provider is participating in the Westchester Medical Center PPS? We are concerned because of the language in the RFA that reads "organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program" are not considered human services organizations for the purposes of the RFA and are not eligible to apply for a NICIP grant. 10. Section 2.19 of the RFA states that an applicant can submit one application to replace windows at 10 sites, but cannot submit an application to install windows at Site A, replace bathroom fixtures at Site B and upgrade outdated technology at Site C. Can an application submit one application that focuses on accessibility to renovate a bathroom at Site A, builds a ramp at Site B and renovates a bathroom and builds a ramp at Site C? Essentially, is accessibility considered a single-purpose project even if the mechanism to achieve accessibility varies among sites? Put another way, will a provider have to pick between renovating bathrooms for accessibility AND building ramps? <p><i>1. An Applicant may apply for either a construction or technology grant.</i></p> <p><i>2. If an application receives a score of 70 or above and is not funded in the Applicant's specified Grant Funding Level; then the application will be considered for an award from the Base Pool. See Section 1.30 for further information.</i></p> <p><i>3. See Section 2.20 of the RFA for information regarding cost estimates. There are no prescribed number of estimates that must be obtained, and although "quotes from contractors" and "bids" are not specifically required, higher scores will be awarded to Applicants who have provided professional estimates or quotes to support their budget. The Applicant should provide compelling "narratives that explain how the Applicant arrived at the cost estimates" and how those cost estimates are reasonable.</i></p> <p><i>4. Construction costs must be associated with the expansion or renovation of space used for direct program services (See Section 2.13 of the RFA). Costs in excess of an approved PPA are not allowable.</i></p> <p><i>5. The Applicant should provide the level of detail within the allowable number of characters they feel is most appropriate to be responsive to each specific question found in the application (See Appendix 1 of the RFA).</i></p> <p><i>6. You will receive an email from the system after your Document Vault has been submitted. You will then receive an email after it has been reviewed letting you know that either your Document Vault has been prequalified, or that there are modifications required. Please refer to the Vendor Prequalification Manual and/or the Maintaining Prequalification document, see response to Question 6 for applicable links.</i></p> <p><i>7. Your organization must be prequalified when the applications are due, which is 4:00pm on January 29, 2016.</i></p> <p><i>8. A debriefing for this Grant Opportunity is not anticipated.</i></p> <p><i>9. See response to Question 11.</i></p> <p><i>10. One application may include a single purpose project at multiple sites or a single site. In the example provided, it would appear that the project would be eligible. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>

Questions & Responses

163	<p>1. On page 7 of the NICIP RFA there is a statement that organizations that are “eligible” to receive funding through DSRIP are not eligible to apply for a NICIP grant. Is it the intent that the lead organization of the DSRIP PPS is not eligible to apply, but that the universe of non-profit agencies who provide prevention, intervention and/or habilitative services throughout NYS are in fact eligible to apply? This is important since, by design, DSRIP includes the potential for nominal downstream funding for all the multitude of non-profit health and human service providers hypothetically connected to a lead PPS, and to exclude this universe of providers would appear to be contrary to State’s intent in issuing this RFA. It is also important to note that any DSRIP funds that may eventually flow to the downstream community based non-profit providers will not be used (other than possible HIT projects) for the type of capital projects described in this RFA.</p> <p>2. On page 7 of the NICIP RFA there is a statement that organizations that are “eligible” to receive funding through HEAL are not eligible to apply for a NICIP grant. Since the universe of non-profit entities who have been or could be eligible for HEAL funding is immense, is it the intent that only those non-profit currently receiving HEAL funding for purposes described in this RFA are not eligible to apply for NICIP?</p> <p>3. On page 7 of the NICIP RFA, included in the list of entities not deemed to be human service organizations offering direct services and not eligible to apply, are ‘membership or umbrella’ organizations. Is it the intent not to exclude direct service community-based non-profit agencies that might also have some programs that include registration/membership of individuals in the community?</p> <p>4. In section 3.13, question #9 (page 17) states that work on projects and purchases must not have occurred prior to 4/1/15. Can a project that was begun after 4/1/15 but before this RFA was issued would be eligible for a NICIP grant? If so, can the applicant apply for the full cost of the project (to cover retroactive expenditures) or must the application be limited to such costs for the part of a project that is not yet complete as of the filing of the application? Or the award date?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. See response to Question 11.</i></p> <p><i>3. See Section 2.11 "Eligible Entities." The purpose of NICIP grant funding is to make targeted investments in nonprofit human services organizations that provide direct services to New Yorkers. Membership or Umbrella organizations that represent service providers but do not themselves provide direct services are ineligible to apply.</i></p> <p><i>4. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p>
164	<p>We have a contract to purchase land as well as money in escrow for the project but have not closed yet. Would that be enough to qualify that we have “site control” to apply for a construction grant?</p> <p><i>Applicants must be able to demonstrate site control as described in Section 2.15 of the RFA at the time of application.</i></p>
165	<p>The RFA states that organizations that are eligible to receive funding through DSRIP are not eligible to apply. Does this include safety net providers? What about agencies that qualified for the VAP exception? Is there a threshold to the amount that an agency would receive in DSRIP that makes them ineligible for this grant opportunity?</p> <p><i>See response to Question 11.</i></p>
166	<p>1. Our state contract is with NYSCA –funding a small part of our programs. Are we eligible to apply for a grant that would support other programs? Or does the project need to benefit the same programs supported by our NYSCA grants?</p> <p>2. Our organization does have memberships, but you do not need to be a member to participate in most of our programs. Are we still eligible?</p> <p>3. Would renovating and reconfiguring program space to accommodate increasing demand for programs be an eligible renovation expense?</p> <p>4. If a structural deficiency was discovered during a construction project that began prior to April 1, would addressing the structural deficiency at the present time be an eligible renovation expense?</p> <p>5. We began the permitting process for our current project prior to April 1, but no other construction activities took place before then. Are we eligible to apply for a funding to address structural deficiencies that were recently discovered during that project?</p> <p><i>1. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in the enabling NICIP statute and the RFA. Please see Sections 2.11 and 2.13 of the RFA. A program that is funded by New York State as that term is defined in Section 2.11 of the RFA should be a beneficiary, however the NICIP grant may benefit other direct human services programs provided by the Applicant at the same location.</i></p> <p><i>2. See Section 2.11 "Eligible Entities." The purpose of NICIP grant funding is to make targeted investments in nonprofit human services organizations that provide direct services to New Yorkers. Membership or Umbrella organizations that represent service providers but do not themselves provide direct services are ineligible to apply.</i></p> <p><i>3. Yes.</i></p> <p><i>4. Yes.</i></p> <p><i>5. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p>

Questions & Responses

167	<p>1. If a human services agency operates a New York State 853 Special Act school, is that agency ineligible to receive funds from the NPICIP?</p> <p>2. Section 2.11 (Page 7) of the RFA states that "organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY), or the Capital Restructuring Financing Program (CRFP)" are not eligible to apply for a NICIP grant. The NYS Council would like clarification of this language because the vast majority of our member organizations are eligible for funding under these programs. Should we interpret this language to exclude only the lead organization of a DSRIP Performing Provider System, or does it in fact exclude all DSRIP-eligible organizations, including nonprofit human services providers?</p> <p>3. Section 2.11 (page 6) of the RFA defines a human services organization as "a nonprofit organization that provides programs and services in New York State that protect the health and well-being of individuals and families residing in New York State. This section further specifies that "direct services" are "prevention, intervention, and/or habilitative services that a nonprofit organization provides directly to a consumer or group of consumers." The NYS Council interprets "direct services" broadly to include any services that address social determinants of health, such as housing, food assistance, day care, senior services, and others. Is this correct?</p> <p>4. Section 2.14 (Page 10) of the RFA indicates that capital improvements are ineligible expenses "if the lease for the leased real property is shorter than the probable useful life of the capital improvement." Many capital projects have a useful life beyond a lease term. A roof repair or accessibility improvement, for example, could outlive a seven-year lease. Are we interpreting the intent of this section correctly?</p> <p><i>1. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p> <p><i>2. See response to Question 11.</i></p> <p><i>3. The intent is to provide funding to a range of nonprofit organizations that provide direct human services to a consumer or group of consumers. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services.</i></p> <p><i>4. See Section 2.14 of the RFA as amended on December 11, 2015.</i></p>
168	<p>1. Regarding the project budget (pages 12 and 13), are organizations permitted to allocate a portion of budgeted funds for overhead or indirect costs? If so, what is the maximum allowable amount?</p> <p>2. While the RFA states that projects do not require matching support, will those that demonstrate that they have additional funding be given preference or scored higher than those that do not? (See page 12.)</p> <p>3. Regarding documentation of additional funds, if a foundation, funding consortium, or some other funding entity has authorized a contract for the release of funds but has yet to release such funds is the contract considered sufficient documentation of additional funds? (See page 12.)</p> <p>4. Will there be a webinar regarding this RFA? Since there is no bidders' conference will there be consideration to having a webinar instead? (See page 15.)</p> <p>5. When does DASNY intend to notify responding organizations as to whether or not they have been awarded a grant? Similarly, what is the DASNY timeline for issuing contracts following award notification?</p> <p>6. Is funding subject to the approval of the FY 2017 state budget?</p> <p>7. If an organization is a member of a DSRIP network as a supportive service provider but is not a lead organization or the organization charged with the administration of DSRIP funds for a given geographic area, is the organization that is a supportive service provider eligible to respond to this RFA? (See page 7.)</p> <p>8. If a capital or technology project requires the use of labor to do project-related work, are labor costs permissible? (See pages 10 and 11.)</p> <p>9. Besides the completion of the questions found in Appendix 1 of the RFA, what other items must be submitted via the Grants Gateway? Is there a budget template that grant seekers are required to use as part of the application process? The RFA mentions a Capital Based Budget Form, but it is not clear if this form accompanies the RFA. (See page 14.)</p> <p>10. Regarding required materials for a complete application, is there a checklist for these items and the order in which they should be uploaded via the Grants Gateway? Pages 13 and 14 of the RFA note certain required items, but there is no information regarding how they should be organized when uploading via the Gateway. (See page 14.)</p> <p>11. Can the checklist of required forms and a blank copy of each required form be provided to grant seekers in the form of an addendum? (See pages 13 and 14.)</p> <p>12. Does site control of a space mean that an organization has to own the space in order to be eligible for a grant under this RFA? (See page 13.)</p> <p><i>1. No. NICIP funds cannot be used for overhead or indirect costs. See Sections 2.13 & 2.14 of the RFA for eligible and ineligible costs.</i></p> <p><i>2. No.</i></p> <p><i>3. Yes, so long as the contract is executed and funds are available for the construction term.</i></p> <p><i>4. We have held 3 webinars to date and have at least one more scheduled. Please refer to the Grants Gateway Training Calendar for dates and times. Please see response to Question 64 for link to the Training Calendar.</i></p> <p><i>5. Per the RFA as amended on December 11, 2015, DASNY intends to announce the NICIP grant awards by April 22, 2016. See Section 4.20 of the RFA for prerequisites to the Grant Disbursement Agreement (contract).</i></p> <p><i>6. Yes. All remaining NICIP funds must be re-appropriated on an annual basis.</i></p> <p><i>7. See response to Question 11.</i></p> <p><i>8. Yes, so long as internal labor is not utilized.</i></p> <p><i>9. The budget must be filled out online in the Grants Gateway application. However, there is a PDF form available for download if you would like to enter your data there before you transfer it to your online application. See link below.</i></p> <p><i>Sample Capital Budget</i></p> <p><i>10. The RFA contains all of the questions and the Grants Gateway application has specific locations for all answers. You will be entering your answers in the appropriate section of the Forms Menu in your grant application.</i></p> <p><i>11. See response to Question 168 (10) above.</i></p> <p><i>12. See Section 2.15 of the RFA, as amended on December 11, 2015 for site control requirements.</i></p>

Questions & Responses

169	<p>1. My organization is a multi-service social services organization, with an annual budget exceeding \$5M. We provide a wide range of services, from over 20 different locations, under one corporation. We currently receive state funding from four state agencies, OPWDD (both contractual and Medicaid rate-based), ACCES-VR (contract), OCFS (contract) and OMH (Medicaid rate-based). Because that last category involves community mental health programs (which are not Article 28 clinics), we are part of the now-ongoing managed care transformation process, and we are therefore at least technically eligible to receive DSRIP funds for those programs, although we have received no such funds and do not currently see any real prospect that we ever will receive funds through that system. In any case, if such funds were to be made available, their purpose would be limited to our mental health programs, which are located at locations other than the site for which we would like to apply for NICIP funds. We seek to apply for NICIP funds for a location (owned by us) at which all program services are funded through OPWDD or ACCES-VR. Are we eligible to apply?</p> <p>2. The project we are considering for our potential NICIP application involves the substantial renovation of a facility that will be primarily used for skills training, job placement and other employment-related services for persons with developmental delays and other disabilities. For this project, we have funding needs that include both construction and technology upgrades. The project is, however, located at a single site and has a unified scope of work. May we apply for NICIP funding to address both types of need in a single application, or must we select one or the other? Our application would be structured to enable the funder to choose to fund our entire request or only a portion thereof. The application would clearly delineate the aspects of the project for which we were seeking funds, so that it would be possible for funding to be awarded for construction, or for IT, or for both components. Please advise.</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. An Applicant may apply for either a construction or technology grant.</i></p>
170	<p>1. Can you please further define “organizations that are eligible to receive funding through the ...DSRIP? Are these organizations that qualify as “Safety Net” providers only?</p> <p>2. Can “sister” agencies that hold separate state contracts by agency, are in different regional areas based on the Regional Economic Development Map, however file a joint 990 both apply with separate NICIP applications?</p> <p><i>1. See Section 2.19 of the RFA which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway. Please also see Section 2.19 of the RFA as amended on December 11, 2015 for instructions in providing supplemental information for separate nonprofit organizations that “share” a single IRS form 990.</i></p> <p><i>2. See Section 2.19 of the RFA which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway. Please also see Section 2.19 of the RFA as amended on December 11, 2015 for instructions in providing supplemental information for separate nonprofit organizations that “share” a single IRS form 990.</i></p>
171	<p>1. To be concrete, a NFP agency operates an OMH-licensed Article 31 mental health clinic. If it applied for CFRP funding for the clinic and may be eligible for DSRIP funding, the RFA seems to be saying that it cannot apply for capital funds for the Article 31 clinic even if their CRFP application is not successful Is that correct? If the agency has not applied for CRFP, HEAL or DSRIP funding, can it apply for capital funding for its Article 31 clinic.</p> <p>2. What does "being eligible for DSRIP funding" mean? PPS can fund any partner from licensed clinics to community based agencies,, but is not required to do so.</p> <p>3. If a not-for-profit (NFP) agency operates one or several programs "eligible" for DSRIP or CRFP funding (e.g. Article 31 clinic), but many other programs that are not "eligible", can it apply for capital funding to support these non-DSRIP/CRFP programs? As an example, Even if you confirm the agency cannot apply for its Article 31 clinic, can it apply for capital funding for its domestic violence, older adult, OPWDD and other programs that are supported with State-funding as described in the RFA?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. See response to Question 11.</i></p> <p><i>3. See response to Question 11.</i></p>
172	<p>Question 22c: what percentage is the State revenue of your total revenue? (To calculate this, please take the number provided from question (5b) divided by the number provided in question (5a) of this question to calculate this percentage.</p> <p>- 5a and 5b refer to Required Information in a previous section and have yes/no answers. Should these numbers be changed to 22a and 22b?</p> <p><i>Yes, the application was corrected with the release of the Amended RFA.</i></p>

Questions & Responses

	<p>1. Are otherwise qualified non-profit 501(c)3 agencies able to use the capital investment program to renovate buildings that include an approved private school (also operating under the 501(c)3 charter)?</p> <p>2. Can the funds be used to renovate buildings that include direct services under state contract and other programs providing direct services in the same building but have alternative sources of income (e.g., private insurance, private pay services)?</p> <p>3. If the capital improvements planned for a building (owned by the non-profit) impact space occupied by state regulated and approved programs and non-state regulated or programs, can the entire expense be considered? For example, the agency owns a building where it wishes to replace the roof. Under that roof are programs that are state approved or regulated as well as private insurance based services also provided by the agency. What if part of the building is occupied by a for-profit company that leases space?</p> <p>4. Can the funds be used to renovate buildings that would provide a location for a revenue generating business? (e.g. tenants, kitchen, café, conference center)?</p> <p>5. Can an organization submit a request for grant monies in both Capital & Technology as long as it is at a single site?</p> <p>6. Can we submit a request for 2 capital needs across multiple locations (e.g. roof & HVAC)?</p> <p>7. If no, can we do that if they are related by theme (i.e. energy efficiency initiatives - focusing on HVAC & windows; safety initiatives – focusing on lighting, specialized glass, generators)?</p> <p>8. When will the awards be granted and when will the dollars begin to flow?</p> <p>9. Will partial awards be approved? Will a proposal be granted less money than requested?</p> <p>10. Will proposals be judged on need, readiness and impact only, without regard to the amount being requested?</p> <p>11. Under the PROJECT READINESS Section: (Question 24-25) What specific cost estimates are needed? If we have secured a site, identified a general contractor, and have renovation floor plan designs (without certified architectural plans, actual construction labor and equipment cost estimates), is that sufficient?</p>
173	<p><i>1. See Section 2.11 of the RFA for eligible entities. Elementary and Secondary Schools whether private or public are ineligible. However, project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p> <p><i>2. See Section 2.14: “Grant funding must not provide more than a tangential benefit to a non-Applicant entity. For example, if a nonprofit organization shares a building with other businesses or organizations, the nonprofit organization cannot apply to replace the roof of the entire building”. Also, see Section 2.18 of the RFA.</i></p> <p><i>3. See response to Question 173 (2) above.</i></p> <p><i>4. No.</i></p> <p><i>5. No. An Applicant may apply for either a construction or technology grant.</i></p> <p><i>6. No.</i></p> <p><i>7. See Section 2.19 of the RFA. This would appear to meet the requirements for a single purpose project across multiple sites, but an individual determination will need to be made based on the information provided in the application. Applicant must be able to demonstrate in the application how a project is serving a single purpose at multiple sites.</i></p> <p><i>8. Per the RFA, DASNY intends to announce the NICIP grant awards by April 22, 2016. See Section 4.20 of the RFA for prerequisites to the Grant Disbursement Agreement (contract).</i></p> <p><i>9. We do not expect to make partial awards however ineligible costs will be excluded.</i></p> <p><i>10. See Section 3 of the RFA pertaining to Evaluation criteria and process. In addition, Appendix 1 provides the points associated with each Application question.</i></p> <p><i>11. Yes.</i></p>
174	<p>1. My organization is funded primarily through the New York City Department for the Aging. The funds we receive are Federal Title IIIe funds which are allocated through a formula to all 50 states. New York State Office for the Aging then (again through a formula) allocates the funds to the New York State Area Agencies on Aging. New York City Department for the Aging is one of these AAAs. Does that constitute a State Authorization of Funding?</p> <p>2. ...whether discretionary contracts through New York State Office for the Aging would constitute eligibility?</p> <p><i>1. To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p> <p><i>2. No.</i></p>

Questions & Responses

175	<p>1. Is an executed lease contingent upon NICIP funding acceptable to meet site control requirements? We would like to expand but buildout is cost prohibitive for our non-profit but for NICIP funding.</p> <p>2. How many quotes/bids are required to support buildout/tech cost estimates?</p> <p>3. We are considering a multi-purpose single site proposal that includes both tech upgrades and renovation. Is there potential for partial funding of proposals?</p> <p>4. If NICIP funds are used for buildout of leased space to support non-profit expansion, can the benefiting non-profit sublease a portion of that space to another entity (non-profit or otherwise) if program funding gets cut during the first 7 years or thereafter?</p> <p>5. A significant portion of our funding includes federal dollars passed through and administered by state agencies, including CSBG funding. Should we included this pass-through funding in responding to Program Specific Questions 2a and 22?</p> <p>6. Can NICIP funds be used to reimburse expenses incurred prior to award announcement?</p> <p>7. If NICIP funds are used to undertake renovation of leased space, is the benefiting non-profit (grantee) required to enter into construction contracts and manage the construction process, or could the property owner enter into construction contracts, manage the buildout and then seek reimbursement through the non-profit grantee?</p> <p>8. For questions that state, "No Response Required", does that mean applicants should not provide a response even if there is a need to expand on sub-question responses? For example, question 16 Executive Summary requires no response; 16a and 16b require response but are limited to only 150 words each.</p> <p>9. Question 22: How dependent is your organization on state funding - Does this question require a response, or are we to respond only to sub-questions a-c.</p> <p>10. The pass/fail criteria includes an attestation that work did not begin on the project prior to April 1, 2015. Does this relate to capital costs only, or would this also include scoping, design services and other pre-development costs?</p> <p><i>1. Yes, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and RFA.</i></p> <p><i>2. See Section 2.20 for information regarding cost estimates. There are no prescribed number of bids/quotes that must be obtained.</i></p> <p><i>3. No. An Applicant may apply for either a construction or technology grant.</i></p> <p><i>4. This situation may represent a default of the provisions of the Grant Disbursement Agreement.</i></p> <p><i>5. Yes.</i></p> <p><i>6. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p> <p><i>7. Generally, it's expected that the Grantee will be entering into contracts for work performed under the NICIP grant. However, please be aware that the determination is a fact specific. There would have to be a contract in place between the owner and lessee setting forth the arrangement.</i></p> <p><i>8. Item 16 is a section header and there is no possible way to enter a response there. 16a and 16b ask for a "brief" description.</i></p> <p><i>9. Item 22 is a section header and there is no possible way to enter a response there. Answer 22a, b, and c.</i></p> <p><i>10. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p>
176	<p>1. Do you specify if you want funds from the Base Pool or the Base Pool used to fund projects that weren't funded under the Capital or Technology funds?</p> <p>2. Is there a date the funds need to be used by?</p> <p>3. Is one cost estimate/quote sufficient for the application process?</p> <p>4. Do we need to own or have a seven year lease if we are using the funds for technology equipment/hardware and it is something we would take with us if we moved (in less than seven years)?</p> <p>5. The budget questions mention an Attachment A. Where do I find that?</p> <p><i>1. If an application receives a score of 70 or above and is not funded in the Applicant's specified Grant Funding Level; then the application will be considered for an award from the Base Pool. See Section 1.30 for further information.</i></p> <p><i>2. The start date would be when the Grant Disbursement Agreement is executed by all parties. Project costs incurred after April 1, 2015 would be eligible for reimbursement from NICIP grant funds. The project should be completed within the three year term of the Grant Disbursement Agreement (GDA). Per Section 10 of Appendix 3, DASNY, in its sole discretion, may extend the term of the Agreement upon receipt of sufficient justification from the Grantee.</i></p> <p><i>3. See Section 2.20 for information regarding cost estimates. There are no prescribed number of bids/quotes that must be obtained.</i></p> <p><i>4. See Section 2.15 of the RFA, as amended on December 11, 2015 for site control requirements.</i></p> <p><i>5. When the budget refers to Attachment A, it is referring to a part of a standard State Agency contract which uses the Master Grant Contract template. Contracts awarded from this grant opportunity will be in the form of a Grant Disbursement Agreement, which does not use the Master Grant Contract template. Please disregard references to Attachment A.</i></p>

Questions & Responses

177	<p>1. Under Terms and Conditions > 8. Warranties and Covenants > (j) Vehicles, the issue of vehicle purchases are addressed, that is if the grant allows vehicle purchases. Does the grant allow for vehicle purchases if they are used to provide direct services to consumers?</p> <p>2. Our agency is considering an upgrade of network wiring in one of our program buildings. If aligned with the purposes of 2.13: Eligible Costs: Technology (page 9), would ethernet wiring upgrades be an allowable expense?</p> <p>3. Are telephone system upgrades or replacements an allowable expense under 2.13: Eligible costs?</p> <p>4. Does the grant allow renovations to buildings that store materials to be used for direct services to consumers, rather than providing direct services within the building itself?</p> <p><i>1. See Section 2.14 of the RFA as amended on December 11, 2015. Vehicles, unless the vehicle is used to provide direct service such as a mobile clinic or a mobile food pantry, are ineligible under the NICIP program.</i></p> <p><i>2. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>3. See Section 2.14 of the RFA an amended on December 11, 2015. Telephones and telephone systems are generally ineligible unless such system is the primary method utilized for direct service such as a suicide prevention hotline.</i></p> <p><i>4. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct human services. Based on the information provided, this project does not appear to meet the requirements outlined in Section 2.13 of the RFA.</i></p>
178	<p>1. Our 2014 990 (2015 audit is in process) line 12 number is \$1,122,871. According to the chart on page 5 of the application we are a C1 agency. Grant funding level according to the chart for a C1 is \$50,000 to \$250,000. Fifty percent (50%) of HCA's budget is \$500,000 so are we eligible to request the maximum of \$250,000 under the C1 category or is our maximum \$125,000 since the category budget levels go from \$100,000 to \$2 million?</p> <p>2. We are contracted with Western New York's Millennium Collaborative Care (MCC) Performing Provider System (PPS) to complete Patient Activation Measures and assist uninsured or non-utilizing Medicaid recipients to link and access a primary care provider in all or portions of 4 counties. We were not eligible nor did we apply for capital funding under DSRIP. Are we eligible to apply for the Nonprofit Infrastructure Capital Investment Program if we are contracted with MCC?</p> <p><i>1. The Applicant may request a grant of any amount within the applicable grant funding level range. In the example you provide, you would be eligible to apply for up to \$250,000.</i></p> <p><i>2. See response to Question 11.</i></p>
179	<p>1. On page 7, where organizations who are ineligible to apply are defined, please clarify what you mean by organizations eligible to receive DSRIP or CRFP funding. Do you mean the Performing Provider System (PPS) entity that receives payment directly from the state, or do you mean all of the members of that system that the PPS passes payment on to?</p> <p>2. Assuming that a member of a PPS can receive NICIP funding, and that member also receives CRFP funding through the PPS entity, can NICIP funds be used toward the match for the CRFP grant?</p> <p>3. On page 11, the example is given of an applicant not being able to being able to apply to replace the roof of an entire building if it is shared with other organizations. Does that include the case where the applicant actually owns the building?</p> <p>4. If it would not be eligible, could the applicant request a pro-rated portion of the project cost based on the amount of square footage that the applicant occupies to provide direct services in the building?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. Please see Section 2.11 "Eligible Entities" on page 7 of the RFA. The RFA was amended on November 20, 2015 to clarify the eligibility requirements for organizations relating to DSRIP, HEAL NY, and CRFP funding. However, entities that receive CRFP funding are ineligible for NICIP funding therefore, it cannot be used as a match for CRFP funding.</i></p> <p><i>3. See Section 2.14: "Grant funding must not provide more than a tangential benefit to a non-Applicant entity. For example, if a nonprofit organization shares a building with other businesses or organizations, the nonprofit organization cannot apply to replace the roof of the entire building". Also, see Section 2.18 of the RFA.</i></p> <p><i>4. No.</i></p>
180	<p>We are a non profit focused on youth development. We deliver programming focused on financial literacy, workforce development and entrepreneurship in grades K-12 both in school and out of school. Most of our budget (just under \$1million) is funded by businesses and foundations, but we have limited funding from schools. Does this funding constitute a state payment? All of our programs are delivered by volunteers from the business community versus actual staff....does this component make us ineligible?</p> <p><i>To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p>

Questions & Responses

181	<p>1. In most cases, the RFP questions explicitly indicate whether the applicant should answer for the full organization or only for the programs for which a NICIP grant is requested. Just to be sure, should responses #1, 2, 18 a., 18 b., 18c., 19, 20, 21, 22.</p> <p>2. Does # 18 require a response or is the response covered by answering 18a, 18b, 18c, and 18d? Do #22 and #28 require a response or is the response covered by answering a, b, and c? Do # 2 and #2a each require separate responses?</p> <p>3. For #1 – Should we include only the current operating funds received by our organization through NYS? If NYS capital funds have been received that support organization's services. Should they be included as well?</p> <p>4. Section 2.13 – Eligible Activities - As part of our overall revitalization project, if we include some fencing, façade improvements, painting, paving and landscaping, will they be considered eligible activities?</p> <p><i>1. Applicant should discuss their organization in Questions 1 and 2. In Questions 18a, 18b and 18c, Applicant should discuss the grant. In Question 19, Applicant should discuss their services provided by their organization. In Questions 20 and 21, Applicant should discuss space for which NICIP grant is requested. In response to Question 22, Applicant should discuss their full organization.</i></p> <p><i>2. For question #18, respond to 18a, 18b, 18c and 18d. For question #22 and #28, respond to a, b and c. For question #2, yes please respond to both.</i></p> <p><i>3. Yes, include only the current operating funds received through NYS. No, capital funds should not be included.</i></p> <p><i>4. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
182	<p>Regarding the option to submit a “single purpose project at multiple sites”, may we request one project from each category (i.e. new computers at multiple sites under technology and new rooftops at those same sites under construction) or are we limited to choosing just one project?</p> <p><i>An Applicant may apply for either a construction or technology grant.</i></p>
183	<p>We are interested in applying for the NICIP Grant and wanted to know if the fund could be used for telephone system purchase and implementation “Avaya IP Office”?</p> <p><i>See Section 2.14 of the RFA as amended on December 11, 2015. Telephones and telephone systems are generally ineligible unless such system is the primary method utilized for direct service such as a suicide prevention hotline.</i></p>
184	<p>1. What are the requirements to demonstrate site control for an IT project?</p> <p>2. Are consulting services for an IT project considered an eligible expense?</p> <p><i>1. See Section 2.15 of the RFA, as amended on December 11, 2015 for site control requirements.</i></p> <p><i>2. The purpose of NICIP is to provide funds for targeted investments for projects utilized to provide direct services. Whether or not a consultant would be an eligible expense would depend on the nature of the work. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
185	<p>We understand that applicants are allowed to submit "one application that covers one project across multiple sites" (page 12 of the RFA). We would like to confirm the eligibility of our proposed project to upgrade our entire IT infrastructure across multiple sites. The proposed project includes several components: upgrading the main server, purchasing necessary hardware at multiple sites, and purchasing and installing an electronic health records system for use across all sites. Each of these builds upon another and all are essential to ensuring that our IT infrastructure is built in such a way to maximize our coordination and provision of services across all of our sites. Does this proposed program fit within the allowable "one project across multiple sites" definition?</p> <p><i>See Sections 2.13 for a list of eligible projects, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for a general description of eligible software costs. Yes, it would appear that the project would qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
186	<p>1. Do we need to establish site control for removable equipment and furnishings?</p> <p>2. Are staff telephones an allowable cost for the technology grants?</p> <p>3. Are large-screen monitors for the purpose of displaying information for clients an allowable cost for the technology grants?</p> <p><i>1. See Section 2.15 of the RFA, as amended on December 11, 2015 for site control requirements of the facility where the IT equipment will be located.</i></p> <p><i>2. See Section 2.14 of the RFA as amended on December 11, 2015. Telephones and telephone systems are generally ineligible unless such system is the primary method utilized for direct service such as a suicide prevention hotline.</i></p> <p><i>3. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
187	<p>1. We received a grant this year for a renovation project to address safety issues at our facility. Does the grant we received this year qualify as State funding as defined in Section 2.1.1 of the RFA?</p> <p>2. Our organization is exempt from filing IRS 990. How does this affect the eligibility?</p> <p>3. The amount of funding cannot exceed 50% of the annual revenue. Is this cap for each category or all categories combined? For example, if an organization has \$100,000 in annual revenue and the project falls under all categories is the organization eligible funding amount \$50,000 for the entire project or \$50,000 for each category for a total of \$150,000?</p> <p><i>1. More information is needed to make a determination.</i></p> <p><i>2. See Section 2.19 of the RFA as amended on December 11, 2015 for instructions in providing supplemental information for separate nonprofit organizations that are exempt from filing and choose not to file an IRS form 990.</i></p> <p><i>3. An Applicant may apply for either a construction or technology grant, and may request a grant of any amount within the applicable grant funding level range within the category. In the example you provide, you would be able to apply for up to \$50,000 for either a construction or a technology grant.</i></p>

Questions & Responses

188	<p>If an organization wanted to pursue one application for a multi-purpose project at a single site and the multi-purpose project included BOTH construction/renovation AND a technology project, could the request total the maximum allowable funding in both categories combined? For example: if an organization whose budget is greater than \$5 million apply for \$1 million (\$500K million in renovation/construction and \$500K million in technology)?</p> <p><i>An Applicant may apply for either a construction or technology grant, and may request a grant of any amount within the applicable grant funding level range within the category.</i></p>
189	<p>1. We are not a lead applicant for a DSRIP PPS, but are participating in several PPSs and may receive funds to implement specific projects. Would that disqualify us from this grant opportunity?</p> <p>2. Our agency received HEAL 22 funding in 2013. Would that disqualify us from this grant opportunity?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. Please see Section 2.11 “Eligible Entities” on page 7 of the RFA which was amended on November 20, 2015 to clarify the eligibility requirements for organizations relating to DSRIP, HEAL NY, and CRFP funding. However, organizations that received funding through HEAL NY are ineligible for a NICIP grant.</i></p>
190	<p>1. We operate a 24/7 suicide prevention/crisis intervention hotline. While the RFA includes computers and servers as eligible under this grant, would the purchase of a new phone system that includes updated technology to meet the community’s growing needs be included under this grant as well? (At least 75% of our clients still access our services via the telephone hotlines.)</p> <p>2. We operate at two sites...could we submit a request for the phone system and possibly a new server from one site and updated computer technology (computers and server) at the other site?</p> <p><i>1. See Section 2.14 of the RFA as amended on December 11, 2015. Telephones and telephone systems are generally ineligible unless such system is the primary method utilized for direct service such as a suicide prevention hotline.</i></p> <p><i>3. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
191	<p>We are a human service organization and meets all the qualifications that are listed on pages 6 and 7. However, we are eligible to apply for DSRIP but has yet to hear back on that funding. With that said, are we eligible to apply for this funding for our capital project?</p> <p><i>See response to Question 11.</i></p>
192	<p>1. How is DSRIP eligibility determined?</p> <p>2. What estimates are required for a construction project? Is bidding required?</p> <p>3. We can provide several forms of documentation that we receive NYS funding. Do we need to provide all documents or just one example to establish eligibility?</p> <p>4. Do you need our actual 990 or just our statement of annual revenue per Line 12 of the 990? (To verify, Grants Gateway has our 990.)</p> <p>5. How exact must we report numbers of persons served?</p> <p>6. Do energy efficiency projects require a study of savings estimates and payback periods?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. See Section 2.20 of the RFA for information regarding cost estimates. There are no prescribed number of estimates that must be obtained, and although “quotes from contractors” and “bids” are not specifically required, higher scores will be awarded to Applicants who have provided professional estimates or quotes to support their budget. The Applicant should provide compelling “narratives that explain how the Applicant arrived at the cost estimates” and how those cost estimates are reasonable.</i></p> <p><i>3. The Applicant should provide comprehensive information demonstrating that they receive funding from New York State in the form of a State contract, State authorized payment, or State payment rate as further defined in Section 2.11 of the RFA as it relates to the full range of direct human services provided by the Applicant to individuals and families residing in New York State.</i></p> <p><i>4. Please provide just the statement of Annual Revenue from line 12 of the 990.</i></p> <p><i>5. If actual numbers are not available then Applicants should use their best estimate.</i></p> <p><i>6. No.</i></p>
193	<p>1.Regarding eligible organizations that can apply, we are concerned that agencies receiving funding from DSRIP are excluded from applying. Does this only apply to the lead agency within a Performing Provider System (PPS) -- mostly hospitals as the primary PPS partners -- or to secondary partners (CBOs and other entities) as well? If secondary partners are excluded, we are concerned that this will affect a large number of nonprofits who cannot apply.</p> <p>2. Given that we will not know a definite answer to the DSRIP exclusion issue until Dec. 2, is there a possibility of extending the deadline?</p> <p>3. You can apply for either a construction grant or for a technology grant, correct? You cannot apply for both even if it is the same location, correct?</p> <p>4. The online budget in Grants Gateway refers to allowable and unallowable costs in Attachment A -- where can you locate Attachment A? I could not find it in the RFA document. Is it the same information listed in 2.13, Eligible Costs (pp. 9-10 of RFA) and 2.14, Ineligible Costs (pp. 10-11 of the RFA)?</p> <p>5. Question 25 of the proposal asks for uploading supporting information for our cost estimates, but there is no upload button. How should we submit this information? Should it be submitted in the Grantee Document Folder, which shows at the end of the list in the forms menu?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. The application deadline has been extended to January 29, 2016.</i></p> <p><i>3. An Applicant may apply for either a construction or technology grant.</i></p> <p><i>4. When the budget refers to Attachment A, it is referring to a part of a standard State Agency contract which uses the Master Grant Contract template. Contracts awarded from this grant opportunity will be in the form of a Grant Disbursement Agreement, which does not use the Master Grant Contract template. Please disregard references to Attachment A.</i></p> <p><i>5. Uploads can be made in the Grantee Document Folder which is found in the Forms Menu.</i></p>

Questions & Responses

194	<p>An agency interested in pursuing the NICIP grant meets the definition of a "human service organization" as defined on page 6 of the RFP, provides direct services to children and adults, and also meets all of the five eligibility requirements on that page. However, they recently became eligible for a small amount of funding through DSRIP. Services eligible for DSRIP funding constitute only a small percentage of services offered by the agency. Is there any way to have their eligibility for NICIP funding reconsidered since their DSRIP funding is small?</p> <p><i>See response to Question 11.</i></p>
195	<p>Can we apply if we are a just sub-contractor of DSRIP partner organizations and have not received any infrastructure dollars from DSRIP?</p> <p><i>See response to Question 11.</i></p>
196	<p>1. Regarding the 7 year lease requirement: if the lease is for a 5 year term with an option to renew, will that satisfy the 7 year requirement?</p> <p>2. Regarding the DSRIP/CRFP/HEAL NY limitation: I just want to confirm that agencies that are eligible for DSRIP, CRFP or HEAL NY cannot apply for this funding, even if they are not Article 28s or hospitals or health care providers.</p> <p>3. Can you tell me if the NICIP funding can be used to add a floor onto a building? The building is rented, but the landlord would be willing to allow the build out.</p> <p><i>1. See Section 2.15 of the RFA, as amended on December 11, 2015 for site control requirements.</i></p> <p><i>2. See response to Question 11.</i></p> <p><i>3. This scenario does not preclude the organization from applying. However, as noted, the Applicant must be the entity deriving the benefit from the NICIP grant funds.</i></p>
197	<p>1. Is the DSRIP reference only to eligible and designated Lead Agencies under DSRIP? If not, this is an enormously unfair eligibility criteria because most determinations around community based organizations DSRIP reimbursement will not be made until year 5 of DSRIP and even then the speculation that the level of funding will be minor. We recommend that this eligibility determination only apply to DSRIP Lead Agencies.</p> <p>2. The reference for eligibility is eligibility for HEAL NY - was this intended to be in receipt of HEAL NY funding? Community based organizations were ineligible for the majority of the 26 HEAL NY phases. When certain CBOs did become eligible, very few successfully competed for funding. We urge a modification to this proposed eligibility criteria be: any recipient of more than \$500,000 in HEAL NY grants be ineligible to apply for the HIT portion of the NICIP funding. Why was HEAL NY singled out? A similar restriction could be imposed for other HIT funding streams and is not proposed, so a more equitable solution would be to remove this eligibility criteria completely.</p> <p>3. Capital Restructuring Financing Program - Why was this single Capital Restructuring funding stream singled out as a disqualifying criteria? In so doing, the RFA is discriminating against the multi-service and cross-systems providers by prohibiting them to apply for capital funds needed in their non-health care service related programs. Prohibiting these cross-system providers from applying for the only capital funding available in years to ensure access to their human and social service programs will weaken, not enhance access to preventive, employment, and other important community support services. In addition capital decisions under DSRIP are years away. At a minimum, please consider an amendment that makes any project APPROVED under the Capital Restructuring Financing Program cannot be eligible for NICIP funding.</p> <p>4. Primary Benefit to Applicant - This section indicates that programs that apply together for a single project that ties together multiple CBOs cannot be considered. Is this the intent? Why can't a consortium of eligible providers join together on one application? It seems that, as written, the applicant would have to be primarily benefitted, which discourages regional collaboration. Without amendment or clarification, this section would limit projects that could be beneficial to regional provider collaboration, a key to accessible service provision, care coordination and patient centered care.</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. See response to Question 11.</i></p> <p><i>3. See response to Question 11.</i></p> <p><i>4. Each nonprofit organization must submit an application individually. Each nonprofit organization is limited to one application. See Section 2.19 of the RFA as amended on December 11, 2015.</i></p>
198	<p>1. Our organization is exempt from filing a annual 990 form due its status as a religious corporation with the Internal Revenue Service. Are we eligible?</p> <p>2. Our organization is very large and operates a wide range of State and City funded after school and early childhood programs. Our organization also operates a private school for grades K to 12. Are we eligible?</p> <p><i>1. See Section 2.19 of the RFA as amended on December 11, 2015 for instructions in providing supplemental information for separate nonprofit organizations that are exempt from filing and choose not to file an IRS form 990.</i></p> <p><i>2. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p>
199	<p>1. If applying for a construction project, is there a date by which the project must be completed?</p> <p>2. What is the fee/reimbursement structure of the award? Do funds need to be spent first and then reimbursed by DASNY? Or can the funds be drawn down in advance of the expense?</p> <p>3. If we have to spend the funds upfront, is it possible to get a loan against the funds as a way of managing cash flow?</p> <p><i>1. The project should be completed within the three year term of the Grant Disbursement Agreement (GDA). Per Section 10 of Appendix 3, DASNY, in its sole discretion, may extend the term of the Agreement upon receipt of sufficient justification from the Grantee.</i></p> <p><i>2. The Grantee has the option to submit for reimbursement of eligible expenses incurred and paid or to be paid on invoice. See Section 5 of Appendix 3 (Grant Disbursement Agreement) pertaining to disbursements. Grant funds may not be used for advances, deposits, or downpayments.</i></p> <p><i>3. No.</i></p>

Questions & Responses

200	<p>1. Can a consortium of human service providers apply for the RFP funding? For example, let's say there were four human services providers in a region that were looking to network and upgrade their IT systems and software for compatibility, sharing information, benchmarking data. Could the group of providers apply so that one didn't get the funding over others? Or, should they name each other in the grant application to demonstrate collaboration/partnerships?</p> <p>2. Since some behavioral health providers and developmental disability providers might be part of a DSRIP project and receive contractual DSRIP monies, would this preclude them from receiving this funding? Or was the intent for DSRIP lead agencies to be excluded?</p> <p>3. Are non profits such that receive contracts from the state but not necessarily providing Medicaid services consider human service providers? For example, non profits providing services to youth and families through contracts such as – Advantage Afterschool, COPS, Extended Day, Youth Development Program, etc.?</p> <p>4. Are non profits who are human service providers but their funding is through the county from state aid eligible to apply?</p> <p><i>1. No. Consortiums may not apply.</i></p> <p><i>2. See response to Question 11.</i></p> <p><i>3. Nonprofits are not required to provide Medicaid services in order to be eligible for a NICIP grant. To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p> <p><i>4. To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p>
201	<p>1. Does provision of new roofing systems for 3-8 of our homes for individuals with developmental disabilities and for youth in foster care meet the requirement for one project across several sites?</p> <p>2. Can we apply to cover ongoing costs for our new electronic health record/case management and reporting system or is an IT project only meant to cover a one-time cost?</p> <p>3. Is several projects at one home to extend the useful life of the home – eg. Roof, pointing, new staircase, upgrading of floor joists – eligible as one capital project?</p> <p>4. Is several projects at one home to create improved accessibility and to turn the home into two smaller homes to also improve service an eligible project?</p> <p>5. For estimates to be submitted with the proposal, do we need to supply three bids?</p> <p>6. What is the timeframe for the expenditure of the money if awarded?</p> <p><i>1. See Section 2.19 of the RFA. This would appear to meet the requirements for a single purpose project across multiple sites, but an individual determination will need to be made based on the information provided in the application. Applicant must be able to demonstrate in the application how a project is serving a single purpose at multiple sites.</i></p> <p><i>2. The NICIP grant cannot be used for ongoing costs.</i></p> <p><i>3. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>4. See response to Question 201 (3) above.</i></p> <p><i>5. See Section 2.20 for information regarding cost estimates. There are no prescribed number of bids/quotes that must be obtained.</i></p> <p><i>6. The project should be completed within the three year term of the Grant Disbursement Agreement (GDA). Per Section 10 of Appendix 3, DASNY, in its sole discretion, may extend the term of the Agreement upon receipt of sufficient justification from the Grantee.</i></p>
202	<p>1. Section 2.13 - If the agency needs to install new cables throughout the walls of the multi-floor facility in order to accommodate the information technology needs of the agency, would this be considered IT Hardware and therefore a technology upgrade or would it be considered a renovation of the building infrastructure?</p> <p>2. Section 2.13 - Could we submit one application to partially support the cost renovation of a new floor on which direct services are provided and in the same application request funding for a technology project at the same address?</p> <p>3. Section 2.20 - Page 13 of the RFA states that “donor pledges, agreements and receipts” (second bullet, middle of page) are acceptable documentation to verify that there are sufficient funds to complete the project. Right below the bulleted items, it states that “pledges...and other non-final commitments do not constitute secured sources of funding.” This appears to be a contradiction. Please clarify.</p> <p>4. Sections 2.30 & 3.14 - Is there a page limitation or recommended number of pages for the section of the proposal that constitutes the Demonstration of Need; Project Readiness; and Project Impact?</p> <p><i>1. An Applicant must choose either a construction or technology grant.</i></p> <p><i>2. No, an Applicant may apply for either a construction or technology grant.</i></p> <p><i>3. All other sources of funding necessary to complete the project must be available at the time of application. Donor pledges that have not yet been received or memorialized via an agreement would not be considered a secured source of funding.</i></p> <p><i>4. Each question will note the number of characters allowed in the response. The character limit is 4000 characters per question</i></p>
203	<p>When viewing the DASNY Nonprofit Infrastructure Capital Investment Program, we are not able to locate the actual application. On the home screen of my Grants Gateway, I see ‘My Inbox’ and ‘My Tasks’ but not ‘View Available Opportunities’ as pictured on the grants reform PDF user guide. My role within our organization is ‘Grantee System Administrator.’</p> <p><i>This person's role was probably changed by one of their Grantee Delegated Administrators. They will need to change their role back to Grantee Delegated Administrator and then create a NEW role for themselves, or have it done for them. Call or email for assistance. Refer to Section 4 of the Vendor User Guide or contact the Grants Gateway Help Desk if you can't find your login information.</i></p> <p>Vendor User Guide</p>
204	<p>In the NICIP application under Scoping and Pre Development it says for allowable and unallowable costs see Attachment A. Where can Attachment A be found?</p> <p><i>When the budget refers to Attachment A, it is referring to a part of a standard State Agency contract which uses the Master Grant Contract template. Contracts awarded from this grant opportunity will be in the form of a Grant Disbursement Agreement, which does not use the Master Grant Contract template. Please disregard references to Attachment A.</i></p>

Questions & Responses

205	<p>1. Are consultants considered “personnel” or are they an allowable grant expense?</p> <p>2. When would the start date be? Is it flexible? Would July 1 (the beginning of our fiscal year) be acceptable?</p> <p>3. Can the grant be used to reconstruct a current technology system?</p> <p>4. Can two organizations apply jointly for the grant? Is so, would both organizations need to meet the requirement or just one?</p> <p>5. Can some of the grant funds be used to pay for subcontractors?</p> <p>6. Does the grant have a minimum and/or maximum number of years?</p> <p>7. If we apply for a \$300,000 grant, would it be for \$100,000 each year for three years, or \$300,000 a year for three years?</p> <p><i>1. The purpose of NICIP is to provide funds for targeted investments for projects utilized to provide direct services. Whether or not a consultant would be an eligible expense would depend on the nature of the work. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. Yes, the start date would be when the Grant Disbursement Agreement is executed by all parties.</i></p> <p><i>3. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>4. No.</i></p> <p><i>5. If a subcontractor provides services on the project, then grant funds would be available to enable the subcontractor to be paid by the general contractor.</i></p> <p><i>6. The project should be completed within the three year term of the Grant Disbursement Agreement (GDA). Per Section 10 of Appendix 3, DASNY, in its sole discretion, may extend the term of the Agreement upon receipt of sufficient justification from the Grantee.</i></p> <p><i>7. The amount requested should be the total amount of the grant, not an annual amount. The Grantee has the option to submit for reimbursement of eligible expenses incurred and paid or to be paid on invoice. See Section 5 of Appendix 3 (Grant Disbursement Agreement) pertaining to disbursements. Grant funds may not be used for advances, deposits, or downpayments.</i></p>
206	<p>1. Our agency meets all eligibility criteria with the following possible exception: one of our programs is eligible to receive DSRIP funding (although it has so far received none). The program for which we would be requesting NICIP funds is NOT eligible for DSRIP funding. May we apply for a NICIP grant?</p> <p>2. In the funding ranges given for different sized nonprofits, are the lower figures minimums? For example, is \$150,000 for construction or \$100,000 for technology the minimum grant request for nonprofits with more than \$5 million in annual revenue?</p> <p>3. Can grant monies be applied to expenses incurred between April 1, 2015 and the receipt of award?</p> <p>4. Could you please give the breakdown of points for each section of the application? I could only account for 95 points.</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. Yes, the Applicant may request a grant of any amount within the applicable grant funding level range.</i></p> <p><i>3. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p> <p><i>4. The points assigned in Appendix 1 add up to 100.</i></p>
207	<p>1. Is this grant subject to prevailing wage?</p> <p>2. The RFA instructions include a chart on page 5 showing the minimum grant funding level for two of the three funding categories to be \$50K. Is it possible that a small nonprofit could apply for a total of \$50K that includes BOTH construction and technology?</p> <p><i>1. The grant does not require that prevailing wage be paid. However, if the Grantee is otherwise obligated to pay prevailing wage, the Grant does not negate that requirement.</i></p> <p><i>2. No, an Applicant may apply for either a construction or technology grant.</i></p>
208	<p>In order to expand existing services to more remote parts of a service area, would a “Mobile Office”—that is, the purchase of a van and office equipment necessary to equip provision of effective services---be considered a “capital work or purpose” as it is an acquisition of “assets” (Section 2.13(i)) ?</p> <p><i>See Section 2.14 of the RFA as amended on December 11, 2015. Vehicles, unless the vehicle is used to provide direct service such as a mobile clinic or a mobile food pantry, are ineligible under the NICIP program.</i></p>

Questions & Responses

209	<p>1. Is a project that combines technology and construction for a single site eligible for funding? If so, would a “level 3” organization be eligible to apply for a total of \$2M (\$1M max tech allowance + \$1M max construction allowance), or is the cap per organization \$1M?</p> <p>2. Are costs associated with the construction of a new structure from the ground up eligible for grant funding?</p> <p>3. Can grant funds be used to add to an existing structure, such as constructing an additional floor?</p> <p>4. Does the build-out or addition of new space to an existing structure qualify as an expansion, if used for direct services to clients? If so, is expansion to multiple buildings eligible for funding as a single purpose project across multiple sites, as per Section 2.19?</p> <p>5. Is a technology project that supports direct /program services eligible if the project is not co-located with program services?</p> <p>6. Is furniture an eligible cost, if it is for client use in a program delivery space?</p> <p>7. If wiring that supports information technology capability is done as part of a building renovation project, is the wiring an eligible cost under a construction grant?</p> <p>8. Are costs incurred before GDA date (but after April 2015) eligible for reimbursement?</p> <p><i>1. An Applicant may apply for either a construction or technology grant. If the project has components of both categories, choose one and justify within the application materials.</i></p> <p><i>2. See Sections 2.13 & 2.14 of the RFA as amended on December 11, 2015. for eligible and ineligible costs. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. New construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA including that it is a renovation or expansion of space used for direct program services.</i></p> <p><i>3. See Sections 2.13 & 2.14 of the RFA as amended on December 11, 2015. for eligible and ineligible costs. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. New construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA including that it is a renovation or expansion of space used for direct program services.</i></p> <p><i>4. One application may include a single purpose project at multiple sites or a single site. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. The Applicant must demonstrate how the project is a single or multi purpose project at one site or a single purpose project at multiple sites.</i></p> <p><i>5. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services. Yes, it would appear that the project would qualify so long as it supports direct services. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>6. See Section 2.14 of the RFA as amended on December 11, 2015. Furniture, unless undertaken with a larger renovation project, is ineligible under the NICIP program.</i></p> <p><i>7. Yes.</i></p> <p><i>8. Yes.</i></p>
210	<p>1. We have multiple residential structures at a single address. There is only one deed. Would this be considered multiple sites? Can the agency’s application address multiple infrastructure issues for the multiple residential structures?</p> <p>2. “Organizations that are eligible to receive funding through DSRIP, HEAL NY, or CRFP” are not eligible to apply. Is this applicable only to the lead agency of the PPSs?</p> <p><i>1. It would appear that the scenario described would be one site for application purposes.</i></p> <p><i>2. See response to Question 11.</i></p>
211	<p>1. We are a human services organization that has submitted an application through a Performing Provider System(PPS) for DSRIP funding but have not been awarded. Are we disqualified from this grant because we submitted that application?</p> <p>2. We have also submitted an application to NYSED for a capital project but have not been awarded; is that site ineligible to be included in this proposal?</p> <p>3. We provide some of our services on a specialized campus setting with a school on-site for children with disabilities, are those sites ineligible to be included in this proposal?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. No, as long as the entity providing direct human services otherwise meets all eligibility requirements set forth in the enabling NICIP statute and the RFA. However, the NYSED funding and the NICIP funding cannot be applied to the same project costs.</i></p> <p><i>3. Elementary and Secondary Schools whether private or public are ineligible. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p>
212	<p>We are a 501(c) (3) and meet all criteria of the RFA although we rent/lease/are located in a Sullivan County building I am not sure if this disqualifies us for application, please advise. Thank you.</p> <p><i>See section 2.14 of the RFA. NICIP Grant funds may not be used to improve publicly owned buildings.</i></p>

Questions & Responses

213	<p>1. Would the project of upgrading our existing Access Control System (hardware and software) to a multi-site, web-based Access Control System for the improvement of accessing and tracking electronic security records qualify for this grant?</p> <p>2. How soon would funding be available after awards are announced?</p> <p><i>1. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. Per the RFA, DASNY intends to announce the NICIP grant awards by April 22, 2016. See Section 4.20 of the RFA for prerequisites to the Grant Disbursement Agreement (contract).</i></p>
214	<p>Please clarify if Article 28s that have converted to FQHCs are ineligible.</p> <p><i>See Section 2.11 of the RFA. Article 28 facilities are ineligible for NICIP funding.</i></p>
215	<p>We are a non profit that offers a wide variety of programs. Some of these programs involve transporting youth to various locations. We use 15 passenger vans driven by staff rather than pay for busing at a much higher cost. Does this grant allow for the purchase of additional vans so that we may increase our capacity and serve more youth on a daily basis?</p> <p><i>See Section 2.14 of the RFA as amended on December 11, 2015. Vehicles, unless the vehicle is used to provide direct service such as a mobile clinic or a mobile food pantry, are ineligible under the NICIP program.</i></p>
216	<p>Please forward me the application and any additional information that we may need to apply for a grant.</p> <p><i>This Grant Opportunity was posted and available for applications on October 28th. Please see response to Question 64 for details on submitting an application.</i></p>
217	<p>1. Will the grant cover the purchase a new telephone system for our office? Our current system is 30 years old.</p> <p>2. Will the grant pay for a design consultant to create a space occupancy plan to make the most of the space we have?</p> <p>3. Will the grant cover technology such as: IPADS, laptops, portable scanners and copiers, smart phones, etc.?</p> <p>4. Will the grant cover the cost of a TA assessment, design and implementation of a new system to better address the needs of our organization?</p> <p>5. Will the grant cover cost of outside signage and some additional lighting?</p> <p><i>1. See Section 2.14 of the RFA an amended on December 11, 2015. Telephones and telephone systems are generally ineligible unless such system is the primary method utilized for direct service such as a suicide prevention hotline.</i></p> <p><i>2. No.</i></p> <p><i>3. See Section 2.14 of the RFA as amended on December 11, 2015. Mobile phones, tablets and similar portable items are ineligible under the NICIP program.</i></p> <p><i>4. No.</i></p> <p><i>5. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
218	<p>1. Our agency manages a multi-service center on behalf of the New York City Human Resources Administration. We operate a number of programs from the site. There are also multiple nonprofit agencies who are tenants and we collect the rent on behalf of the City. If we were to propose installing a new boiler in this large building, would that conflict with the requirement in the RFA that the primary benefit of the project must be to the applicant?</p> <p>2. Our agency is seeking to make multiple renovations to a single site. As a part of that project, we would like to upgrade IT systems in the building. Can we include technology upgrades as part of a renovation request?</p> <p><i>1. See section 2.14 of the RFA. NICIP Grant funds may not be used to improve publicly owned buildings.</i></p> <p><i>2. No, an Applicant may apply for either a construction or technology grant.</i></p>
219	<p>There is a reference on Page 7 under 2.11 Eligible Entities (last bullet) regarding ineligibility if the organization is eligible to receive DSRIP funds. Since many PPS have cast wide nets in developing their network of agencies, there are many nonprofit human services agencies that are “downstream” and may be receiving some funding through a PPS that receives DSRIP funds. Can you please clarify/confirm the eligibility of the nonprofit human service agency to apply for this NICIP grant, in the above scenario?</p> <p><i>See response to Question 11.</i></p>
220	<p>I would like to speak with someone regarding the eligibility. Applications are due on 12/23 and we are simply wondering if this application is one we should be working on right now.</p> <p><i>The responses to all Questions will be posted on the Grants Gateway.</i></p>
221	<p>1. What do you mean by grants for technology? Can you please give some examples of grants that would be given out for technology?</p> <p>2. Can additional expansion to a current site be included in the grant or is it just for building a new structure?</p> <p><i>1. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. Expansion of a direct service program site would be an eligible project provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied.</i></p>

Questions & Responses

222	<p>I have a question on eligibility. My agency is a non-profit entity that provides a 4410 Special Education Integrated Pre-School program. We also provide other services under the umbrella of OPWDD and currently have an Article 28 Extension clinic that we are in the process of converting to an Article 16 clinic. We would be eligible for DSRIP funds for certain projects however we would not be eligible for the project that I would like to submit. The project would be to expand and renovate our facility to be used to provide direct program space for our 4410 Special Education programs. We currently have classrooms at multiple locations due to space constraints at our main facility. We do not have sufficient space for our education program and the therapies that need to be provided within our program to provide services to children with special needs in the 3-5 year age group. We would be seeking the funding to renovate and expand a space that would be more conducive to providing our pre-school program. We currently own our building and have purchased land adjacent to our building but do not have the funds for an expansion and renovation of the facility. Since this project is not DSRIP eligible even though the agency may be eligible for other DSRIP or CFRP projects through a lead agency, can we apply for funding for this renovation/expansion of our 4410 Pre-School Special Education Program?</p> <p><i>See response to Question 11 regarding DSRIP. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (such as Article 28 Diagnostic and Treatment Centers or Elementary and secondary schools) and eligible direct human service programs are eligible to apply for NICIP funding as long as the project will only benefit those programs that are eligible.</i></p>
223	<p>Will someone please let us know how to find the budget form and application for the above referenced on Grants Gateway?</p> <p><i>See response to Question 64. Once the application has been started, you will find the required sections in the Forms Menu. Please refer to the Application Quick Start Guide or the Vendor User Manual.</i></p>
224	<p>1. We are an OASAS, OMH and OCFS licensed agency that is an attested provider in the Finger Lakes Performing Provider System (FLPPS) and as such we have received a small amount of DSRIP funding from FLPPS. Does this fact make us ineligible for the Nonprofit Infrastructure Capital Investment Program?</p> <p>2. Are there any other restrictions to DASNY funds not spelled out in the RFA?</p> <p>3. If we submit a renovation project that is dependent on OMH approval (i.e. renovation to an RTF) and we don't have that approval yet, can we submit that renovation project for funding?</p> <p>4. It is stated in section 2.11 that elementary and secondary schools are not eligible. We are a voluntary foster care agency that has an SED 853 school on our campus. If we want to put an addition on the school to house OMH and OASAS licensed outpatient clinics, is that an acceptable use of this funding.</p> <p>5. We are a voluntary foster care agency that sees children in our health services building. Is it acceptable to request funding to put an addition onto the health services building to house OMH and OASAS licensed clinics?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. See Appendix 3 for the provisions of the Grant Disbursement Agreement.</i></p> <p><i>3. While a grant may be awarded prior to the issuance of approvals, all required approvals must be secured before DASNY enters into a Grant Disbursement Agreement with the Grantee.</i></p> <p><i>4. New construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA including that it is a renovation or expansion of space used for direct program services.</i></p> <p><i>5. See response to Question 224 (4) above.</i></p>
225	<p>1. We are a New York corporation that has its own unique Employer Identification Number (EIN). Our organization is divided into several operating units called Divisions, including the Greater New York Division and the Empire State Division, both of which operate within New York State. Both the Greater New York Division and the Empire State Division are registered with the New York State Grants Gateway System. Both Divisions were given separate Vendor Identification Numbers (VINS) upon registration. Given the fact that each Division has a separate VIN, can both the Greater New York and Empire State Divisions submit separate applications in response to this RFA?</p> <p>2. We are not receiving payments directly through DSRIP, but is involved in a consortium participating in DSRIP through its HIV and Health Home Care Management Program. We would NOT be seeking funding through this RFA for the HIV and Health Home Care Program. Does this arrangement with DSRIP make our organization ineligible to apply for the NCIP grant?</p> <p><i>1. See Section 2.19 of the RFA which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway.</i></p> <p><i>2. See response to Question 11.</i></p>

Questions & Responses

226	<p>1. Section 2.10, Subsection 2.11 Eligible Entities. Near the end of this section, there is a list of organizations deemed not to be human service organizations offering direct services. The list of ineligible organizations includes “organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP)... We are one of the county’s largest human services organizations and annually provides a wide variety of direct services to over 19,000 individuals of all ages and stages of life. In this context, the agency has begun the process of contracting to become a Partner Organization in the Central New York Care Collaborative, which was formed as part of the State’s DSRIP initiative. Does the exclusionary language in Subsection 2.11 refer to eligibility for capital funding through DSRIP or to any sort of payments received as a member of a DSRIP Performing Provider Network? Exclusion of organizations receiving any revenue at all as the result of being a DSRIP Partner would seem to be a disincentive for community- and faith-based organizations to join in this important New York State initiative to improve health care.</p> <p>2. Subsection 2.14 and Item 2.c) of draft GDA. Ineligible Costs. In the event a grantee organization must borrow funds in order to pay clearly eligible costs (such as architectural fees or construction costs) while waiting for claims vouchers to be processed, would the costs of borrowing be an eligible charge against the grant?</p> <p>3. May professional services (such as design or engineering fees) provided for the purposes of developing the most accurate possible project cost estimate for the application project budget, be included if those fees were incurred after April 1, 2015?</p> <p>4. Application Question 1. Documentation that agency currently receives State funding: Should this documentation cover all State funds or only a representative sampling?</p> <p>5. Application Question 2. Relative to contracts with or licenses/certification with a specific State agency: Should the response to this question cover all contracts, licenses, certifications or a sampling?</p> <p>6. Application Questions 5a and 5b relative to type of grant, construction or technology. Please clarify whether an organization can request funds for a technology project and a construction project in the same application if both projects are at the same site? The presenters of the Nov. 3 webinar seemed to say that this combination would be allowable. The RFA suggests that an applicant must choose either technology or construction.</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. No.</i></p> <p><i>3. Yes.</i></p> <p><i>4. The Applicant should provide comprehensive information demonstrating that they receive funding from New York State in the form of a State contract, State authorized payment, or State payment rate as further defined in Section 2.11 of the RFA as it relates to the full range of direct human services provided by the Applicant to individuals and families residing in New York State.</i></p> <p><i>5. The Applicant should provide comprehensive information regarding any contracts and or license/certification it has with a specific State agency related to the Applicant providing direct human services to individuals and families residing in New York State as further defined in Section 2.11 of the RFA.</i></p> <p><i>6. An Applicant may apply for either a construction or technology grant. One application may include a single purpose project at multiple sites or a single site.</i></p>
227	<p>1. According to the New York Department of Health, “DSRIP is the main mechanism by which New York State will implement the Medicaid Redesign Team (MRT) Waiver Amendment. DSRIP’s purpose is to fundamentally restructure the health care delivery system by reinvesting in the Medicaid program, with the primary goal of reducing avoidable hospital use by 25% over 5 years. Up to \$6.42 billion dollars are allocated to this program with payouts based upon achieving predefined results in system transformation, clinical management and population health.” Since the primary eligible entities for this funding are the state’s hospital systems, with any possible secondary financial benefit to nonprofit human service organizations partnering on the initiative yet to be determined, an overly narrow interpretation of the clause in this RFA prohibiting DSRIP-eligible entities from participating in the NICIP would exclude a very wide swath of nonprofit providers the NICIP was actually intended to assist. A clarification would be helpful confirming this stipulation was not intended to broadly exclude every nonprofit that could one day possibly receive a modest downstream benefit from DSRIP—a program itself not designed to provide capital support.</p> <p>2. The New York Nonprofit Infrastructure Capital Investment Program (NICIP) represents a much-needed infusion of capital resources into nonprofits that provide critical human services throughout the State. In order to truly offer localized support and interventions, many settlement houses and other community centers in New York City base their services in New York City Housing Authority (NYCHA) developments. Programming delivered from these sites serves tens of thousands of community members such as youth, immigrants and older adults. For instance, Cornerstones act as community hubs provide tutoring & homework help for youth and parenting skills & literacy classes for adults. Senior Centers help keep older adults healthy and engaged by offering exercise classes, peer connections and meals. We would like to confirm that programs operated out of these NYCHA facilities are eligible for inclusion in the NICIP. Assuming so, we would welcome guidance on what mechanisms beyond a lease would suffice to demonstrate site control as many programs do not have a formal lease for NYCHA community spaces, but operate with an understanding from the City of a long term commitment to site-specific services.</p> <p>3. The availability of capital funds for nonprofit human service providers is a unique opportunity for critical neighborhood institutions to strengthen their infrastructure and ensure that state-contracted services are delivered in safe, economical and accessible environments. Many of the key improvements nonprofits will seek to make with the NICIP are structurally significant and designed to be durable, including the repair or replacement of a roof, the addition of an accessibility ramp, or a redesign of space to better meet community needs. Given the RFA direction that applicants demonstrate site control for the duration of the useful life of any such proposed capital improvements, an unintended side effect of a narrow interpretation of this clause might be the exclusion of the very types of infrastructure needs the NICIP is intended to meet, as many programs’ lease terms would expire before major improvements would be expected to no longer be considered “useful” A clarification that a seven-year demonstration of site control is sufficient to meet the “useful” life requirement would be helpful, or alternatively, identification of what the state considers the useful life of key types of capital improvements intended to be covered under the NICIP.</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. See section 2.14 of the RFA. NICIP Grant funds may not be used to improve publicly owned buildings.</i></p> <p><i>3. See Section 2.14 of the RFA as amended on December 11, 2015.</i></p>

Questions & Responses

228	<p>Our agency is a community based, human services provider, and nonprofit agency primarily serving person with mental illness and other chronic conditions throughout Westchester County. We are registered and up to date with the NYS Gateway System, and quality to apply for the current NICIP grant opportunity due December 23. However, one sentence in RFP puzzles us. On p. 7 it states that organizations deemed not to be human services organizations offering direct services and are not eligible to apply for a NICIP grant . . [include] organizations that are eligible to receive funding through the DSRIP...We are a direct services provider, eligible to receive funding through the DSRIP, as I imagine most of the agencies applying for the NICIP grant would be. We are NOT the DSRIP, nor a DSRIP lead. Will you please re-examine that eligibility statement and clarify eligibility for us?</p> <p><i>See response to Question 11.</i></p>
229	<p>We would like to apply for grant PBC01*-NICIP-2015 via the NYS Grants Gateway site but do not see the blue link for “Apply for Opportunity” button anywhere in grants gateway, to begin filling out the application. Is the application live yet and are we able to begin filling it out?</p> <p><i>This Grant Opportunity was posted and available for applications on October 28th. See response to Question 64 for details on submitting an application.</i></p>
230	<p>In the case where a not-for-profit corporation that otherwise meets all of the eligibility criteria has programs within the same corporation licensed by several state agencies, including the Office for People With Developmental Disabilities, the Department of Health, and the State Education Department, and has received HEAL NY technology grants in the past for that portion of its program licensed by the Department of Health:</p> <ol style="list-style-type: none"> 1. Is the entire organization, including its programs licensed by other state agencies, rendered ineligible for both the NICIP construction / renovation and technology grants due to the receipt of the HEAL NY grant? 2. Does ineligibility apply only to the Department of Health licensed program? 3. Does ineligibility apply only to the technology grant but not the construction / renovation grant? 4. Is the organization still eligible for both NICIP grant programs despite receipt of the HEAL NY grant for technology in the Department of Health program in the past? If so, does the organization have to segregate the Department of health program from the grant funds? <p>In the case where a not-for-profit corporation that otherwise meets all of the eligibility criteria has programs within the same corporation licensed by several state agencies, including the Office for People With Developmental Disabilities, the Department of Health, and the State Education Department, and whose Department of Health program may be eligible to receive funding through DSRIP as a downstream partner of a PPS:</p> <ol style="list-style-type: none"> 5. Is the entire organization, including its programs licensed by other state agencies, ineligible to apply for the NICIP grant due to its potential participation with the PPS as a downstream partner? 6. Does ineligibility apply only to the Department of Health licensed program that may potentially participate in the DSRIP PPS? 7. Is the organization still eligible to participate in both grant programs despite its participation by its Department of Health licensed program in a potential DSRIP PPS? <p><i>1. Please see Section 2.11 “Eligible Entities” on page 7 of the RFA which was amended on November 20, 2015 to clarify the eligibility requirements for organizations relating to DSRIP, HEAL NY, and CRFP funding. However, organizations that received funding through HEAL NY are ineligible for a NICIP grant.</i></p> <p><i>2. See response to Question 230 (1) above.</i></p> <p><i>3. See response to Question 230 (1) above.</i></p> <p><i>4. See response to Question 230 (1) above.</i></p> <p><i>5. See response to Question 230 (1) above.</i></p> <p><i>6. See response to Question 230 (1) above.</i></p> <p><i>7. See response to Question 230 (1) above.</i></p>

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231	<p>1. Section 2.11 (Page 7) of the RFA states that “[o]rganizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY), or the Capital Restructuring Financing Program (CRFP)” are not eligible to apply for a NICIP grant. We would like clarification of this language because the vast majority of our member organizations are eligible for funding under these programs. Should this be interpreted to exclude only the lead organization of a DSRIP Performing Provider System, or does it in fact exclude all DSRIP-eligible organizations, including nonprofit human services providers? To exclude human services providers would be contrary to the State’s intent in issuing this RFA. It should also be noted that DSRIP funds as they flow to the community based non-profit providers will not be used (other than possible health information technology projects) for the type of capital projects described in this RFA.</p> <p>2. Section 2.11 (page 6) of the RFA defines a human services organization as “a nonprofit organization that provides programs and services in New York State that protect the health and well-being of individuals and families residing in New York State. This section further specifies that “direct services” are “prevention, intervention, and/or rehabilitative services that a nonprofit organization provides directly to a consumer or group of consumers.” We interpret “direct services” broadly to include any services that address social determinants of health, such as housing, food assistance, day care, senior services, and others. Is this correct?</p> <p>3. Section 1.30 (page 6) of the RFA provides that “no more than 40% of the funding will be allocated to any one region of the State.” We suggest increasing this regional limit from 40 to 50 percent. As New York’s population is concentrated in a few areas, allowing for a significant portion of funding to go to metropolitan areas, where more nonprofits operate and capital projects are more expensive, will help prevent worthwhile projects from being excluded.</p> <p>4. Section 2.15 (page 11) of the RFA requires “site control” (i.e., ownership of the property or a lease that “extends at least 7 years from the date of application”). Many of our members operate eligible programs in New York City Housing Authority (NYCHA) spaces—without a lease. Is this requirement intended to exclude NYCHA property? If not, how can otherwise eligible organizations that operate in NYCHA space demonstrate site control without a lease?</p> <p>5. Section 2.14 (Page 10) of the RFA indicates that capital improvements are ineligible expenses “if the lease for the leased real property is shorter than the probable useful life of the capital improvement.” Many capital projects have a useful life beyond a lease term, however. A roof repair or accessibility improvement, for example, could outlive a seven-year lease. Accordingly, we respectfully request that DASNY reconsider this exclusion.</p> <p>6. Section 1.30 (page 5) sets an award maximum of “\$1 million for the larger nonprofit human services organizations.” We suggest raising the limit on projects from \$1 million to \$2 million dollars. While we realize that the pool of funding is limited, many viable projects will be ineligible due to the \$1 million cap. We believe a \$2 million limit will allow for important projects to be considered while allowing funding to go to many projects across the state.</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. The intent is to provide funding to a range of nonprofit organizations that provide direct human services to a consumer or group of consumers. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services.</i></p> <p><i>3. Thank you for your input regarding the NICIP RFA. Any modifications will be posted on the Grants Gateway.</i></p> <p><i>4. See section 2.14 of the RFA. NICIP Grant funds may not be used to improve publicly owned buildings.</i></p> <p><i>5. Thank you for your input regarding the NICIP RFA. Any modifications will be posted on the Grants Gateway.</i></p> <p><i>6. Thank you for your input regarding the NICIP RFA. Any modifications will be posted on the Grants Gateway.</i></p>
232	<p>We are considering applying for a technology grant. This technology would be used at our headquarters, as well as on our mobile unit. We do not currently have a long term lease. Will this impact our request for a technology grant, even though the technology could move with us wherever we are located? If it will impact our eligibility, are there additional ways we could show long-term residency? We were founded by our landlord. They have multiple members on our Board. We have resided in our present location for all 36 years of our existence. There is virtually no chance we will relocate. We could provide a document signed by our landlord stating their intention to negotiate a long-term lease?</p> <p><i>See Section 2.15 of the RFA, as amended on December 11, 2015 for site control requirements.</i></p>
233	<p>Is a YMCA eligible to apply for the Nonprofit Infrastructure Capital Investment Program?</p> <p><i>A YMCA is not ineligible for funding, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA. See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
234	<p>1. For clarification, we are a partner of our local DSRIP - but aren't a Medicaid provider and do not meet any of their waivers allowing us to collect money through DSRIP, we may possibly be eligible for the 5% of funding allocated for local community service providers that help provide supporting services that assist with our local DSRIP's initiatives. Does this make us ineligible to apply?</p> <p>2. I understand each organization can only submit 1 grant application - can that grant application if being submitted for one location include both technology and construction items (ie. One office location to receive a new roof, security system, updated computers and a new server? Or are we needing to choose one category or the other.</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. No, an Applicant may apply for either a construction or technology grant.</i></p>
235	<p>We are an organization that is a part of a DSRIP network but we are not the main hospital entity sponsoring the program. We have also not identified a specific project at this time that we would be a part of in order to even be eligible for money through the program. Does our involvement in the DSRIP network automatically make us ineligible for this RFA? What are the parameters of involvement in the DSRIP program that would make an agency ineligible?</p> <p><i>See response to Question 11.</i></p>

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236	<p>Our agency is a 501(c)(3) human service organization with five divisions that provide education, supported work, residential, and community services to individuals with disabilities. Our Education Program is a Chapter 853 School (non-public/state approved), serving students with disabilities and complex medical frailties who cannot be appropriately educated in their home school district or in classrooms operated by the Board of Cooperative Educational Studies (BOCES). Funding for services is provided by OPWDD, NYSED, DOH, school districts and municipalities share with NYSED. Instructional, therapeutic, and behavior management programs are conducted at one main location and two smaller sites. We are interested in applying to the Nonprofit Infrastructure Capital Investment Program (NICIP) for major renovations to the main education building, including roof replacement, installation of an HVAC, and sprinkler system. However, we are concerned with the project site's eligibility, as the RFP (Section 2.11) indicates that "the following organizations are deemed not to be human services organizations offering direct services and are not eligible to apply.....Elementary and secondary schools." Please clarify as to whether our project meets the criteria for eligibility.</p> <p><i>See Section 2.11 of the RFA for eligible entities. Elementary and Secondary Schools whether private or public are ineligible.</i></p>
237	<p>1. It states that organizations that have an Article 28 clinic are not eligible. We do operate an article 28 clinic for audiology, but our grant request would not be for that program. Does this still make us ineligible?</p> <p>2. Our organization is eligible to receive DSRIP funding as a safety net organization. We are not applying for any. Does this make us ineligible to apply for NICIP funding? The question goes to whether or not we are a service provider and we most certainly are.</p> <p><i>1. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p> <p><i>2. See response to Question 11.</i></p>
238	<p>1. Appendix 1, Page 2, 6b indicates: "customized software is an eligible allowable funding for this NICIP RFP if they are part of a new IT system and involve customization." Is the "out-of-the-box" type of EHR software not an allowable cost if the organization is implementing a new IT (EHR) system for its delivery of services?</p> <p>2. On Page 7, Section 2.11: the last bullet item indicates that "Organizations that are eligible to receive funding through the DSRIP ... are deemed not to be human service organizations offering direct services and are not eligible to apply for a NICIP grant." Please clarify that "eligible to receive funding through DSRIP" implies organizations operating at the PPS level and "not" those organizations that are a participating CBO partner.</p> <p><i>1. See sections 2.13 for a list of eligible costs, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for a general description of eligible software costs. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. See response to Question 11.</i></p>
239	<p>1. If an organization is incorporated as a single entity, with multiple State agency certifications including an Article 28 clinic license, is the entire corporation ineligible for this grant? In most instances, our Article 28 clinics are but a minor component of our organizations and the grant would be used for operations outside the Article 28 since that function appears to be excluded from eligibility.</p> <p>2. Many non-profit providers have been encouraged to join various PPSs under DSRIP, and they are not the primary DSRIP funding recipient, but merely members of the PPS. As members of a PPS that is eligible to receive DSRIP funding, are organizations that are "downstream" providers from the lead organization in the PPS eligible for funding under this grant?</p> <p><i>1. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p> <p><i>2. See response to Question 11.</i></p>
240	<p>In relation to section 2.13 Eligible Costs (page 9) and 2.14 Ineligible Costs (page 10), are technology consultants/programmers (non-staff) considered eligible costs for the purpose of programming and implementing one-time technology upgrades related to the proposed scope of work?</p> <p><i>See Sections 2.13 & 2.14 of the RFA, as amended on December 11, 2015 for eligible and ineligible costs. Yes, it would appear that this cost would qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>

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241	<p>1. We are proposing to use NICIP funds to purchase new servers. Can funds also be used to purchase the server operating system and hypervisors needed to run the new hardware?</p> <p>2. Can NICIP funds be used to purchase backup software for new servers?</p> <p>3. Can NICIP funds be used to purchase networking equipment including switches, firewalls and access points?</p> <p>4. Can NICIP funds be used to purchase software to encrypt the system endpoints (e.g. Sophos)?</p> <p>5. Can NICIP funds be used to replace laptops that are not high tech (TPM compatible) or HIPAA compliant?</p> <p>6. Will grantees be required to purchase off State contract?</p> <p><i>1. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. See response to Question 241 (1) above.</i></p> <p><i>3. See response to Question 241 (1) above.</i></p> <p><i>4. See response to Question 241 (1) above.</i></p> <p><i>5. See response to Question 241 (1) above.</i></p> <p><i>6. No.</i></p>
242	<p>Per page 12 of this RFA, we are aware that each organization can only submit 1 application. Provided we request funding for a multi-purpose project at a single site, please confirm whether we would only submit 1 application requesting funding for both technology and capital projects within that single document. Additionally, please explain any stipulations around applying for a multi-purpose, both technology and capital, request at a single site and how to go about arranging the application accordingly.</p> <p><i>An Applicant may apply for either a construction or technology grant.</i></p>
243	<p>If an application meets the required criteria and scoring, will it be chosen either for the full funding requested or no funding? Or is it possible that DASNY might determine that a portion of the request should be funded and will give a partial award? For example, if our agency requests funding to replace 20 HVAC units, and DASNY believes only 15 should be replaced, will it reject the entire application or will it make a grant for the 15 units (if the scoring and other criteria are met)?</p> <p><i>We do not expect to make partial awards, however, ineligible costs will be excluded.</i></p>
244	<p>1. The application instructions, in section 2.11, states that organizations are deemed to be ineligible if they are “Membership or umbrella organizations.” Does this restriction apply to an organization, otherwise eligible which is separately incorporated, is a 501(c) (3) charity, operates its own programs, and has a sole corporate member?</p> <p>2. The application instructions, in section 2.11, states that organizations are deemed to be ineligible if they are “elementary and secondary schools”. Does this restriction apply to an organization, otherwise eligible, which has a contract with the State Education Department to operate an 853 program serving students with disabilities?</p> <p><i>1. See Section 2.11 "Eligible Entities." The purpose of NICIP grant funding is to make targeted investments in nonprofit human services organizations that provide direct services to New Yorkers. Membership or Umbrella organizations that represent service providers but do not themselves provide direct services are ineligible to apply.</i></p> <p><i>2. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p>
245	<p>In Section 2.19 Allowable Number of Applications on page 12, you state “However, one application can cover a single-purpose or multi-purpose project at a single site or a single-purpose project at multiple sites.” Would you consider addressing accessibility issues as a single-purpose project even if the accessibility issues were diverse, e.g. one site needs a track lifting system for accessibility for individuals around their home and one site needs accessible kitchen so that individuals with disabilities can use it to cook in.</p> <p><i>See Section 2.19 of the RFA. This would appear to meet the requirements for a single purpose project across multiple sites, but an individual determination will need to be made based on the information provided in the application. Applicant must be able to demonstrate in the application how a project is serving a single purpose at multiple sites.</i></p>
246	<p>1. Can a consortium of eligible nonprofits submit a collective application provided that one nonprofit serves as the lead applicant?</p> <p>2. Can a nonprofit submit a stand alone NICIP grant application, but also be part of a consortium application (as described in question #1) as long as they are not the lead?</p> <p><i>1. No.</i></p> <p><i>2. No, see Section 2.19 of the RFA. Each Applicant may submit one application for a NICIP grant.</i></p>

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247	<p>1. While we do operate an Article 28 clinic and a Childrens Learning Center school, which the RFA states are ineligible, we have residential group homes and vocational training and rehabilitation services that would be eligible if they were completely separate entities from our umbrella. Does the fact that we operate a school and an Article 28 facility taint our entire application or can we be eligible for those programs that we provide that you list as eligible?</p> <p>2. Can we apply for funding for those discrete areas of our facilities that serve only the other programs and not the school or Art 28 clinic? The school and the Art 28 clinic have very specific spaces within our main facility and do not overlap into the other service areas.</p> <p>3. How can we obtain a waiver for eligibility from the Commissioner? What is the process for seeking a waiver? Can that waiver be obtained in sufficient advance time of the application deadline in order for us to be able to timely submit our application?</p> <p>4. One of our programs is operated out of a 48 bed residential group home in Bayville, NY. It is a separate legal entity under our umbrella. Would that facility be eligible?</p> <p>5. Would the fact that our Bayville facility is supposedly "eligible" for DSRIP, even though it does not get any DSRIP nor has any plans to get DSRIP in the future, affect its eligibility for this RFA? Can that be waived by the Commissioner?</p> <p><i>1. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p> <p><i>2. See response to Question 247 (1) above.</i></p> <p><i>3. Waivers will not be accepted.</i></p> <p><i>4. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway.</i></p> <p><i>5. See response to Question 11.</i></p>
248	<p>1. If a capital project has already substantially started prior to the application submission on 12-23-15 a and will be more than 75% complete by the time of the award, is the project eligible for funding?</p> <p>2. Is the date in eligibility question #9 on page 17 meant to be that work will not begin until April 1, 2016 instead of April 1, 2015?</p> <p>3. If work was allowed to have begun since April 1, 2015 on a project that support is being requested for, should the previous work completed and paid for be shown as match, understanding that match is not required?</p> <p>4. If a nonprofit organization provides management oversight for multiple nonprofit organizations that *each* individually meet all eligibility criteria, is each individual organization with its own EIN, meeting all eligibility criteria, able to submit its own application?</p> <p>5. If an otherwise eligible nonprofit organization is a potential sub-recipient for DSRIP funds, is the group still considered eligible for funding?</p> <p>6. Does social security funding count as state funding for the three types of allowable payments that are one of three required options in determining eligibility for organizations?</p> <p>7. Does an organization being part of NCI or AHI applications mean that they are no longer an eligible organization for this funding?</p> <p><i>1. Yes.</i></p> <p><i>2. No. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p> <p><i>3. The total project cost must be justified as explained in Section 2.20 of the RFA. While not a match, all sources needed to complete the project must be memorialized and included with the application materials.</i></p> <p><i>4. See Section 2.19 of the RFA which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway.</i></p> <p><i>5. See response to Question 11.</i></p> <p><i>6. No, it does not.</i></p> <p><i>7. More information is needed to make a determination.</i></p>
249	<p>The term Software as a Service (SaaS) is a common feature with many Electronic Health Record vendors and as not such is not customizable. Many other types of services use this technology to reduce the on-going cost of hardware, storage and access too. Is this type service covered in the RFP or is this type of service classified as Software and Licenses?</p> <p><i>No, the NICIP grant cannot be used for ongoing costs. It would appear that this service would be classified as non-customized software and licenses and therefore ineligible for NICIP funding.</i></p>

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250	<p>1. Are agencies who participate with DSRIP disqualified or just PPS'?</p> <p>2. Are funds limited only to programs/services funded with State grants or are ALL agency programs eligible as long as the agency has at least one direct State grant?</p> <p>3. Are OPWDD programs, or any aspect of their operation (such as capital improvements), excluded from funding through this grant?</p> <p>4. If we have a D&T Center, does that make the entire agency ineligible or just the D&T Center programs and services?</p> <p>5. If non-D&T programs and services share a building with a D&T Center are those programs ineligible for this grant?</p> <p>6. If an agency receives Medicaid funding of any sort, does this mean they are eligible for this grant provided all other qualifying requirements are met, or does funding have to be directly tied to a State contract in order to be eligible?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. A program that is funded by New York State as that term is defined in Section 2.11 of the RFA should be a beneficiary, however the NICIP grant may benefit other direct human services programs provided by the Applicant at the same location.</i></p> <p><i>3. No, as long as the entity providing direct human services otherwise meets all eligibility requirements set forth in the enabling NICIP statute and the RFA.</i></p> <p><i>4. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p> <p><i>5. See response to Question 250 (4) above.</i></p> <p><i>6. State funding does not have to be tied to a contract, but must meet the definition in Section 2.11 of the RFA.</i></p>
251	<p>We are a non-profit organization which is in the process of responding to the RFA for NICIP. We are interested in knowing how the award is disseminated. Are the funds available for use prior to the onset of the project(s) or is reimbursement made after the project(s) have been completed and there is documentation of what was spent?</p> <p><i>Once the Grant Disbursement Agreement has been fully executed, the Grantee has the option to submit for reimbursement of eligible expenses incurred and paid or to be paid on invoice. See Section 5 of Appendix 3 (Grant Disbursement Agreement) pertaining to disbursements. Grant funds may not be used for advances, deposits, or downpayments.</i></p>
252	<p>The RFA references a capital based budget form, however this form does not appear to exist in grants gateway - only budget fields that we type into. Is there an actual separate form that we need to complete and then upload? If so, where can this form be accessed?</p> <p><i>The budget must be filled out online in the Grants Gateway application. However, there is a PDF form available for download if you would like to enter your data there before you transfer it to your online application. See link below.</i></p> <p>Sample Capital Budget</p>
253	<p>Our agency is a nonprofit 501(c)(3) organization that serves a significant number of Medicaid and elderly patients as well as a local prison population. The organization is a stand-alone ambulance service. We are not part of a volunteer fire department. We have paid staff and operate with on-site paramedics, 24/7. We do bill Medicaid, Medicare, etc. Besides patient billing, our budget consists of charitable fund-raising and service-provider contracts with the local Ambulance District (Clayton, NY) and the Town of Orleans, NY. Are we eligible to apply for NICIP as a human services agency?</p> <p><i>See Section 2.14 of the RFA as amended on December 11, 2015. Vehicles, unless the vehicle is used to provide direct service such as a mobile clinic or food pantry, are ineligible under the NICIP program. See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
254	<p>1. Regarding Section 2.11: Page 7 of the NICIP RFA states that organizations that are “eligible” to receive funding through DSRIP are not eligible to apply for a NICIP grant. We seek clarification of this statement:</p> <ul style="list-style-type: none"> - regarding the definition of "organization" we seek clarification that two corporate entities that are separately incorporated and have separate corporate purposes and identical board membership would be regarded as two organizations for purpose of this RFA. - regarding the exclusion for those eligible to receive funding through DSRIP, was the intent to exclude only organizations that are PPS lead organizations? Does the exclusion extend to health care providers who are potentially eligible to receive 95% of a PPS's distribution? We request clarification that the exclusion does not apply to all community-based organizations who may be included in PPS networks and are collectively potentially eligible for only a maximum 5% of the PPS total distribution. <p>2. Regarding Section 2.14: It is understood that standard training costs, software licensing charges, and ongoing operational charges related to the implementation of new software systems will not be eligible. However we want to confirm that consulting fees related to the planning, configuration, implementation, testing, data-migration, and customization of a software system would be eligible provided the system meets the stated criteria for inclusion in the grant.</p> <p><i>1. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number, or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway. Also see response to Question 11.</i></p> <p><i>2. See Sections 2.13 & 2.14 of the RFA, as amended on December 11, 2015 for eligible and ineligible costs. Yes, it would appear that this cost would qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>

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255	<p>We are a non-profit human services organization that provides programs and services to individuals with disabilities. Our proposed project involves a property utilized and funded by, among other state contracts, an 853 School funded by NYSED. Would this project be considered eligible for purposes of NICIP?</p> <p><i>Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p>
256	<p>1. Section 1.3: Available Funding and Funding Levels. Our agency had revenues of just over \$2,000,000 based on our latest IRS Form 990. Based on this, our grant funding level is \$100,000-\$500,000. Does our grant request have to be at least \$100,000? Will we be disqualified if our grant request is slightly less than \$100,000, for example \$80,000?</p> <p>2. Section 2.13: Eligible Costs. Our grant request will be for the renovation of a facility we own. Are the following renovations considered “eligible costs?” Replacement of a 25 year old rubber membrane roof, including replacement of eaves and downspouts. Tuckpointing or repairing of the bricks on the exterior walls. There are damaged bricks and damaged mortar that need to be repaired and replaced to prevent long-term water entry into the bricks and damage to the exterior walls. Replacement of the custom front door of the facility. The door is quite old, the aluminum framing has bent, and the hinges regularly come loose creating a security and safety risk since the door is so heavy. Repair of the cement area just outside the front door. The cement is crumbling badly, which is a safety and accessibility concern. The installation of additional security cameras and the purchase of security key fobs for our staff that stay late at night (the security fobs will allow them to connect to emergency personnel in the case of an emergency). The replacement of our 25 year old commercial oven that is used to prepare over 14,000 meals and snacks a year for 200+ unduplicated children. Repair of the foundation/basement walls. Currently there are cracks in the foundation and basement walls leading to water seepage and continuous damage to the foundation/basement. Is sealing the walls to prevent future water entry/seepage also allowed as part of this repair? Replacement of 25+ year old tile floors that are cracking and missing tiles. Demolition of an old garage that is attached to the building and repaving of the parking lot outside the building to create a safer and expanded play and activity area for the children and teens who are served at the Center each day. Upgrade our Fire Alarm System so that our alarm system will alert the local fire department if ever the alarm is activated. Currently if the alarm goes off, the fire department is not alerted.</p> <p>3. Appendix 1 - Question 22B: How much of the total funding is derived from NYS in the form of State contracts, State-authorized payments, and/or State payment rates? Our agency operates nine distinct programs. Of these nine programs, three operate out of the facility for which we will be requesting funding for renovations as part of this grant. Do you want us to provide: The total state funding received by our agency, The total state funding received by the 3 programs that operate out of the facility in question, or the total state funding received by the main program operating out of the facility in question. Also, most of the state funding we receive is from the state but is distributed to us through the County. For example, we receive significant funding through the County Department of Social Services, which receives their funding from the NYS OCFS. Should this state funding that we receive through the County also be included in the total funding derived from NYS?</p> <p><i>1. Yes, the grant request must be at least \$100,000 in the scenario described.</i></p> <p><i>2. See Sections 2.13 & 2.14 of the RFA for eligible and ineligible costs. Yes, it would appear that many of these costs would qualify within the context of an overall renovation project. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>3. The Applicant should provide comprehensive information regarding any contracts and or license/certification it has with a specific State agency related to the Applicant providing direct human services to individuals and families residing in New York State as further defined in Section 2.11 of the RFA. Yes, you should include the State funding received from the County.</i></p>
257	<p>As stated in the RFP eligible organizations must: “...currently receive funding from New York State in the form of a State contract, State authorized payment, or State payment rate.” Our organization, during the 2015-16 State fiscal year (April 1, 2015 – March 31, 2016), is the recipient of funding from the New York City Department of Youth and Community Development as a technical assistance provider (some of which is state flow through monies,) funding from the New York City Department of Education (including flow through federal and state monies) for professional development, and funding from the New York City Department of Health and Mental Hygiene. In addition, we are subcontracted with Include NYC, the NYSED parent education and support provider for the Bronx and Manhattan, for services to a school community. We meet all other eligibility criteria explicitly, please advise if the above funding situation warrants sufficient eligibility for the current NICIP opportunity.</p> <p><i>To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p>
258	<p>1. If our organization contracts with a PPS, but does not directly receive DSRIP monies, are we eligible to apply and receive these grant monies?</p> <p>2. If we are eligible to apply, can we apply for more than one project?</p> <p>3. By what date must this money be spent and what kind of documentation will need to be provided?</p> <p>4. Are organizations serving individuals with Developmental Disabilities eligible for these monies?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. No, an Applicant must choose either a construction or technology project.</i></p> <p><i>3. The project should be completed within the three year term of the Grant Disbursement Agreement (GDA). Per Section 10 of Appendix 3, DASNY, in its sole discretion, may extend the term of the Agreement upon receipt of sufficient justification from the Grantee. Also, see Section 5 of the GDA for disbursement terms.</i></p> <p><i>4. Yes, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA.</i></p>

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259	<p>1. We began a project to replace servers and upgrade our IT network but this has just begun and we are just leasing equipment. Is this project eligible even though it has already kicked off in its planning stage? Can existing leases be converted to purchases?</p> <p>2. We understand that office furniture is not an eligible expense. Is furniture for residential facilities (beds, dressers, etc.) eligible?</p> <p>3. Can this funding be used in facilities owned by the nonprofit that are strictly residential in nature?</p> <p><i>1. NICIP Grant funds may only be used to purchase, and not lease, IT equipment. Please see Sections 2.13 & 2.14 of the RFA as amended on December 11, 2015 for eligible and ineligible costs.</i></p> <p><i>2. See Section 2.14 of the RFA as amended on December 11, 2015. Furniture, unless undertaken with a larger renovation project, is ineligible under the NICIP program.</i></p> <p><i>3. Proposals involving residential facilities are not ineligible as long as they meet eligibility requirements outlined in the enabling statute and RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
260	<p>1. We have previously applied for funding from New York State for capital project infrastructure and are awaiting a response if our proposal was accepted. Can we apply for NICIP funding for different usages in the same property as we had focused in the previous grant?</p> <p>2. Will the Long Island Regional Development Corporation be playing a similar role in the allocations process as in other New York State grant proposals?</p> <p>3. Are there recommended amounts along the funding range that agencies should apply for? That agencies should expect to receive? Is there a percentage range of funds that will be awarded based on the amount of the proposed project?</p> <p>4. If our proposal is for different components of infrastructure work in the same property, will each component be evaluated in the same way? Will priority be given to certain components of a proposal over others?</p> <p><i>1. Possibly, it would depend on the other capital funding stream. However, the other NYS funding and the NICIP funding cannot be applied to the same project costs.</i></p> <p><i>2. No.</i></p> <p><i>3. Yes, the Applicant may request a grant of any amount within the applicable grant funding level range.</i></p> <p><i>4. The project will be evaluated based on the application materials submitted as described in Section 3.20 of the RFA.</i></p>
261	<p>1. Will similar funding be available next year, at similar levels?</p> <p>2. What do you consider "other indicia of site control"? If an Applicant is currently in negotiations with a property owner to buy the site that will undergo capital improvements, will you accept a signed letter of commitment from that property owner demonstrating the negotiation and commitment to sell? Or, do you require that a deed be procured before the 12/23 deadline?</p> <p><i>1. Currently, this \$50 million is the only appropriation enacted into law.</i></p> <p><i>2. Applicants must be able to demonstrate site control as described in Section 2.15 of the RFA at the time of application.</i></p>
262	<p>1. Can an eligible provider apply and subcontract to other eligible providers or apply as a consortium of eligible providers?</p> <p>2. If you receive funding from the State for local assistance as a subcontract from the county, will you still be eligible?</p> <p>3. When you refer to organizations receiving funding from DSRIP being ineligible, are you referring to the lead DSRIP agencies or all the providers within the DSRIP network?</p> <p>4. If you are applying to be an HCBS Medicaid Provider under HARP but have not yet received certification, can you still apply as an eligible provider?</p> <p>5. Would an eligible provider be able to apply for funding to pay for iPads, iPhones or lap tops (or any other relevant electronic device) for staff that works directly with direct service individuals?</p> <p><i>1. See Section 2.19 of the RFA. NICIP grant funding cannot be subcontracted to another entity, and one organization cannot apply for NICIP grant funding on behalf of another organization.</i></p> <p><i>2. To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p> <p><i>3. See response to Question 11.</i></p> <p><i>4. To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p> <p><i>5. See Section 2.14 of the RFA as amended on December 11, 2015. Mobile phones, tablets and similar portable items are ineligible under the NICIP program.</i></p>
263	<p>If an organization applies for funding and then merges with another organization and they both receive funding, would both of the awards still be viable? Does it make a difference if the merger happens before or after the awards are announced/contracts are signed?</p> <p><i>See Section 2.19 of the RFA which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway.</i></p>
264	<p>Can NICIP technology funding be used to cover the cost for IT consultants' time to design a system in addition to paying for hardware? Also, can the technology funding pay for installation charges, including any needed wiring for the system?</p> <p><i>The purpose of NICIP is to provide funds for targeted investments for projects utilized to provide direct services. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>

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265	<p>1. While the third and the final bullet points on Page 7 exclude most health care providers, would a managed long term care plan be eligible to apply?</p> <p>2. Does an organization that provides supportive housing qualify as a human services provider providing direct services?</p> <p><i>1. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services.</i></p> <p><i>2. Yes, if it is the entity providing direct human services to a consumer or group of consumers rather than solely the landlord and otherwise meets all eligibility requirements set forth in the enabling NICIP statute and the RFA.</i></p>
266	<p>Can we buy a minivan to take care of clients in need?</p> <p><i>See Section 2.14 of the RFA as amended on December 11, 2015. Vehicles, unless the vehicle is used to provide direct service such as a mobile clinic or a mobile food pantry, are ineligible under the NICIP program.</i></p>
267	<p>1. Will the grant cover leased vehicles?</p> <p>2. Will the grant cover equipment such as warehouse racking or is that considered furnishings?</p> <p>3. Will letters of support from community leaders be considered in the scoring process?</p> <p><i>1. No.</i></p> <p><i>2. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services. If you feel this project satisfies the criteria set forth in the RFA, please include justification in your application materials.</i></p> <p><i>3. No.</i></p>
268	<p>We are a multi-service organization, with services including foster care. We are not a health care provider. As a foster care provider, however, we are eligible to receive and have received, funding (primarily as a subcontractor) through DSRIP and HEAL NY. Are we eligible to apply for a NICIP grant in this case? I just want to add that it likely was not the intent of the funding to exclude nearly all social service providers, but as the eligibility criteria are now written, very few agencies qualify. We strongly urge you to narrow the criteria so that it more appropriately limits the funds to exclude the major recipients of DSRIP and HEAL funds. For example, we received under \$50,000 in HEAL funds and may at some point receive a small amount of DSRIP funds as a downstream provider from one of the hospital grantees. This should not exclude us to apply for up to \$1M in capital funds through this project. Also, if answers to questions are not shared until 12/2, I urge you to consider extending the deadline to mid-January, after the holidays. It will be difficult to gather all of the required supporting documentation in the time permitted. Alternatively, we urge you to issue an addendum sooner addressing key agency and project eligibility issues.</p> <p><i>See response to Question 11.</i></p>
269	<p>1. Please clarify whether being a member of a DSRIP PPS network eliminates an organization from qualifying for this RFA? If membership in a DSRIP prevents organizations from applying, most NYS human service agencies will be eliminated. We are a small organization and are not one of the lead organizations under DSRIP. We did not apply for or receive any funding from the Capital Restructuring Financing Program (CRFP).</p> <p>2. Currently, our administrative expenses are well under the cap of 25% so we are in compliance with EO #38. However, in 2016 we may be over the cap of 15%. Will this prevent us from qualifying for the grant? If so, may we apply for a waiver and if granted, will the waiver qualify us to remain an eligible applicant for this RFA?</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. See Section 4.60 of the RFA. Applicants subject to Executive Order 38 (EO38) must be in compliance with EO38 limitations or obtain a waiver. EO38 regulations specify the process for obtaining a waiver.</i></p>
270	<p>We would like to request further clarification regarding applicant eligibility, particularly the clause stated below, which deems organizations ineligible to apply for the NICIP grant. “Organizations that are eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP), the Health Care Efficiency and Affordability Law for New Yorkers (HEAL NY), or the Capital Restructuring Financing Program (CRFP)”</p> <p><i>See response to Question 11.</i></p>
271	<p>Can technology hardware and software other than the examples listed be included in our proposal, i.e., Smart Board, IP Phone System?</p> <p><i>See Sections 2.14 & 2.20 of the RFA as amended on December 11, 2015. Mobile phones, tablets and similar portable items are ineligible under the NICIP program.</i></p>

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272	<p>1. Hospitals and diagnostic and treatment centers authorized under Article 28 of the New York State Public Health Law are excluded from applying, but can an applicant that is a substance abuse treatment provider apply NICIP grant funds to Article 28s co-located within its substance abuse treatment facility?</p> <p>2. Does the exclusion of “organizations that are eligible to receive funding through DSRIP” apply only to lead PPS entities (i.e., hospitals), or does it apply to any human services organization that has signed a Master Services Agreement with a PPS?</p> <p>3. What impact does having submitted an application for the Capital Restructuring Financing Program through a lead PPS have on an otherwise eligible entity’s ability to apply for an NICIP grant, if any?</p> <p>4. What is the definition of “begin[ing] work” – does architectural and engineering design count as beginning work?</p> <p>5. Will reimbursement be provided for work started any time after April 1, 2015 – i.e., what is the timeline related to permissible reimbursable expenditures?</p> <p><i>1. No. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (i.e. Article 28 Diagnostic and Treatment Centers or elementary and secondary schools) and eligible direct human services programs are eligible to apply for NICIP funding as long as the proposed project will not provide more than a tangential benefit to an ineligible program.</i></p> <p><i>2. See response to Question 11.</i></p> <p><i>3. Please see Section 2.11 “Eligible Entities” on page 7 of the RFA which was amended on November 20, 2015 to clarify the eligibility requirements for organizations relating to DSRIP, HEAL NY, and CRFP funding. Applicants that receive CRFP funding are ineligible for NICIP funding.</i></p> <p><i>4. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p> <p><i>5. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p>
273	<p>In evaluating technology investments to improve our management of records and data analysis capabilities, our agency has identified commercially-available software options that present mature functionality and flexibility in the application of their features. Our anticipated approach to implementing such software would include not only the selection of software and procurement of licenses, but also significant business analysis to inform the configuration of the software settings and parameters to meet the unique operational needs of our agency and enable better service delivery and targeted health, wellness, and outcomes monitoring for the I/DD population. (e.g. Specialized data forms, report parameters, health and outcomes algorithms, operational lists of values unique to our agency, data visualizations, key performance indicators, definition of business and clinical metrics, etc.) We believe this approach presents reduced risk, faster speed to value, and greater impact for the people we serve, leveraging commercial tools in a unique application to our market. Please advise if this approach is consistent with the vision of the grant, and what portion or portions of the work described may be eligible for funding.</p> <p><i>See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
274	<p>1. Section 1.40 (Page 6) of the RFA states that “The GDA between the Grantee and DASNY will terminate three years from the date of DASNY’s execution of the GDA unless DASNY, in its sole discretion, chooses to extend the term of the GDA.” Please confirm that grantees have a minimum of three years, from date of GDA execution, to meet contractual obligation and incur approved expenses.</p> <p>2. Section 2.13 (Page 9) of the RFA provides examples of eligible costs. Would you please provide a comprehensive listing of technology items that are eligible for funding?</p> <p>3. Section 2.14 (Page 11) of the RFA states that “Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software.” How much and what type of customization is necessary to justify funding of software through this grant?</p> <p>4. Section 2.19 (Page 12) of the RFP states: “Nonprofit organizations may submit one application for a NICIP grant.” Our agency consists of two separate corporations, each with its own 501(c)(3) designation, tax ID and filing of Form 990. The two separate corporations are governed by the same Board of Directors and the audited financial statements are compiled on a consolidated basis. Are we eligible to submit two applications, one for each corporation, or should we file one application? If we are eligible to submit two separate applications, one for each corporation, do we need to register and prequalify both corporations with the New York State Grants Gateway System?</p> <p>5. Will DASNY consider partial funding of an application in the event that one of the proposed projects or activities in an application is deemed unsuitable for this RFA?</p> <p><i>1. Yes, the project should be completed within the three year term of the Grant Disbursement Agreement (GDA). Per Section 10 of Appendix 3, DASNY, in its sole discretion, may extend the term of the Agreement upon receipt of sufficient justification from the Grantee.</i></p> <p><i>2. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>3. If you feel this project satisfies the criteria set forth in the RFA, please include justification in your application materials. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>4. See Section 2.19 of the RFA which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway.</i></p> <p><i>5. We do not expect to make partial awards, however, ineligible costs will be excluded.</i></p>

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275	<p>Will consultants be an allowable expense for new technology plans?</p> <p><i>The purpose of NICIP is to provide funds for targeted investments for projects utilized to provide direct services. Whether or not a consultant would be an eligible expense would depend on the nature of the work. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
276	<p>1. What criteria is used to determine, if a specific software product or license would only be partially funded (even if not Payroll or Human Resources related)?</p> <p>2. Would Employee Learning Management System, even for NYS Mandated Training considered a Human Resources application, thereby ineligible for funding?</p> <p>3. Equipment Inventory Tracking – What constitutes an Inventory Tracking System? Can this be a Manual Business Process?</p> <p>4. Appendix 3 – Grant Disbursement Agreement – Terms and Conditions #3 – “Books and Records” – What format, tools, criteria is required for maintaining Books and Records? Also are there any periodic reviews?</p> <p>5. Appendix A: Project Budget – is this the Official Format? And is there a specific timeframe for reporting?</p> <p>6. Similarly, Exhibit E and Exhibit E-2 are these payment forms submitted per a regular schedule? Or as when do?</p> <p><i>1. See Sections 2.13, 2014 & 2.20 of the RFA as amended on December 11, 2015 for a list of eligible and ineligible costs and a general description of eligible software costs. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. The purpose of NICIP is to provide funds for targeted investments for spaces utilized to provide direct services. Based upon the description provided, it would appear that the project is ineligible.</i></p> <p><i>3. Although no specific inventory tracking system is mandated, and can be manual; the Grantee should be able to explain the system upon request.</i></p> <p><i>4. Upon request, the Applicant would be expected to authorize DASNY to review the books and records kept in the ordinary course of business.</i></p> <p><i>5. Exhibit A is the format for the Grant Disbursement Agreement. It is unclear what reporting requirement is being referenced.</i></p> <p><i>6. The Grantee has the option to submit for reimbursement of eligible expenses incurred and paid or to be paid on invoice. See Section 5 of Appendix 3 (Grant Disbursement Agreement) pertaining to disbursements. Grant funds may not be used for advances, deposits, or downpayments.</i></p>
277	<p>Is it possible to combine both a capital improvement request and a technology request (if the two projects are unrelated) ?</p> <p><i>No, an Applicant may apply for either a construction or technology grant.</i></p>
278	<p>The agency owns many group homes, each of which have kitchens that are old and in great need of renovations (countertops/cabinetry) some or all of which may need handicap accessibility.</p> <p>1. Would this type of project meet projects aimed at the health and safety of the individuals?</p> <p>2. Would this project be eligible for the category that would make modifications to make spaces more sustainable and energy efficient resulting in overall cost and energy savings as well as providing some handicap accessibility?</p> <p>3. Would generators or solar panels be considered a utility and thus ineligible?</p> <p><i>1. Yes, it would appear that the project could qualify as either renovations or accessibility renovations. Qualification will depend on the justification you provide in your application materials.</i></p> <p><i>2. See response to Question 278 (1) above.</i></p> <p><i>3. Yes, it would appear that the project would qualify so long as it provides direct services. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination. The reference to "utility" in the RFA refers to utility payments.</i></p>
279	<p>We are a Nonprofit organisation that receives support from The State Of New York, Hunger Prevention and Nutrition Program (HPNAP). We receive this funds from United Way. does this help us meet the following requirement? They currently receive funding from New York State in the form of a State contract, State authorized payment, or State payment rate as further defined in Section 2.11 of this RFA.</p> <p><i>To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p>
280	<p>We are a nonprofit organization with a mission to equip and support those who work with children to ensure the success of every child. We train adults (teachers, mental health professionals etc.) who work with children and provide professional development opportunities for them so that they can be more effective in their work with children. Our question relates to section 2.11 (Eligible Entities). Would you consider the training that we do a direct service (prevention, intervention, and/or habilitative services that a nonprofit organization provides directly to a consumer or group of consumers) or would we not qualify for this RFA?</p> <p><i>No, staff training and professional development would not be considered a direct service.</i></p>
281	<p>1. Eligible expenses. Referencing page 11 section 2.14, Purchasing new furniture for employee’s offices is an ineligible expense, but can funds be used to purchase furnishings necessary in spaces that programs and services will be delivered? E.g. conference table necessary for group program sessions.</p> <p>2. Eligibility. Page 7. According to the RFA, if agencies are eligible for DSRIP, they are disqualified for this grant. How can an agency determine if they are DSRIP eligible? Is there a eligibility resource that you can direct us to?</p> <p>3. Can you please give us your interpretation of a tangential benefit as stated in Appendix 1 question 4? We are planning on making capital improvements in a leased space and require clarification on this question.</p> <p><i>1. See Section 2.14 of the RFA as amended on December 11, 2015. Furniture, unless undertaken with a larger renovation project, is ineligible under the NICIP program.</i></p> <p><i>2. See response to Question 11.</i></p> <p><i>3. See Section 2.14: “Grant funding must not provide more than a tangential benefit to a non-Applicant entity. For example, if a nonprofit organization shares a building with other businesses or organizations, the nonprofit organization cannot apply to replace the roof of the entire building”. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>

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282	<p>1. Does the definition of “construction” include new construction projects. Section 2.13 states the NICIP grant will fund capital projects, which includes “Renovations or expansions of space used for direct program services.” However, paragraph (i) states, “the acquisition, construction, demolition, or replacement ... And paragraph (iii) states, “the planning, or design of the acquisition, construction, demolition, replacement, repair or renovation of a fixed asset ... We are just trying to determine whether funding is eligible for new construction projects.</p> <p>2. Section 3.13 Pass/Fall Criteria - #4 Is your organization applying for a project that falls into the following:</p> <ul style="list-style-type: none"> • Technology upgrades • Renovations or expansions • Modifications for sustainable and energy efficiency • Accessibility renovations <p>None of these criteria mention construction. How would this question be answered (Yes/No) if the project is for planning and design of a new construction?</p> <p>3. Section 3.13 Pass/Fall Criteria - #9 To clarify, this question makes clear that no work of any type, whether planning, pre-design or drawings can be done prior to April 1, 2015. We understand that nothing can be charged against project funding if it occurred before April 1? Is it possible that such work could be done, but not charged against the project? Or is it that no work of any type can occur prior to April 1?</p> <p>4. General Information If the business address of the applicant is in New York City, but the location of the project is in Rockland County (Mid-Hudson Region), should the identification of the applicant be the business address, or the address where the project will be situated? Would the application be for the Mid-Hudson Region, or New York City?</p> <p><i>1. New construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling statute and the RFA including that it is a renovation or expansion of space used for direct program services.</i></p> <p><i>2. Yes, new construction is not ineligible to be funded with a NICIP grant, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA including that it is a renovation or expansion of space used for direct program services.</i></p> <p><i>3. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p> <p><i>4. See Section 2.17 of the RFA. The region assigned for purposes of the grant will coincide with the site location if the project involves a single site.</i></p>
283	<p>We are considering submitting a proposal for a customized, cloud-based ("Software as a Service") Electronic Medical Records (EMR) system for our licensed mental health clinic. We are, however, unclear as to whether such a system is eligible for this program, as the RFP discusses fundable projects in terms of "fixed assets" (p. 9). According to the RFP (pp. 10-11):</p> <p>Examples of eligible technology upgrades include:</p> <ul style="list-style-type: none"> • Implementing information management systems (such as the Homeless Management Information System) that would eliminate the use of multiple databases among organizations or that would make a nonprofit's system more compatible with State systems <p>Examples of ineligible technology upgrades include:</p> <ul style="list-style-type: none"> • Purchasing software to assist with payroll or human resources <p>Can you please clarify?</p> <p><i>1. See Sections 2.13 for a list of eligible costs, 2.14 & 2.20 of the RFA amended on December 11, 2015 for a general description of eligible software costs. No, it would not appear that these costs would qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
284	<p>I would like to confirm the eligibility of nonprofit organizations that provide owner-occupied housing rehabilitation services, first-time homebuyer programs, and/or housing-related counseling under this program. I see that the RFP provides the following definition: “Human services organization” shall mean a nonprofit organization that provides programs and services in New York State that protect the health and well-being of individuals and families residing in New York State. However, I want to verify that the type of services I just described would fit into this definition, as it seems that this grant program is targeted more towards nonprofits that provide human services directly out of their facilities, such as mental health services, health services, etc.</p> <p><i>See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>

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285	<p>1. Eligibility to apply for NICIP grant (Section Two – Application Requirements, 2.11 Eligible Entities) - The RFA indicates that an organization “eligible to receive funding through the Delivery System Reform Incentive Payment Program (DSRIP)” is *not* eligible to apply for a NICIP grant (p. 7). Is this eligibility criterion accurate? As a large, multi-service provider offering a broad array of human services, our organization is eligible to receive funding through the DSRIP. We believe that many other similarly-situated social service agencies are also eligible to receive funding through the DSRIP. Eligibility to receive funding through the DSRIP would essentially disqualify many human service providers from applying for this funding opportunity. Please clarify.</p> <p>2. Award selection based on Regional Economic Development Council (REDC) regions (Section Two – Application Requirements, 2.17 Geographic Eligibility) – The RFA indicates on p. 12 that funding will be allocated based on REDC regions and that “to achieve the statewide distribution of funding, no more than 40% of the funding will be allocated to any one region.” Why is the evaluation criteria based on REDC regions and not based on the proportion of indigent or low-income individuals in a given area, density of non-profit providers, cost of construction or other criteria indicating need? Please clarify.</p> <p>3. Lack of cost escalator for NYC construction – The RFA does not include escalation costs for construction projects in the New York City region. Because the relative cost of construction is typically higher in New York City, we believe that the RFA should provide for escalation costs. Please advise whether escalation costs for New York City-based projects may be budgeted, and if so, on what basis.</p> <p>4. Grants Gateway System/Forms - Upon review of the Grants Gateway website, we noticed that the application forms for this solicitation appear to be missing. When will the forms be made available through Grants Gateway?</p> <p>5. Reimbursement: If awarded under this program, what is the reimbursement process under this program? Please clarify.</p> <p>6. Contract term: Please clarify the funding period under this solicitation.</p> <p><i>1. See response to Question 11.</i></p> <p><i>2. To establish statewide distribution, the Regional Economic Development Council regions were used for purposes of the NICIP funding.</i></p> <p><i>3. Applicants should provide cost estimates and the basis for those estimates to respond to Question 25.</i></p> <p><i>4. This Grant Opportunity was posted and available for applications on October 28th. Please see response to Question 64 for details on submitting an application.</i></p> <p><i>5. The Grantee has the option to submit for reimbursement of eligible expenses incurred and paid or to be paid on invoice. See Section 5 of Appendix 3 (Grant Disbursement Agreement) pertaining to disbursements. Grant funds may not be used for advances, deposits, or downpayments.</i></p> <p><i>6. The project should be completed within the three year term of the Grant Disbursement Agreement (GDA). Per Section 10 of Appendix 3, DASNY, in its sole discretion, may extend the term of the Agreement upon receipt of sufficient justification from the Grantee.</i></p>
286	<p>1. Please clarify the definition of Membership organizations. We are a local community center that serves all members of the community. Our membership includes individuals and families who pay for membership. However, our services are open to non-members as well. Is our organization eligible for funding under this RFA?</p> <p>2. Are indirect costs reimbursable under the grant?</p> <p>3. Are specific bids/quotes required as supporting documentation for individual capital improvement expenses? If so, how many?</p> <p><i>1. See Section 2.11 "Eligible Entities." The purpose of NICIP grant funding is to make targeted investments in nonprofit human services organizations that provide direct services to New Yorkers. Membership or Umbrella organizations that represent service providers but do not themselves provide direct services are ineligible to apply.</i></p> <p><i>2. No.</i></p> <p><i>3. See Section 2.20 for information regarding cost estimates. There are no prescribed number of bids/quotes that must be obtained.</i></p>
287	<p>According to page 7, organizations participating in DSRIP are not eligible for funding through this grant. Our organization’s OMH Article 31 Clinic is participating in DSRIP; however, our OCFS Residential Program is not. We propose to use Non-Profit Infrastructure Capital Investment Program funds to make capital improvements to our residential buildings used exclusively to house our OCFS programs. Are we eligible to apply for funding?</p> <p><i>See response to Question 11.</i></p>
288	<p>If we plan to submit an application under the category of Technology, can we request multiple- purpose technology items that will be used agency wide? Since it is all web based, can we consider our Main Office as the “single site”? For example, tablets for Direct Support Professionals throughout the agency (some will be used in IRAs and others by community based DSPs going to work with people in their homes and in the community) AND various programs to be used on those tablets (ie: one to reduce med errors in IRAs, another for assisting in rep payee services in our business office, and another for supporting people in the community).</p> <p><i>See Section 2.14 of the RFA as amended on December 11, 2015. Mobile phones, tablets and similar portable items are ineligible under the NICIP program.</i></p>
289	<p>Would a voice-over IP phone system be an eligible expense for this grant?</p> <p><i>See Section 2.14 of the RFA an amended on December 11, 2015. Telephones and telephone systems are generally ineligible unless such system is the primary method utilized for direct service such as a suicide prevention hotline.</i></p>
290	<p>We are a 501(c)(3) established in 2014 that wishes to apply for the NFP Infrastructure Grant. While we have already submitted all available documentation to the Grants Gateway, we are not yet prequalified since our first 990s (for fiscal year ending June 2015) have not yet been completed/submitted. Could our RFA still be considered if, as a fairly new entity, we are not yet prequalified but all would be in order after the RFA submission?</p> <p><i>Applicants must be prequalified at the time and date the applications are due. Please contact the Grants Reform Team for assistance in completing the prequalification process. To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA.</i></p>

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291	<p>On page 7 of the NICIP RFA there is a statement that organizations that are “eligible” to receive funding through DSRIP, HEAL NY and CFRP are not eligible to apply for NICIP funding. Is this correct?</p> <p><i>See response to Question 11.</i></p>
292	<p>If an agency were to complete an application for a project deemed worthwhile for a number of sites, but the total cost was judged to be more than the grantors were inclined to award to one agency, would the grant be reduced to fund just a portion of the total sites requested or would the grant be denied in its entirety?</p> <p><i>Applicants can apply for any amount within the range for an organization of their size.</i></p>
293	<p>Can an Applicant apply for a NICIP grant award less than \$50,000?</p> <p><i>No.</i></p>
294	<p>We were wondering if the \$50M opportunity for infrastructure improvements recently announced by the Governor’s office. Attached is the RFA from DASNY, would cover expansion of our infrastructure, i.e.. our training center / kennels for the blind?</p> <p><i>See Sections 2.11 and 2.13 of the RFA as amended on December 11, 2015.. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
295	<p>We are a non-profit organization with a mission in arts and education. We receive state funding and we provide arts educational services on behalf of the state, positively influencing children and their academic attainment, social emotional development and future employment. The studio theater in which we provide these services is original to our facility (>25 years old), past is expected useful life, and in dire need of equipment and repair. Therefore, we would like to apply for renovation and equipment funds through the Nonprofit Infrastructure Capital Investment Program.</p> <p>For the past 25 years, this theater has:</p> <ul style="list-style-type: none"> • hosted thousands of performances for hundreds of thousands of public school students of all ages, many of which are from Title I schools, • hosted low-cost and free performances for families from underserved areas, • served as a space for professional development programs of New York’s public school teachers, • prepared students living at or below the poverty line for auditions to selective arts-themed high schools, and • hosted performances for children on the autism spectrum and other disabilities <p>We write to confirm that under these circumstances –a NYS nonprofit providing the above human services and receiving NYSCA funds, we meet the criteria to apply for the NICIP.</p> <p><i>See Sections 2.11 and 2.13 of the RFA. A Project would be eligible for funding if it meets the requirements set forth in the enabling NICIP statute and the RFA. Please see Appendix 1 of the RFA for the information required to be submitted with the application, including a narrative to clearly describe the benefits of the proposed NICIP Project and how it satisfies the criteria set forth in the RFA. The determination as to whether a project is eligible for NICIP funding is dependent upon the ultimate use of the facility and the extent to which it meets the criteria set forth in the RFA.</i></p>
296	<p>1. We need to clarify if upgrades to the buildings phones, internet, Wi-Fi and cable count?</p> <p>2. Also, would electrical and cabling work required to make the abovementioned technological upgrades count? Could these be considered electrical engineering?</p> <p>3. How do you define “supplies and non-capital equipment”; these are two things you list as ineligible</p> <p><i>1. See Sections 2.13 for a list of eligible costs, 2.14 & 2.20 of the RFA amended on December 11, 2015 for a general description of eligible software costs. No, it would not appear that these costs would qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. See response to Question 296 (1) above.</i></p> <p><i>3. See section 2.13 for a list of eligible costs, and for the definition of "capital work or purpose" as stated in the State Finance Law. Generally, items that are disposable after a single use, or items that are regularly purchased for a limited period, including, but not limited to, items such as printer toner, paper, filters, and similar items are considered supplies.</i></p>
297	<p>1. (RFA 2.13 Eligible Costs) Would the purchase of an electronic health record system be an eligible technology upgrade under this RFA?</p> <p>2. (RFA 2.13 Eligible Costs) Would the further enhancement of an existing electronic data management system, performed by an IT consultant, be an eligible technology upgrade under this RFA?</p> <p><i>1. See sections 2.13 for a list of eligible costs, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for a general description of eligible software costs. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. The purpose of NICIP is to provide funds for targeted investments for projects utilized to provide direct services. Whether or not a consultant would be an eligible expense would depend on the nature of the work. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>

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298	<p>1. Do we qualify under the eligibility criteria in Section 2.11 (“They currently receive funding from New York State...”) if our organization receives funding through the NYS Alliance of Boys & Girls Clubs for afterschool snacks for middle and high school students through the Childhood Hunger Reduction Implementation Plan grant from the NYS OCFS?</p> <p>2. What is the time line for when the grant award has to be spent...in other words, if there are any unforeseen delays in construction, will the grantee be penalized? Do the expenses incurred need to be within three years of an executed GDA as provided in Section 4.33?</p> <p><i>1. To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p> <p><i>2. Once the Grant Disbursement Agreement has been fully executed, the project should be completed within the three year term of the Grant Disbursement Agreement (GDA). Per Section 10 of Appendix 3, DASNY, in its sole discretion, may extend the term of the Agreement upon receipt of sufficient justification from the Grantee.</i></p>
299	<p>1. Can you clarify whether the specific program that will be primarily impacted by capital funding requested must be state-funded, or whether it is sufficient that the organization as a whole receives state funding as described under Section 2.11 of the RFP. For instance, could an agency seek capital funding for improvements on a city-funded shelter so long as the agency receives state funding for other programs it operates? If a state agency does not fund but has regulatory authority over the program (such as OTDA has over DHS family shelters in NYC), does this make a difference to eligibility?</p> <p>2. Should applicants provide information on state agencies that may have oversight of some of its programming, but do not necessarily provide funding (e.g. NYS OTDA provides oversight of and certified NYC DHS-funded Tier II shelters but does not necessarily provide funding to these shelters) as described in Section 2.11 of the RFP?</p> <p>3. Can you provide some additional guidance on what kinds of expenses fall into each of the capital budget categories provided in Section 2.20 of the RFP: Scoping and Pre-Development, Design, and Construction? Would renovation or repair expenses generally fall into the “other” category? Where would the costs to engage a general contractor to oversee the project fall?</p> <p>4. Also related to Project Budget Is it possible to provide a budget template (preferably in excel) that mirrors the budget categories outlined in Section 2.20 of the RFP and within the Grants Gateway system?</p> <p>5. The RFP states that award(s) will be announced by February 26, 2016 and Section Four of the RFP describes the prerequisites and process of the Grant Disbursement Agreement, but doesn’t include any time frames for how long the process will take. Timelines for starting and completing work will depend in part on when funds become available. Does DASNY know when the start date of these contracts will be?</p> <p>6. Section 2.14 of the RFP describes ineligible expenses and costs. Can you further clarify the distinction between allowable capital costs and non-allowable equipment or supplies? (E.g. would items such as stoves and refrigerators for residential units be considered capital needs or supplies?)</p> <p>7. Section 2.20 of the RFP states applicants are required to provide cost estimates for the proposed project. Must applicants provide recent bids for every aspect of the work to be completed, or can we use estimates for budgeting purposes based on engineer assessments and/or prior experience with recent capital projects?</p> <p><i>1. A program that is funded by New York State as that term is defined in Section 2.11 of the RFA must be a beneficiary, however the NICIP grant may benefit other direct human services programs provided by the Applicant at the same location. To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding. Regulatory Authority does not constitute State funding.</i></p> <p><i>2. Yes, this information should be provided in response to Question 2 in the required information section of the application and anywhere else the Applicant feels it is appropriate to reference in completing the application (See Appendix 1 of the RFA).</i></p> <p><i>3. The Applicant should use its best efforts in preparing the budget or hire a professional to assist.</i></p> <p><i>4. The budget must be filled out online in the Grants Gateway application. However, there is a PDF form available for download if you would like to enter your data there before you transfer it to your online application. See link below.</i></p> <p>Sample Capital Budget</p> <p><i>5. The start date would be when the Grant Disbursement Agreement is executed by all parties.</i></p> <p><i>6. See Section 2.14 of the RFA as amended on December 11, 2015. Appliances such as stoves and refrigerators, unless undertaken with a larger renovation project, are ineligible under the NICIP program.</i></p> <p><i>7. See Section 2.20 of the RFA for information regarding cost estimates. There are no prescribed number of estimates that must be obtained, and although “quotes from contractors” and “bids” are not specifically required, higher scores will be awarded to Applicants who have provided professional estimates or quotes to support their budget. The Applicant should provide compelling “narratives that explain how the Applicant arrived at the cost estimates” and how those cost estimates are reasonable.</i></p>
300	<p>1. Are funds available to cover the costs of project construction oversight?</p> <p>2. Are multiple bids required for construction projects as part of the RFP?</p> <p>3. Is a line item estimate from a general contractor sufficient for the RFP?</p> <p>4. Can a construction project be staged in phases to limit interruption of services?</p> <p>5. Does a construction project require a general contractor or can an agency work with individual contractors for multiple projects at one site?</p> <p>6. For construction projects, what level of detail is required on each cost estimate?</p> <p><i>1. Generally yes, so long as internal labor is not utilized. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. See Section 2.20 for information regarding cost estimates. There are no prescribed number of bids/quotes that must be obtained.</i></p> <p><i>3. Yes.</i></p> <p><i>4. Yes.</i></p> <p><i>5. The Applicant is not required to hire a general contractor.</i></p> <p><i>6. See response to Question 300 (2).</i></p>

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301	<p>Regarding software licensing costs, do the following qualify as eligible costs?</p> <ol style="list-style-type: none"> 1. Server-based integration software that supports a new system architecture implementation that will provide a customized integration platform supporting the integration of multiple information management systems and compatible communication mechanisms/protocols required for data exchange of electronic records with State systems. 2. Server-based software that supports the implementation of a new IT infrastructure which requires a centralized platform to provide data reliability and data security of information management systems and electronic data across the organization. 3. Server-based software that provides functionality to support new data storage solutions and data analysis activities as part of new system architecture to support data reporting requirements of State programs. 4. Cloud-based licensing and service costs, such as SaaS (Software as a Service), PaaS (Platform as a Service), DBaaS (Data Base as a Service), or IaaS (Infrastructure as a Service), that is part of a new system architecture providing new integration and communication capabilities with external and State systems. 5. Cloud-based licensing and service costs, such as SaaS (Software as a Service), PaaS (Platform as a Service), DBaaS (Data Base as a Service), or IaaS (Infrastructure as a Service), that is part of a new system architecture that supports a new data storage design providing for data reliability, confidentiality, and security, and supporting reporting and analysis activities in support of State program data requirements. <p>Regarding costs associated with vendor required labor and services; do the following qualify as eligible costs?</p> <ol style="list-style-type: none"> 6. Vendor required labor costs to support the build-out, installation, and configuration of hardware as part of a new IT infrastructure. 7. Vendor required labor costs to support the configuration and customization of software as part of a new IT infrastructure. 8. Costs associated with required upgrades to vendor provided network information and data services to support a new IT infrastructure. <p>Regarding costs associated with construction, do the following qualify as eligible costs?</p> <ol style="list-style-type: none"> 9. Engineer and architect costs required for construction projects. 10. Application filing and permit costs required for construction projects. <p><i>1. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>3. See response to Question 301 (1) above.</i></p> <p><i>3. See response to Question 301 (1) above.</i></p> <p><i>4. No.</i></p> <p><i>5. No.</i></p> <p><i>6. See Sections 2.13 for a list of eligible projects, 2.14 & 2.20 of the RFA amended on December 11, 2015 for a general description of eligible software costs. Yes, it would appear that the project would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>7. See response to Question 301 (6) above.</i></p> <p><i>8. See response to Question 301 (6) above.</i></p> <p><i>9. Yes, it would appear that the expense would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>10. Generally yes. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p>
302	<ol style="list-style-type: none"> 1. If grant proceeds are to be used for construction, will Prevailing Wage laws apply? 2. On page 13, it says: “If the cost of the total project exceeds the proposed grant amount, Applicants should include documentation to verify that there are sufficient funds to complete the project. This may include, but is not limited to, any or all of the following: <ul style="list-style-type: none"> • Bank account and investment account statements • Donor pledges, agreements and receipts • Grant award letters, agreements and contracts • Loan agreements, commitment letters, terms and conditions • Bond documents • Personal service contracts • Bills of sale and paid invoices evidencing payment for an eligible cost Please note that pledges, award letters with unsatisfied contingencies, grant applications, pending loan applications, and other non-final commitments do not constitute secured sources of funding.” That passage seems at first to say documented “donor pledges” are acceptable to show that financing is secured, but later in the above quoted passage, it says, “pledges.... do not constitute secured sources of funding.” How should those two references to pledges be understood? <p><i>1. The grant does not require that prevailing wage be paid. However, if the Grantee is otherwise obligated to pay prevailing wage, the Grant does not negate that requirement.</i></p> <p><i>2. All other sources of funding necessary to complete the project must be available at the time of application. Donor pledges that have not yet been received or memorialized via an agreement would not be considered a secured source of funding.</i></p>

Questions & Responses

303	<p>Our organization has served New York State residents residing in Hell's Kitchen in Manhattan, NYC since 1897. We received ongoing State funds through NYS Child and Adult Care Food Program for nearly 40 years through Fiscal Year 2012 and are currently in the process of re-enrolling in the NYS CACFP program, anticipated to be effective in Fiscal Year 2016. Additionally, we have received State Assembly Member Discretionary funding contracted through NYS Office of Children and Family Services (OCFS) in Fiscal Year 2015, and have submitted an application for a renewal of State Assembly Member Discretionary Funds through NYS OCFS. We also receive NYC Council Member Discretionary funding and are currently in the contracting process with the NYC Department of Youth and Child Development (DYCD). We request that our State funding history, as well as our current pursuit of Fiscal Year 2016 NYS funding through OCFS and CACFP fulfill the State funding requirement as described in section 2.1 of the Nonprofit Infrastructure Capital Investment Program. Please advise if these details will establish eligibility, or if there is an exemption process that will render us eligible to apply for funding.</p> <p><i>To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding.</i></p>
304	<p>1. (RFA Section # 2.11 -Eligible Entities) Does our membership in the Collaborative for Children and Families (CCF) to serve as Care Managers conflict with our ability to access these funds?</p> <p>2. (RFA Section 2.19 -Allowable Number of Applications) Would the State consider a proposal that combines technology hardware and software upgrades for a centralized network system?</p> <p>3. (RFA Section 2.19 -Allowable Number of Applications) Would the State consider upgrades to create a compliance and quality improvement system that includes the upgrade or purchase of current technologies (i.e., both hardware and software)?</p> <p>4. Are programs that are getting state pass through funding - e.g. Foster Care Block Grant funding - through City Agencies (example: Foster Care Programs) eligible to receive the grant?</p> <p>5. Can organizations spend their money on projects that are eligible under the grant guidelines before receiving the funding, and then get reimbursed when the funding is made available?</p> <p>6. What time frame is expected to disburse the funding?</p> <p><i>1. The Applicant is not ineligible for funding, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA.</i></p> <p><i>2. See Sections 2.13 for a list of eligible projects, 2.14 & 2.20 for a general description of eligible software costs. Yes, it would appear that the project would qualify. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>3. See response to Question 304 (2) above.</i></p> <p><i>4. To be eligible for a NICIP grant, the Applicant must be able to demonstrate it receives State funding as that term is defined in Section 2.11 of the RFA. Applicants must be able to demonstrate that any pass-through funding received from another government entity contains State funding. Foster Care Block Grant funding would qualify.</i></p> <p><i>5. See Section 3.13 of the RFA. Design, engineering, and other preliminary planning activities may commence prior to April 1, 2015. Costs incurred prior to this date, however, may not be reimbursed from grant funds.</i></p> <p><i>6. The project should be completed within the three year term of the Grant Disbursement Agreement (GDA). Per Section 10 of Appendix 3, DASNY, in its sole discretion, may extend the term of the Agreement upon receipt of sufficient justification from the Grantee. Payments are typically processed within 4-6 weeks after the requisition has been audited by DASNY.</i></p>
305	<p>1. Are the customization fees and on-going support of Microsoft SharePoint and Office365 eligible? (Records management and collaboration)</p> <p>2. Are desktop and mobile device upgrades to operate Office365, SharePoint, State/Federally mandated systems eligible?</p> <p>3. Are Server upgrades that are needed for capacity, availability and business continuity eligible?</p> <p>4. Are network security (Firewall) and End-point security services eligible?</p> <p>5. Are IT training costs eligible?</p> <p>6. Are Enduser/Helpdesk cost eligible?</p> <p>7. Is Mobile Device Management and Asset Tracking services eligible?</p> <p>8. IT Equipment – Customized Software (Is a customized SharePoint portal eligible?)</p> <p>9. IT Equipment – Licenses (Are subscriptions covered?)</p> <p><i>1. No.</i></p> <p><i>2. No.</i></p> <p><i>3. See Sections 2.13, 2.14 & 2.20 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Please be advised that software and licenses generally are not eligible for funding unless they are part of a new IT system with customized software. Even then, in certain instances, only partial funding may be available. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>4. No.</i></p> <p><i>5. No.</i></p> <p><i>6. No.</i></p> <p><i>7. No.</i></p> <p><i>8. See response to Question 305 (3).</i></p> <p><i>9. No.</i></p>

	<p>1. Eligible costs: Please confirm if the following costs are eligible for this RFP: renovation/upgrade of kitchen, and kitchen equipment, moveable property costs such as furniture that is physically installed or attached to a building (cabinetry, built ins, built in desks, built in moveable walls, built in cubicles, etc.), HVAC updates, HVAC system used for a floor or floors of mixed use purposes, such as a floor that has a learning lab for students, classrooms, client meeting and consult room, as well as administrative purposes related to direct service provision, HVAC system if the floor has both programs and administrative purposes that are more of a general purpose, would we be able to apply for the portion used by programs specifically, outdoor signage upgrades per funding source requirements and also our recent rebranding initiative, Security personnel used for building/construction site (during construction period), IT-related wiring costs, Architect fees, consulting fees, Project manager/management costs, Moving costs, building construction and finishing costs such as wiring, drywall completion, alarm systems, sprinkler systems, required fire safety, regulators, building inspections, elevator company fees, HVAC in new construction, construction related equipment, scaffolding, masonry, doors, windows, hardware, carpentry, tools, ceilings/acoustical panels, millwork, management fees or site management costs, technology such as ipads, tablets, servers, monitors, keyboard, mice, other computer accessories, cables, plugs, general wiring, wifi equipment, hot spot equipment, cell phones, charging equipment, printers, scanners, internet switch, smartboards, computer parts, computer related tools, service fees related to technology installation, assessment, prior to purchase, inventory tracking costs, heat proof cabinetry or shelving, heat resistant paint or panelling for server rooms, cooling units for server rooms, electrical service fees related to technology installation, other equipment.</p> <p>2. Tracking and inventory of technology: What process is used once equipment is no longer up to date or obsolete? If equipment purchased by state funds, over its life, breaks or is no longer up to date, do we return it to the state? What process is used for the full life cycle for the equipment to comply with state regulations?</p> <p>3. For proof of costs, what types of invoices, proof of cost is required? For example, will you allow example invoices, bids, example costs of items to be purchased, or is this only for reimbursable items already purchased?</p> <p>4. Based on the pass-fail questions, any example invoices dated prior to April 2015 would not be eligible for reimbursement, but may we use existing past paid invoices to show proof of cost basis?</p> <p>5. Contract dates: Is the contract to be awarded to cover the dates of April 2015-March 2016 or April 2016-March 2017 or another set date(s)?</p> <p>Questions about "Single and Multi- Purpose Applications":</p> <p>6. Would the costs of final building finishing costs related to a new building including technology costs and the technology updates of several additional locations be eligible for this RFP as a single purpose application?</p> <p>7. Would the costs of final finishing costs of a new building, the kitchen update/renovation of another site, and technology updates of several other site be eligible as single purpose application if they all serve children, adults and seniors who meet the human services mission requirement?</p> <p>8. Would the finishing costs of one local building site including many of the cost items in Question 1 above be considered multi-purpose at a single location and eligible for this application?</p> <p>Eligible applicant:</p>
306	<p>9. We have multiple affiliates we work closely with. I.E. a Local Development Corp has built the building we would like to apply for. The Local Development Corp meets the eligibility requirements of the RFA. The building will be leased to our organization, and serve our community populations for human services needs. The Local Development Corp is an affiliate of our organization. Should the Local Development Corp apply for the grant, or should our organization?</p> <p>10. May multiple organization affiliates apply for support? We ask as we are unsure if this would be ineligible due to the single-purpose/multi-purpose restrictions of 2.19 of the RFP. though each is its own nonprofit agency. Our affiliates serve similar populations of clients and may show up in state systems as connected through the affiliate structure.</p> <p>11. Are HUD funded facilities eligible for this application?</p> <p><i>1. See Sections 2.13 and 2.14 of the RFA as amended on December 11, 2015 for eligible and ineligible costs and a general description of eligible software costs. Yes, it would appear that many of these costs would qualify provided that all other criteria set forth in the enabling NICIP statute and the RFA are satisfied. Several of these costs, however, do not appear to be eligible. Please be aware that the determination as to eligible and ineligible costs is a fact specific determination.</i></p> <p><i>2. The equipment will not need to be returned to the State. The Applicant must agree to use its best efforts to utilize the equipment for substantially the same purpose set forth in its application until such time as the Applicant determines that the equipment is no longer reasonably necessary or useful in furthering the public purpose for which the grant was made.</i></p> <p><i>3. There are no prescribed number of estimates that must be obtained. See Section 2.20 of the RFA for information regarding cost estimates.</i></p> <p><i>4. Yes.</i></p> <p><i>5. The start date would be when the Grant Disbursement Agreement is executed by all parties. Project costs incurred after April 1, 2015 would be eligible for reimbursement from NICIP grant funds. The project should be completed within the three year term of the Grant Disbursement Agreement (GDA). Per Section 10 of Appendix 3, DASNY, in its sole discretion, may extend the term of the Agreement upon receipt of sufficient justification from the Grantee.</i></p> <p><i>6. An Applicant may apply for either a construction or technology grant. Also see Section 2.19 of the RFA.</i></p> <p><i>7. No.</i></p> <p><i>8. See response to Question 306 (1) above.</i></p> <p><i>9. The nonprofit organization that is eligible to apply is the one that will be providing the direct services to a consumer or group of consumers at the site where the project is proposed. See Section 2.11 of the RFA.</i></p> <p><i>10. See Section 2.19 of the RFA which specifies that each nonprofit organization may submit only one application. For purposes of this RFA, to be considered a separate and distinct nonprofit organization, each Applicant must have a unique Federal employer identification number or a unique tax payer identification number or a unique SFS Vendor identification number and must be separately registered and prequalified in the Grants Gateway.</i></p> <p><i>11. Yes, however, the Applicant will still need to demonstrate how it otherwise meets all criteria set forth in the enabling NICIP statute and the RFA.</i></p>

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307	<p>We are a not for profit human service agency, providing direct services to individuals and families within NYS. However, we do operate an Article 28 clinic which is eligible for DSRIP funds. Would we be eligible to apply for this grant given we meet all other eligibility requirements and are requesting funding for a project that is not connected to the clinic and associated eligibility for DSRIP funds?</p> <p><i>See response to Question 11 regarding DSRIP. Project site(s) that may benefit from NICIP funds are those that are used to provide direct human services and comply with eligibility requirements outlined in Sections 2.11 and 2.13 of the RFA. Nonprofits with multiple programs that include both ineligible (such as Article 28 Diagnostic and Treatment Centers or Elementary and secondary schools) and eligible direct human service programs are eligible to apply for NICIP funding as long as the project will only benefit those programs that are eligible.</i></p>
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